

# STrTA: Self-Training with Task Augmentation for Better Few-shot Learning

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# STraTA: Self-Training with Task Augmentation for Better Few-shot Learning



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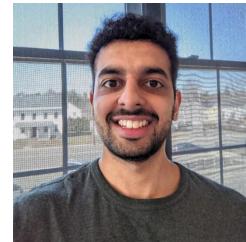
Thang Luong<sup>1</sup>



Quoc Le<sup>1</sup>



Grady Simon<sup>1</sup>



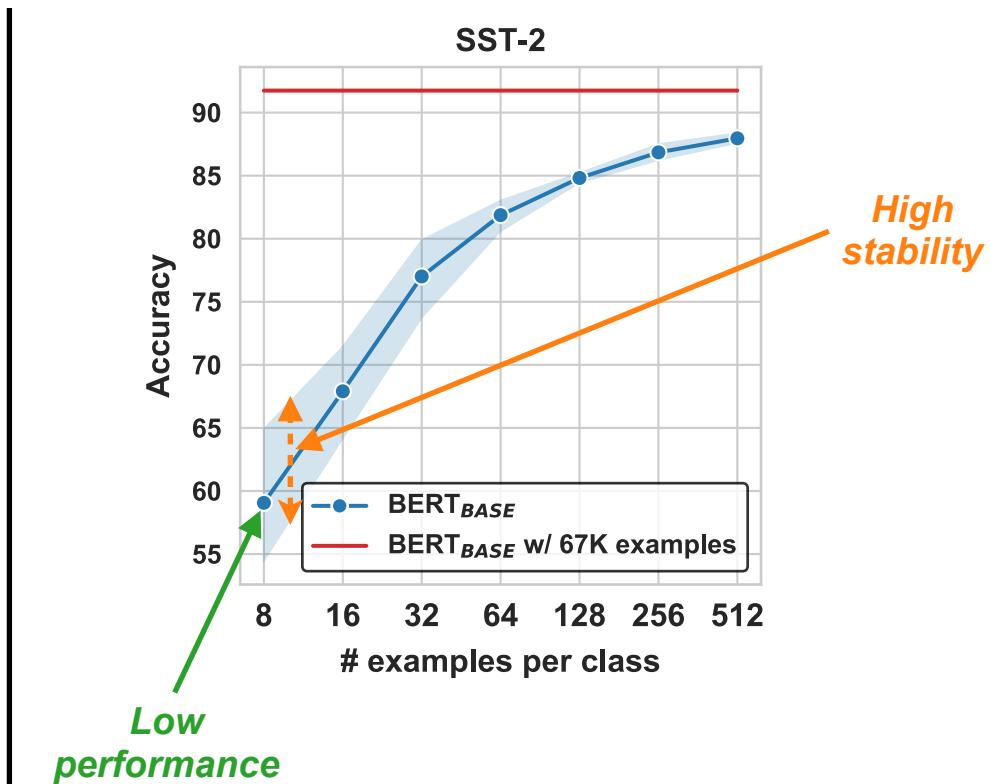
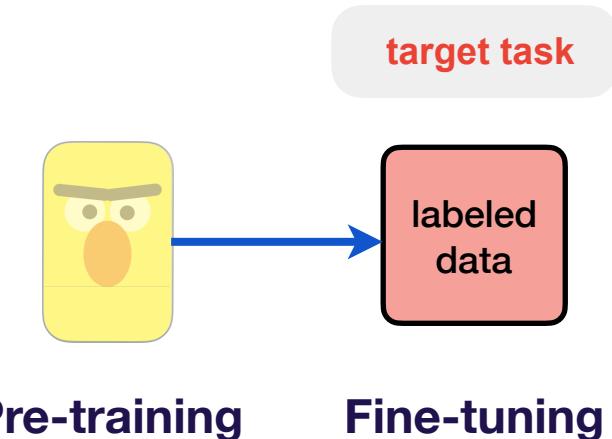
Mohit Iyyer<sup>2</sup>



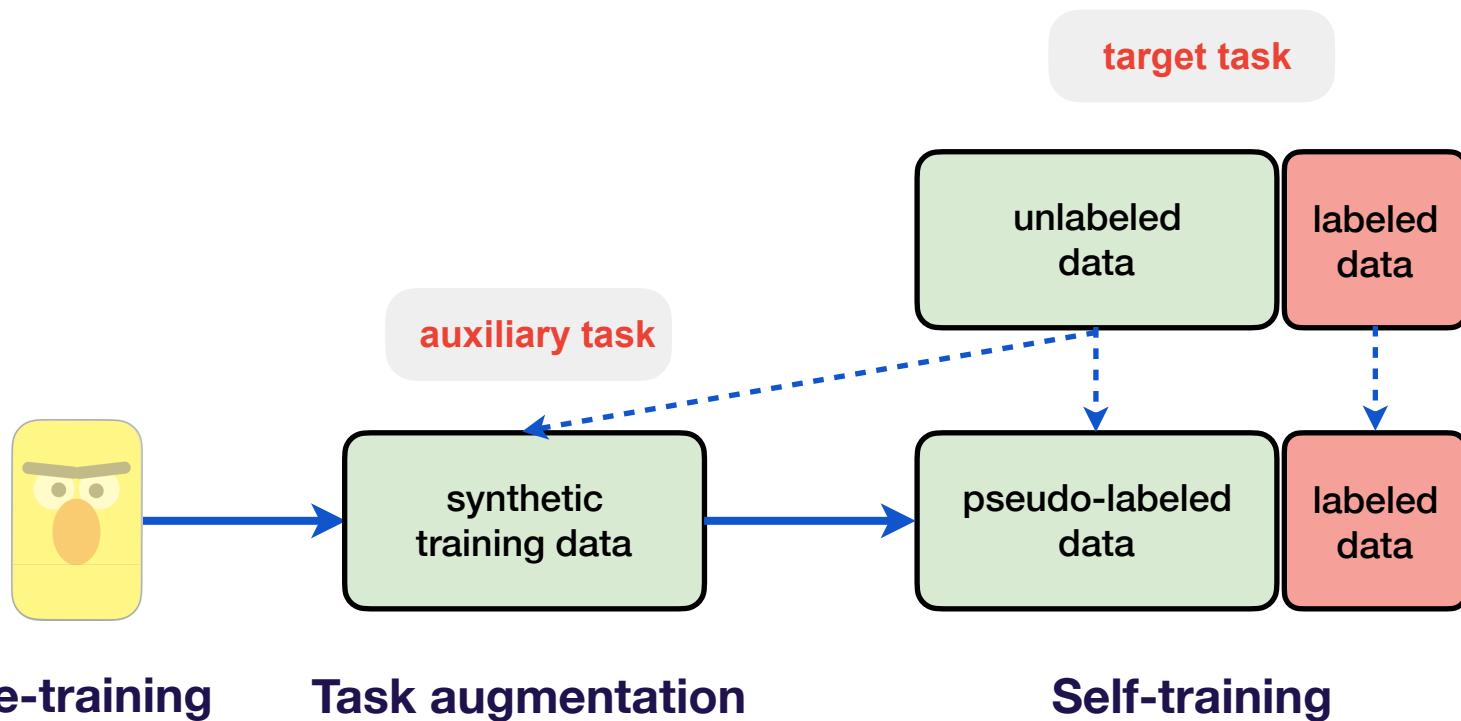
# Agenda

- Motivation
- STraTA: Self-training with Task Augmentation
- Results and Discussion
- Conclusion

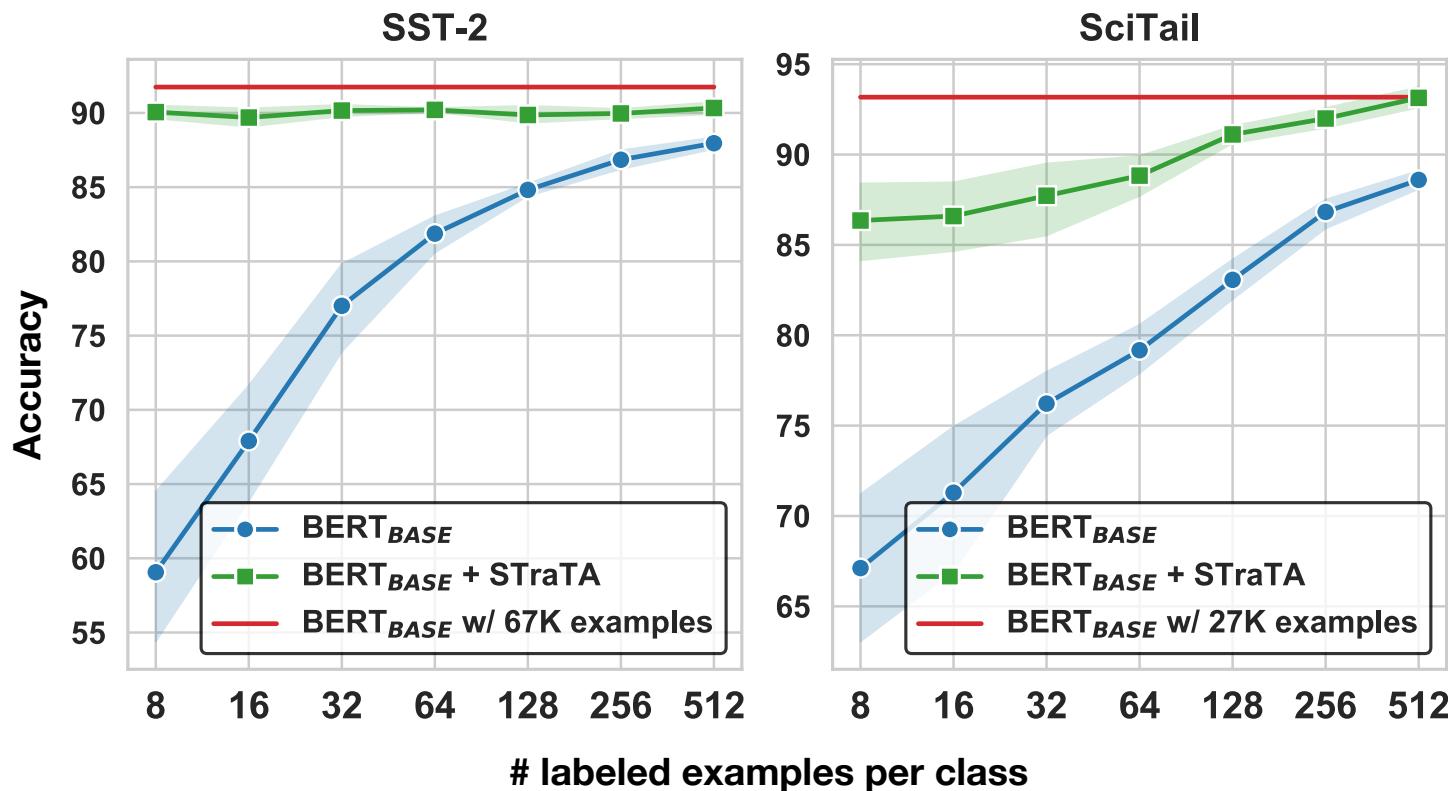
# The current dominant learning paradigm



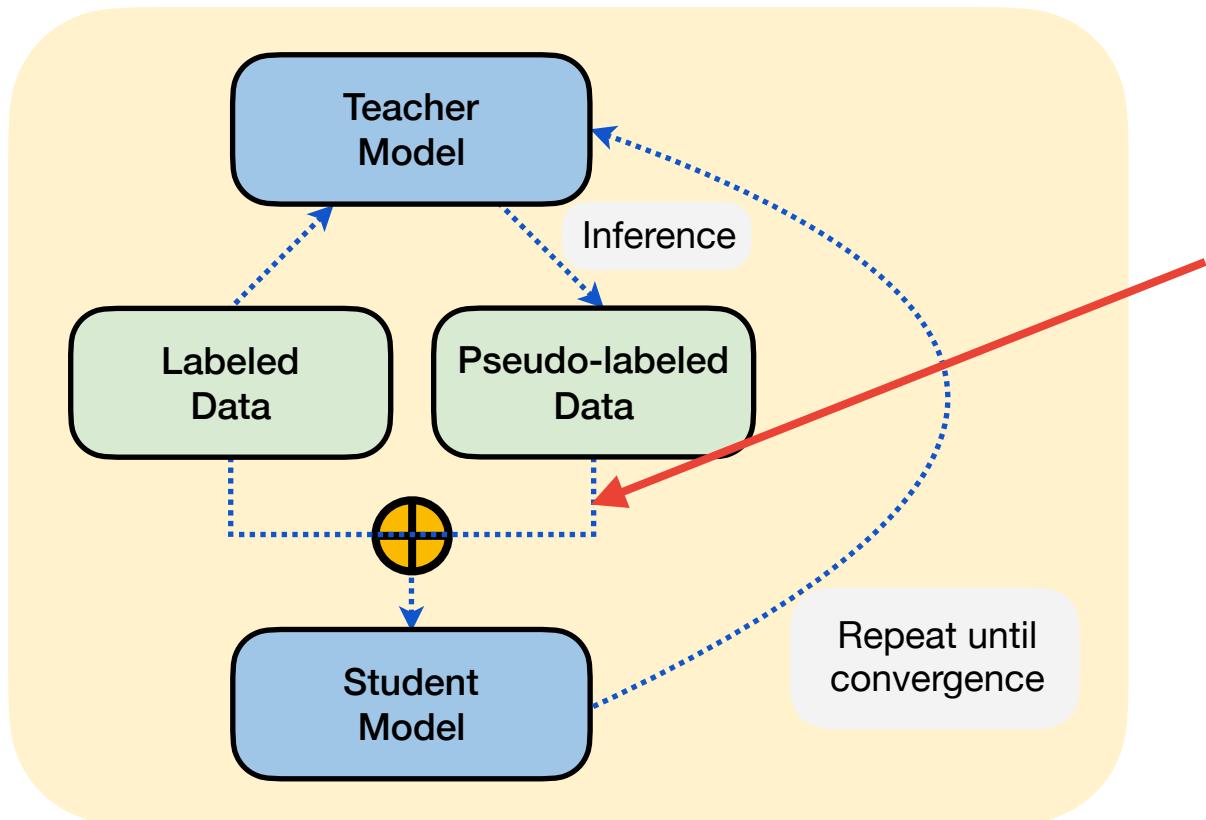
# Exploiting task-specific unlabeled data



# STrTA substantially improves sample efficiency

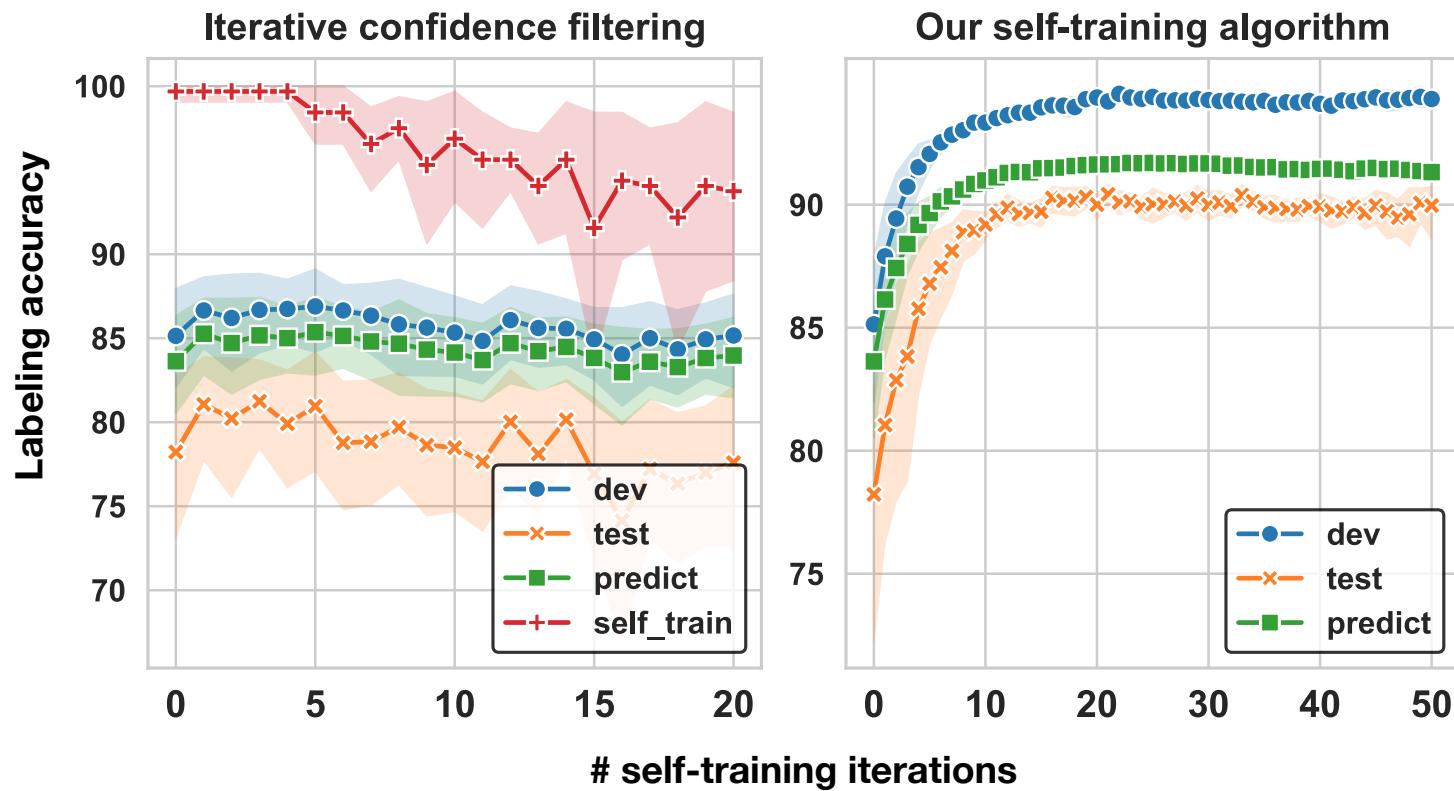


# What is self-training?

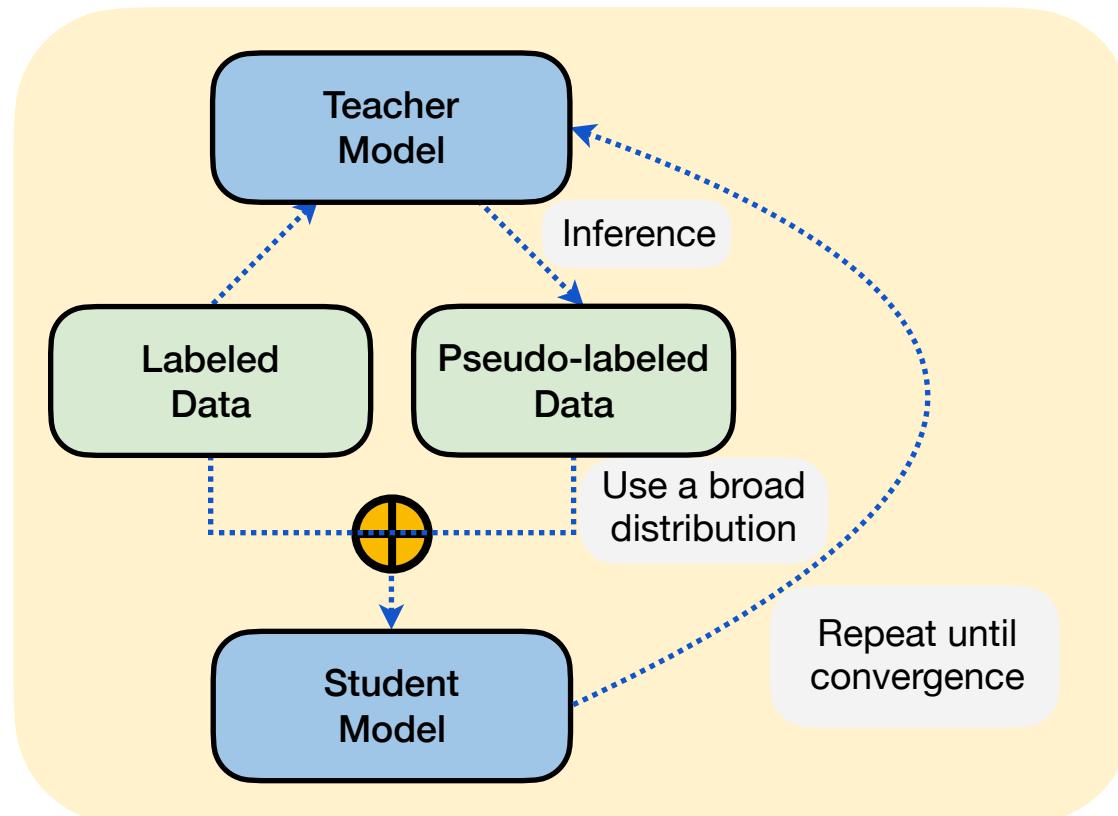


*what pseudo-labeled examples to use?*

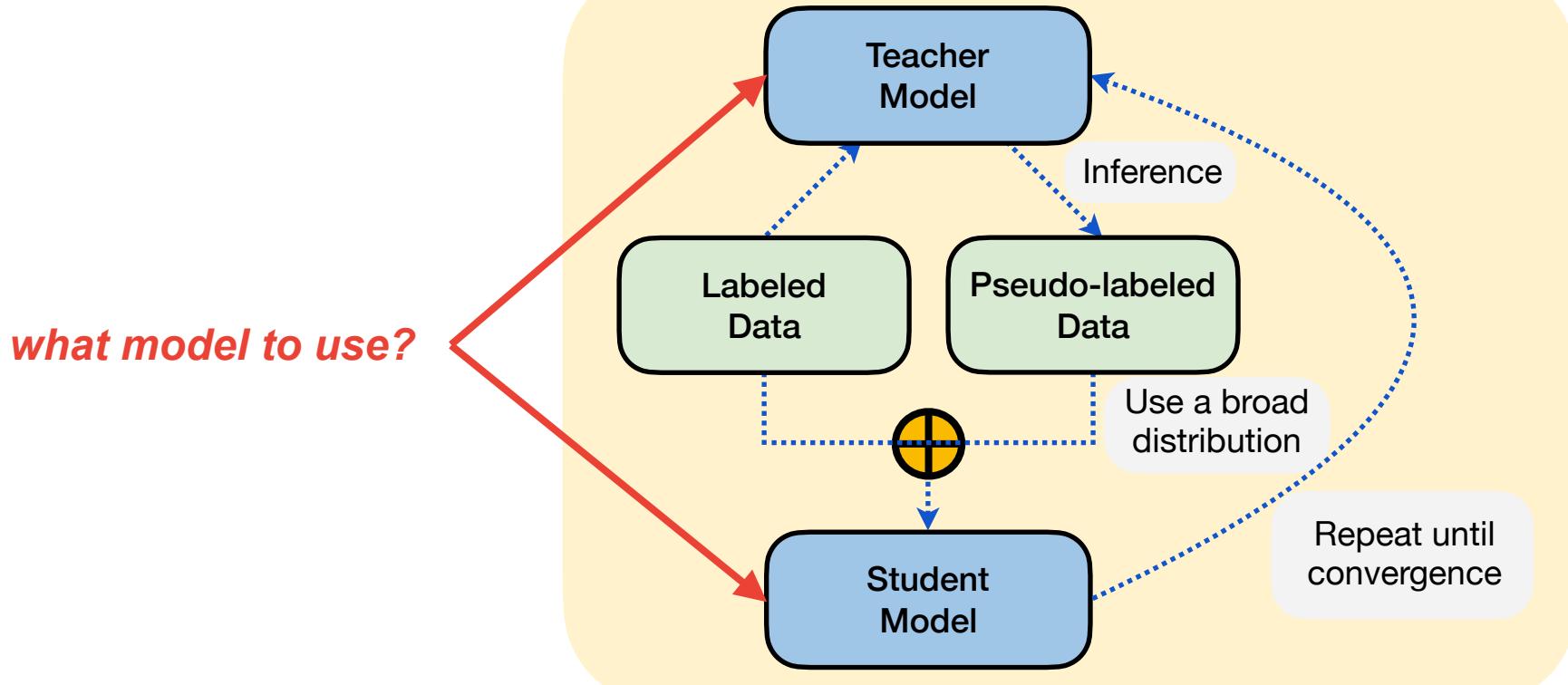
# Self-training on a broad distribution of pseudo-labeled data



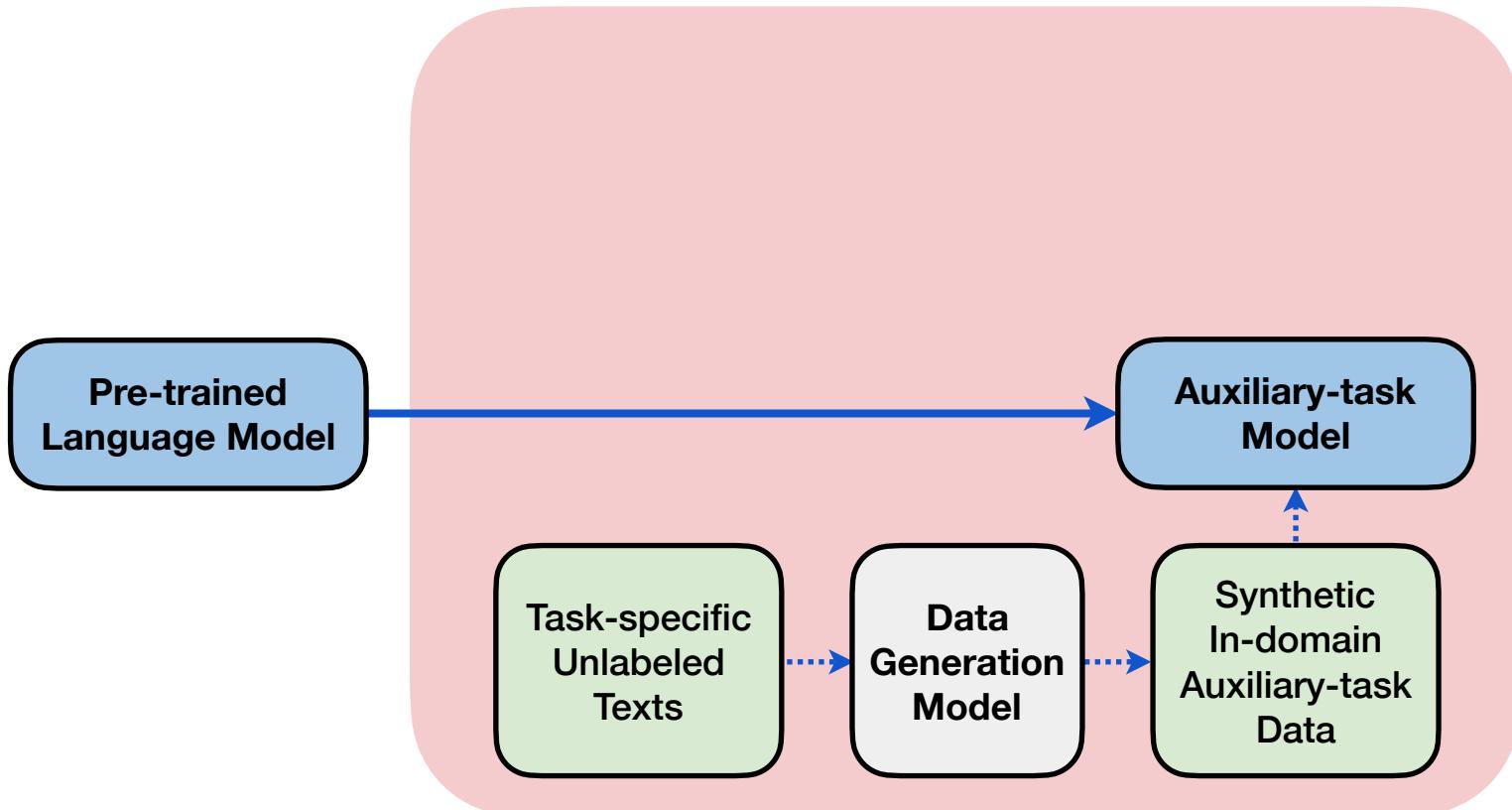
# Our self-training algorithm



# Our self-training algorithm (cont.)



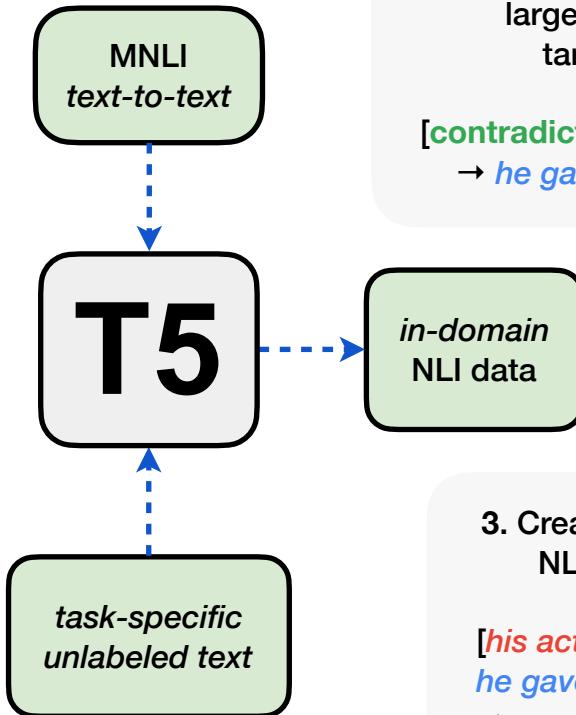
# Task Augmentation



# Task augmentation

1. Train an NLI data generator by fine-tuning a pre-trained generative model on the MNLI dataset in a *text-to text* format

[entailment: *I have met a woman whom I am attracted to*] → *I am attracted to a woman I met*



2. Use the model to simulate a large amount of NLI data using target-task unlabeled text

[contradiction: *his acting was really awful*] → *he gave an incredible performance*

3. Create synthetic in-domain NLI training examples

[*his acting was really awful*, *he gave an incredible performance*] → contradiction

# Example outputs

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*unlabeled text from SST-2*

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**Input:** a cast of a-list brit actors

- + **entailment** → **Output:** the cast includes british actors.
- + **neutral** → **Output:** the cast includes a-list brit actors such as nicole kidman and charlie chaplin.
- + **contradiction** → **Output:** the cast was all new and unknown.

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**Input:** both heartbreakin and heartwarming ... just a simple fable done in an artless style , but it 's tremendously moving

- + **entailment** → **Output:** the story is moving and touching.
- + **neutral** → **Output:** the artless style used to make the fable was a favorite of many.
- + **contradiction** → **Output:** the fable is artful and sophisticated but it is also heartbreakin.

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*unlabeled text from SciTail*

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**Input:** Surface tension is responsible for the fact that small insects can walk on water.

- + **entailment** → **Output:** small insects can walk on water due to surface tension.
- + **neutral** → **Output:** it's difficult for large insects to walk on water.
- + **contradiction** → **Output:** small insects cannot walk on water because of surface tension.

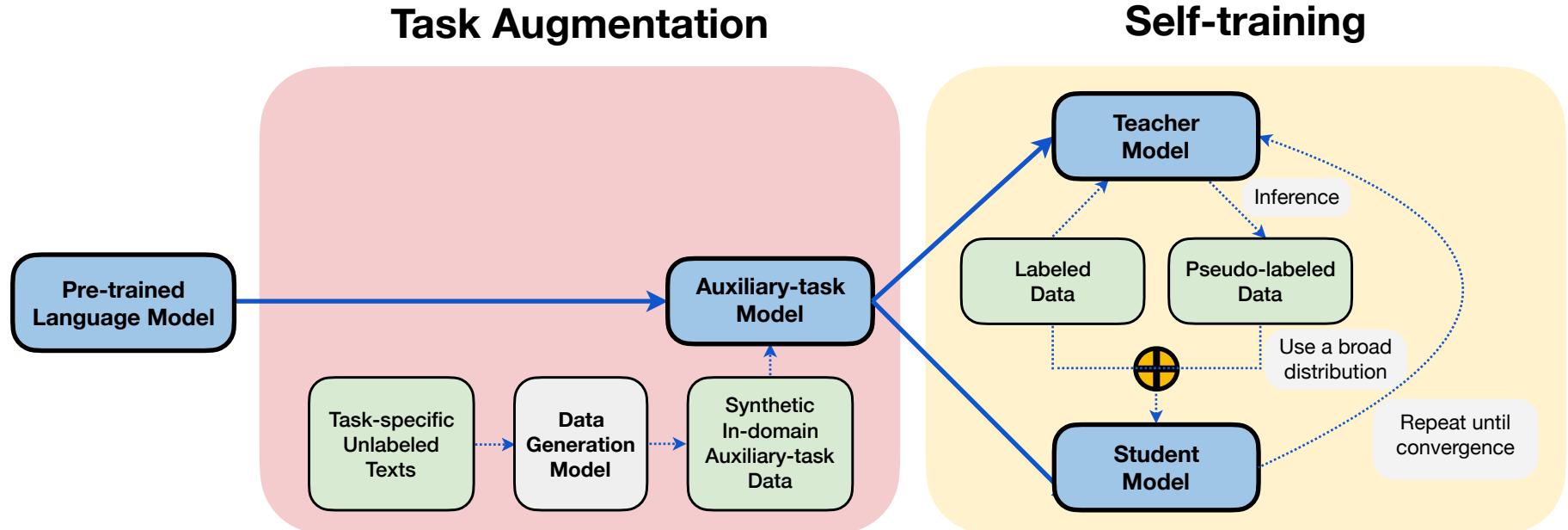
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**Input:** Tamiflu inhibits spread of virus.

- + **entailment** → **Output:** the virus is less spread when tamiflu is used.
- + **neutral** → **Output:** tamiflu is a good way to treat hiv.
- + **contradiction** → **Output:** tamiflu promotes viral spread.

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# STrTA: Self-training with Task Augmentation



# Experimental setup: datasets

Task	Train	Task type	Domain
<i>text classification/regression</i>			
SNLI (Bowman et al., 2015)	570K	NLI	misc.
MNLI (Williams et al., 2018)	393K	NLI	misc.
QQP (Iyer et al., 2017)	364K	paraphrase identification	social QA
QNLI (Wang et al., 2019b)	105K	QA-NLI	Wikipedia
SST-2 (Socher et al., 2013)	67K	sentiment analysis	movie reviews
SciTail (Khot et al., 2018)	27K	NLI	science QA
SST-5 (Socher et al., 2013)	8.5K	sentiment analysis	movie reviews
STS-B (Cer et al., 2017)	7K	semantic similarity	misc.
SICK-E (Marelli et al., 2014)	4.5K	NLI	misc.
SICK-R (Marelli et al., 2014)	4.5K	semantic similarity	misc.
CR (Hu and Liu, 2004)	4K	sentiment analysis	product reviews
MRPC (Dolan and Brockett, 2005)	3.7K	paraphrase identification	news
RTE (Dagan et al., 2005, et seq.)	2.5K	NLI	news, Wikipedia

Datasets used in our experiments and their characteristics, sorted by training dataset size.

# Experimental setup: baselines

## LMFT & ITFT

- **LMFT**: target-task language model fine-tuning ([Howard and Ruder, 2018](#); [Gururangan et al., 2020](#))
- **ITFT**: intermediate-task fine-tuning with MNLI ([Phang et al., 2019](#))

## Prompt/entailment-based fine-tuning

- **LM-BFF**: prompt-based fine-tuning ([Gao et al., 2021](#))
- **EFL**: entailment-based fine-tuning ([Wang et al., 2021](#))

## Du et al. (2021)

- **SentAugST**: Retrieval-based augmentation (SentAug) + self-training (ST)

# Main results

STraTA significantly improves results across 12 NLP benchmark datasets (numbers in the subscript indicate the standard deviation across 10 random seeds).

Model	SNLI	QQP	QNLI	SST-2	SciTail	SST-5	STS-B
FULL							
BERT <sub>LARGE</sub>	91.1	88.4	91.9	92.4	95.3	53.7 <sub>0.9</sub>	89.6 <sub>0.2</sub>
+ LMFT	91.0	88.1	90.4	93.5	95.3	54.0 <sub>0.4</sub>	89.5 <sub>0.2</sub>
+ ITFT <sub>MNLI</sub>	91.1	88.2	91.6	93.5	96.5	54.0 <sub>0.8</sub>	90.3 <sub>0.3</sub>
+ TA	<b>91.9</b>	<b>88.5</b>	<b>92.5</b>	<b>94.7</b>	<b>96.9</b>	<b>55.7</b> <sub>0.8</sub>	<b>90.9</b> <sub>0.2</sub>
LIMITED (1024 total training examples)							
BERT <sub>LARGE</sub>	77.4 <sub>0.6</sub>	74.1 <sub>1.0</sub>	81.7 <sub>0.9</sub>	89.8 <sub>0.6</sub>	90.9 <sub>0.7</sub>	49.1 <sub>1.3</sub>	88.2 <sub>0.4</sub>
+ LMFT	75.8 <sub>1.5</sub>	71.6 <sub>0.5</sub>	80.5 <sub>2.0</sub>	88.9 <sub>0.8</sub>	87.7 <sub>2.3</sub>	49.2 <sub>3.1</sub>	88.4 <sub>0.4</sub>
+ ITFT <sub>MNLI</sub>	85.2 <sub>0.4</sub>	74.0 <sub>0.5</sub>	83.5 <sub>0.5</sub>	90.0 <sub>0.8</sub>	92.1 <sub>1.1</sub>	49.4 <sub>1.2</sub>	87.8 <sub>0.8</sub>
+ TA	<b>87.3</b> <sub>0.3</sub>	<b>75.7</b> <sub>0.5</sub>	<b>85.0</b> <sub>0.5</sub>	<b>91.7</b> <sub>0.7</sub>	<b>92.3</b> <sub>1.1</sub>	<b>51.4</b> <sub>1.0</sub>	<b>89.0</b> <sub>0.6</sub>
FEW-SHOT (8 training examples per class)							
BERT <sub>LARGE</sub>	43.1 <sub>4.4</sub>	58.5 <sub>4.7</sub>	64.4 <sub>6.1</sub>	66.1 <sub>8.7</sub>	68.8 <sub>9.5</sub>	35.2 <sub>1.3</sub>	74.6 <sub>3.8</sub>
+ LMFT	39.6 <sub>2.6</sub>	52.7 <sub>4.7</sub>	52.2 <sub>1.6</sub>	66.3 <sub>9.3</sub>	66.4 <sub>10.6</sub>	36.8 <sub>2.9</sub>	75.4 <sub>9.4</sub>
+ ITFT <sub>MNLI</sub>	79.9 <sub>3.1</sub>	62.6 <sub>9.0</sub>	64.5 <sub>4.4</sub>	80.7 <sub>5.0</sub>	72.3 <sub>11.2</sub>	36.4 <sub>2.1</sub>	75.5 <sub>4.0</sub>
+ TA	84.8 <sub>0.7</sub>	64.6 <sub>6.3</sub>	71.5 <sub>4.0</sub>	85.5 <sub>1.4</sub>	79.0 <sub>4.5</sub>	38.5 <sub>3.0</sub>	78.9 <sub>2.4</sub>
+ ST	69.3 <sub>9.2</sub>	74.3 <sub>1.2</sub>	85.4 <sub>1.7</sub>	81.9 <sub>12.2</sub>	79.9 <sub>4.8</sub>	42.0 <sub>1.5</sub>	82.8 <sub>2.3</sub>
+ STraTA	<b>87.3</b> <sub>0.3</sub>	<b>75.1</b> <sub>0.2</sub>	<b>86.4</b> <sub>0.8</sub>	<b>91.7</b> <sub>0.7</sub>	<b>87.3</b> <sub>2.9</sub>	<b>43.0</b> <sub>2.3</sub>	<b>84.5</b> <sub>1.6</sub>
Prompt-based (LM-BFF; <a href="#">Gao et al., 2021</a> ) and entailment-based (EFL; <a href="#">Wang et al., 2021</a> ) methods							
RoBERTa <sub>LARGE</sub>	38.4 <sub>1.3</sub>	58.8 <sub>9.9</sub>	52.7 <sub>1.8</sub>	60.5 <sub>3.1</sub>	—	—	24.5 <sub>8.4</sub>
+ LM-BFF	52.0 <sub>1.7</sub>	<b>68.2</b> <sub>1.2</sub>	61.8 <sub>3.2</sub>	79.9 <sub>6.0</sub>	—	—	66.0 <sub>3.2</sub>
+ EFL	<b>81.0</b> <sub>1.1</sub>	67.3 <sub>2.6</sub>	<b>68.0</b> <sub>3.4</sub>	<b>90.8</b> <sub>1.0</sub>	—	—	<b>71.0</b> <sub>1.3</sub>

# Main results (cont.)

Compared to [Du et al. \(2021\)](#), our approach leads to better downstream performance, despite using a weaker base model (BERT vs. RoBERTa) and with less labeled examples.

Model	SST-2	SST-5	CR
<i>Ours (8 examples per class)</i>			
BERT <sub>BASE</sub>	69.8 <sub>6.5</sub>	32.8 <sub>2.0</sub>	73.1 <sub>0.5</sub>
+ TA	85.5 <sub>0.6</sub>	41.0 <sub>0.8</sub>	88.7 <sub>0.2</sub>
+ ST	74.9 <sub>9.0</sub>	38.3 <sub>0.8</sub>	85.6 <sub>1.8</sub>
+ STraTA	<b>90.8</b> <sub>0.6</sub>	<b>43.1</b> <sub>1.1</sub>	<b>91.4</b> <sub>0.2</sub>
BERT <sub>LARGE</sub>	75.6 <sub>3.3</sub>	36.6 <sub>0.4</sub>	79.3 <sub>0.7</sub>
+ TA	87.3 <sub>0.3</sub>	41.7 <sub>1.1</sub>	90.0 <sub>0.4</sub>
+ ST	90.6 <sub>0.3</sub>	43.8 <sub>0.4</sub>	89.0 <sub>1.1</sub>
+ STraTA	<b>92.4</b> <sub>0.1</sub>	<b>45.5</b> <sub>0.7</sub>	<b>90.6</b> <sub>0.0</sub>
<i><a href="#">Du et al. (2021)</a> (20 examples per class)</i>			
RoBERTa <sub>LARGE</sub>	83.6 <sub>2.7</sub>	42.3 <sub>1.6</sub>	88.9 <sub>1.7</sub>
+ SentAugST	<b>86.7</b> <sub>2.3</sub>	<b>44.4</b> <sub>1.0</sub>	<b>89.7</b> <sub>2.0</sub>

# STraTA improves a randomly-initialized base model

Model	SST-2	SciTail
RAND <sub>BASE</sub> + STraTA	50.0 <sub>1.6</sub> 78.6 <sub>0.9</sub>	50.7 <sub>2.4</sub> 64.4 <sub>3.1</sub>
BERT <sub>BASE</sub> + STraTA	59.1 <sub>8.4</sub> 90.1 <sub>0.8</sub>	67.1 <sub>6.6</sub> 86.3 <sub>3.5</sub>
BERT <sub>LARGE</sub> + STraTA	66.1 <sub>8.7</sub> 91.7 <sub>0.7</sub>	68.8 <sub>9.5</sub> 87.3 <sub>2.9</sub>

Our approach yields improvements even when starting with a randomly-initialized model, but pre-training helps considerably.

# Does self-training work with out-of-domain/distribution unlabeled data?

Model	SciTail	CR	MRPC	RTE
BERT <sub>BASE</sub>	67.1 <sub>6.6</sub>	65.2 <sub>8.2</sub>	72.4 <sub>10.2</sub>	51.4 <sub>2.5</sub>
BERT <sub>BASE</sub> + TA	78.5 <sub>3.2</sub>	86.5 <sub>2.2</sub>	74.5 <sub>6.5</sub>	67.6 <sub>7.1</sub>
+ ST <sub>IN</sub>	86.3 <sub>3.5</sub>	90.5 <sub>0.8</sub>	81.0 <sub>0.8</sub>	70.6 <sub>2.4</sub>
+ ST <sub>OUT</sub>	81.4 <sub>3.7</sub>	88.3 <sub>1.9</sub>	80.3 <sub>1.9</sub>	71.2 <sub>3.2</sub>
+ ST <sub>IN + OUT</sub>	82.6 <sub>2.6</sub>	88.3 <sub>1.5</sub>	80.2 <sub>1.1</sub>	69.9 <sub>4.0</sub>

Self-training with out-of-domain unlabeled examples also results in improvements, but using in-domain data works significantly better.

# Towards realistic evaluation in few-shot learning

Model	SST-2	SciTail
BERT <sub>BASE</sub>	58.8 <sub>8.4</sub> (↓ 0.3)	61.5 <sub>5.4</sub> (↓ 5.6)
+ LMFT	64.0 <sub>8.1</sub> (↓ 0.9)	59.3 <sub>5.6</sub> (↓ 4.7)
+ ITFT <sub>MNLI</sub>	76.5 <sub>7.2</sub> (↓ 0.3)	76.2 <sub>5.4</sub> (↑ 0.4)
+ TA	79.8 <sub>6.3</sub> (↓ 0.5)	77.8 <sub>3.3</sub> (↓ 0.7)
+ STraTA	86.6 <sub>2.6</sub> (↓ 3.5)	80.6 <sub>3.0</sub> (↓ 5.7)

In a realistic evaluation without a development set, our **STraTA** approach still leads to significant improvements on top of BERT<sub>BASE</sub>. In parentheses, we show the absolute increase (↑) or decrease (↓) in performance compared to the same method used with a development set.

# Conclusion

## STrTA

- ◆ two *complementary* and *independently effective* methods to leverage task-specific unlabeled data for improved downstream performance
  - **task augmentation:** synthesizes a large amount of in-domain data for auxiliary-task fine-tuning from target-task unlabeled texts
  - **self-training:** trains on a broad distribution of pseudo-labeled data
- ◆ substantially improves sample efficiency across 12 NLP benchmark datasets

# Thank you!

Code will be available at

[https://github.com/google-research/  
google-research/tree/master/STrATA](https://github.com/google-research/google-research/tree/master/STrATA)