### CS 383: ARTIFICIAL INTELLIGENCE

### Conclusion and Advanced Applications

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### Overview of AI Topics

#### Search / Planning

Uninformed Search Minimax A\* Search Expectimax CSPs MDPs Local Search

#### Machine Learning

Reinforcement Learning Probability Theory Bayes Nets HMMs Particle Filters Decision Diagrams

Naive Bayes Perceptrons Neural Networks Kernels Clustering VPI



### **Overview of Machine Learning**



#### Unsupervised Learning Reinforcement Learning **K-Means Clustering MDPs** Supporting Ideas Value Iteration Policy Iteration Q Learning Probability Theory VPI Particle Filters Kernels



# Maximize Your Expected Utility



## Properties of task environment

- Fully observable vs. partially observable Single-agent vs. multi-agent Deterministic vs. stochastic Episodic vs. sequential Static vs. dynamic Discrete vs. continuous
- Known vs. unknown

## Single agent vs. multi-agent

- Not multi-agent if other agents can be considered part of the environment
- Only considered to be multi-agent if the agents are maximizing a performance metric that depends on other agents' behavior
- Single agent example: Pacman with randomly moving ghosts
- Multi-agent example: Pacman with ghosts that use a planner to follow him

### Single

Uninformed Search A\* Search Local Search CSPs

### Single / Multi Agent

### Multi

Minimax

Expectimax

MDPs

Reinforcement Learning

## Deterministic vs. stochastic

- Deterministic: next state of environment is completely determined by the current state and the action executed by the agent
- Stochastic: actions have probabilistic outcomes
- Strongly related to partial observability most apparent stochasticity results from partial observation of a deterministic system
- Example: Coin flip

### Deterministic

Uninformed Search A\* Search Local Search CSPs

Minimax

### Determinism

### **Stochastic**

Expectimax

MDPs

Reinforcement Learning

**Decision Diagrams** 

- Fully observable: agent's sensors give it access to complete state of the environment at all times
- Can be partially observable due to noisy and inaccurate sensors, or because parts of the state are simply missing from the sensor data
- Example: Perfect GPS vs noisy pose estimation
- Example: IKEA assembly while blindfolded

Almost everything in the real world is partially observable

### **Fully Observable**

Minimax Uninformed Search Expectimax A\* Search Local Search MDPs CSPs Reinforcement Learning

### Observability

### **Partially Observable**

POMDPs Bayes Nets HMMs Decision Diagrams

- Agent's state of knowledge about the "rules of the game" / "laws of physics"
- Known environment: the outcomes for all actions are given Unknown: agent has to learn how it works to make good
- decisions
- Possible to be partially observable but known (solitaire) Possible to be fully observable but unknown (video game)

### Model of the World

#### Known

Uninformed Search

A\* Search

Local Search

CSPs

Minimax

Expectimax

MDPs

Value Iteration

**Decision Diagrams** 

#### Unknown

Q Learning Learning parameters of Bayes Net



## Robotic Helicopters

![](_page_14_Picture_0.jpeg)

![](_page_14_Picture_1.jpeg)

## Hover

#### [Ng et al, 2004]

![](_page_14_Picture_4.jpeg)

## Autonomous Helicopter Flight

![](_page_15_Picture_1.jpeg)

#### Key challenges:

- Decide on control inputs to send to helicopter

![](_page_15_Picture_5.jpeg)

#### Track helicopter position and orientation during flight

![](_page_16_Picture_1.jpeg)

## Autonomous Helicopter Setup

#### Send out controls to helicopter

![](_page_16_Picture_5.jpeg)

## HMM for Tracking the Helicopter

![](_page_17_Figure_1.jpeg)

- State:  $s = (x, y, z, \phi, \theta, \psi, \psi, \psi)$
- Measurements: [observation update]
  - 3-D coordinates from vision, 3-axis magnetometer, 3-axis gyro, 3-axis accelerometer
- Transitions (dynamics): [time elapse update]
  - $S_{t+1} = f(S_t, a_t) + W_t$

$$\dot{x}, \dot{y}, \dot{z}, \dot{\phi}, \dot{ heta}, \dot{\psi})$$

f: encodes helicopter dynamics, w: noise

## Helicopter MDP

• State: 
$$s=(x,y,z,\phi, heta,\psi,\dot{x})$$

- Actions (control inputs):
  - **a**<sub>lon</sub> : Main rotor longitudinal cyclic pitch control (affects pitch rate)
  - a<sub>lat</sub>: Main rotor latitudinal cyclic pitch control (affects roll rate)
  - a<sub>coll</sub>: Main rotor collective pitch (affects main rotor thrust)
  - a<sub>rud</sub>: Tail rotor collective pitch (affects tail rotor thrust)

#### Transitions (dynamics):

•  $S_{t+1} = f(S_t, a_t) + W_t$ 

[f encodes helicopter dynamics] [w is a probabilistic noise model]

Can we solve the MDP yet?

 $\dot{x}, \dot{y}, \dot{z}, \dot{\phi}, \dot{\theta}, \dot{\psi})$ 

![](_page_18_Picture_12.jpeg)

![](_page_18_Picture_13.jpeg)

## Problem: What's the Reward?

### Reward for hovering:

R(s) = -

$$-\alpha_x (x - x^*)^2$$

$$-\alpha_y (y - y^*)^2$$

$$-\alpha_z (z - z^*)^2$$

$$-\alpha_{\dot{x}} \dot{x}^2$$

$$-\alpha_{\dot{x}} \dot{x}^2$$

$$-\alpha_{\dot{z}} \dot{z}^2$$

## Problem: What's the Reward?

- Rewards for "Flip"?
  - Problem: what's the target trajectory?
  - Just write it down by hand?
  - Penalize for deviation from trajectory

![](_page_21_Picture_1.jpeg)

## Flips (?)

## Helicopter Apprenticeship?

![](_page_22_Picture_1.jpeg)

![](_page_22_Picture_2.jpeg)

Reinforcement learning basics:

![](_page_23_Figure_2.jpeg)

Policy:  $\pi(s, a) \rightarrow [0, 1]$ 

What if we have an MDP/R?

distribution

discount rate start state reward function

Value function:  $V^{\pi}(s_0) = \sum_{t=1}^{\infty} \gamma^t R(s_t)$ t=0

I. Collect user demonstration and assume it is sampled from

2. Explain expert demos by finding  $R^*$  such that:  $E[\sum_{t=0}^{\infty} \gamma^t R^*(s_t) | \pi^E]$  $E_{s_0 \sim D}[V^{\pi^E}(s_0)] \geq E_{s_0 \sim D}[V^{\pi}(s_0)]$ 

How can search be made tractable?

$$(s_0,a_0),(s_1,a_1),\ldots,(s_n,a_n)$$
  
m the expert's policy,  $\pi^E$ 

$$\geq E\left[\sum_{t=0}^{\infty} \gamma^{t} R^{*}(s_{t}) | \pi\right] \quad \forall \pi$$
$$\geq E_{s_{0} \sim D}\left[V^{\pi}(s_{0})\right] \quad \forall \pi$$

Define  $R^*$  as a linear combination of features:  $R^*(s) = w^T \phi(s)$  , where  $\phi: S \to \mathbb{R}^n$ 

Then,

 $E\left[\sum_{t=0}^{\infty} \gamma^{t} R^{*}(s_{t}) | \pi\right] = E$ 

= u

= u

Thus, the expected value of a policy can be expressed as a weighted sum of the expected features  $\mu(\pi)$ 

$$E\left[\sum_{t=0}^{\infty} \gamma^{t} w^{T} \phi(s_{t}) | \pi\right]$$
$$w^{T} E\left[\sum_{t=0}^{\infty} \gamma^{t} \phi(s_{t}) | \pi\right]$$
$$w^{T} \mu(\pi)$$

Originally - Explain expert $E[\sum_{t=0}^{\infty} \gamma^t R^*(s_t) | \pi^E]$ 

Use expected features:  $E[\sum_{t=0}^{\infty}\gamma^t R^*]$ 

Restated - find  $w^*$  such that:  $w^*\mu(\pi^E) \ge$ 

Originally - Explain expert demos by finding  $R^*$  such that:

 $E\left[\sum_{t=0}^{\infty} \gamma^t R^*(s_t) | \pi^E\right] \geq E\left[\sum_{t=0}^{\infty} \gamma^t R^*(s_t) | \pi\right] \quad \forall \pi$ 

### $E\left[\sum_{t=0}^{\infty} \gamma^t R^*(s_t) | \pi\right] = w^T \mu(\pi)$

 $w^*\mu(\pi^E) \geq w^*\mu(\pi) \quad \forall \pi$ 

I. Initialize  $\pi_0$  to any policy

Iterate for  $i = 1, 2, \ldots$ 

examined policies  $\pi_{0...i-1}$ :

3. Use RL to calc. optimal policy  $\pi_i$  associated with  $w^*$ 

4. Stop if  $\epsilon \leq$  threshold

Goal: Find  $w^*$  such that:  $w^*\mu(\pi^E) \geq w^*\mu(\pi) \ \forall \pi$ 

2. Find  $w^*$ s.t. expert maximally outperforms all previously

 $\max_{\epsilon, w^*: \|w^*\|_2 \le 1} \epsilon \quad \text{s.t.} \quad w^* \mu(\pi^E) \ge w^* \mu(\pi_j) + \epsilon$ 

I. Initialize  $\pi_0$  to any policy

Iterate for  $i = 1, 2, \ldots$ 

examined policies  $\pi_{0...i-1}$ :

3. Use RL to calc. optimal policy  $\pi_i$  associated with  $w^*$ 

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Goal: Find  $w^*$  such that:  $w^*\mu(\pi^E) \geq w^*\mu(\pi) \ \forall \pi$ 

2. Find  $w^*$ s.t. expert maximally outperforms all previously  $\max_{\epsilon, w^*: \|w^*\|_2 \le 1} \epsilon \quad \text{s.t.} \quad w^* \mu(\pi^E) \ge w^* \mu(\pi_j) + \epsilon$ 

[Abbeel and Ng 2004]

SVM

solver

![](_page_29_Picture_1.jpeg)

- Low-level control problem: moving a foot into a new location  $\rightarrow$ search with successor function ~ moving the motors
- High-level control problem: where should we place the feet?
  - Reward function R(x) = w . f(s) [25 features]

## Quadruped

![](_page_29_Picture_8.jpeg)

## Experimental setup

#### Demonstrate path across the "training terrain"

![](_page_30_Picture_2.jpeg)

- Run apprenticeship to learn the reward function
- Receive "testing terrain"---height map.

![](_page_30_Picture_5.jpeg)

crossing the testing terrain.

Find the optimal policy with respect to the *learned reward function* for

[Kolter, Abbeel & Ng, 2008]

![](_page_30_Picture_10.jpeg)

## Without learning

![](_page_31_Picture_1.jpeg)

## With learned reward function

![](_page_32_Picture_1.jpeg)

#### Problems with standard inverse reinforcement learning

#### Policy learning in inner loop

![](_page_33_Figure_2.jpeg)

#### Cannot outperform demonstrator

![](_page_33_Picture_4.jpeg)

### **T-REX: Trajectory-ranked Reward Extrapolation**

![](_page_34_Figure_1.jpeg)

- Fully supervised no policy learning
- Auto-generated rankings:

D.S. Brown, W. Goo, P. Nagarajan, and S. Niekum. Extrapolating Beyond Suboptimal Demonstrations via Inverse Reinforcement Learning from Observations. International Conference on Machine Learning (ICML), June 2019.

$$\mathbf{P}(\hat{J}_{\theta}(\tau_i) < \hat{J}_{\theta}(\tau_j)) \approx \frac{\exp\sum_{s \in \tau_j} \hat{r}_{\theta}(s)}{\exp\sum_{s \in \tau_i} \hat{r}_{\theta}(s) + \exp\sum_{s \in \tau_j} \hat{r}_{\theta}(s)}$$

$$\mathcal{L}(\theta) = \mathbf{E}_{\tau_i, \tau_j \sim \Pi} \Big[ \xi \Big( \mathbf{P} \big( \hat{J}_{\theta}(\tau_i) < \hat{J}_{\theta}(\tau_j) \big), \tau_i \prec \tau_j \Big) \Big]$$

## • Works on high-dim (e.g. Atari) with $\sim 10$ demos

D. Brown, W. Goo, and S. Niekum. Ranking-Based Reward Extrapolation without Rankings Conference on Robot Learning (CoRL), October 2019.

![](_page_34_Picture_11.jpeg)

### Ranked demonstrations: HalfCheetah

![](_page_35_Picture_1.jpeg)

12.52

![](_page_35_Picture_3.jpeg)

![](_page_35_Picture_4.jpeg)

44.98

88.97

![](_page_35_Picture_7.jpeg)

![](_page_35_Picture_8.jpeg)

![](_page_35_Picture_9.jpeg)

![](_page_36_Picture_1.jpeg)

#### Best demo (88.97)

### **Results: HalfCheetah**

![](_page_36_Picture_4.jpeg)

#### T-REX (143.40)

#### **Results: Atari**

![](_page_37_Picture_1.jpeg)

#### Best demo (600)

![](_page_37_Picture_3.jpeg)

**T-REX (1495)** 

### Frame stacks: best vs. worst reward

![](_page_38_Picture_1.jpeg)

![](_page_38_Picture_2.jpeg)

![](_page_38_Picture_3.jpeg)

![](_page_38_Picture_4.jpeg)

![](_page_38_Picture_5.jpeg)

![](_page_38_Picture_6.jpeg)

![](_page_38_Picture_7.jpeg)

![](_page_38_Picture_8.jpeg)

![](_page_38_Picture_9.jpeg)

![](_page_38_Picture_10.jpeg)

![](_page_38_Picture_11.jpeg)

![](_page_38_Picture_12.jpeg)

![](_page_39_Figure_1.jpeg)

#### Unranked Demonstrations

D. Brown, W. Goo, and S. Niekum. Ranking-Based Reward Extrapolation without Rankings Conference on Robot Learning (CoRL), October 2019.

#### "Ranked" Trajectories

![](_page_39_Picture_5.jpeg)

#### Multimodal data sources: Human Gaze

![](_page_40_Picture_1.jpeg)

![](_page_40_Picture_2.jpeg)

![](_page_40_Picture_3.jpeg)

![](_page_40_Picture_4.jpeg)

#### Collecting gaze data during demonstrations

![](_page_41_Picture_1.jpeg)

#### Tobii 2 Glasses

![](_page_41_Figure_3.jpeg)

#### Image-based gaze tracking

A. Saran, S. Majumdar, E.S. Short, A.L. Thomaz, and S. Niekum. <u>Human Gaze Following for Human-Robot Interaction</u>. International Conference on Intelligent Robots and Systems (IROS), October 2018.

### Human gaze during ambiguous task demonstrations

![](_page_42_Picture_1.jpeg)

A. Saran, E.S. Short, A.L. Thomaz, and S. Niekum. Understanding Teacher Gaze Patterns for Robot Learning. Conference on Robot Learning (CoRL), October 2019.

Gaze fixations during kinesthetic demonstration

### CGL: Coverage-based Gaze Loss

![](_page_43_Picture_1.jpeg)

#### (a) Input image (b) Human

A. Saran, R. Zhang, E.S. Short, and S. Niekum. <u>Efficiently Guiding Imitation Learning Algorithms with Human Gaze</u>. International Conference on Autonomous Agents and Multiagent Systems (AAMAS), May 2021.

#### (c) T-REX (d) T-REX+CGL

#### Multimodal data sources: Facial Reactions

![](_page_44_Picture_1.jpeg)

#### Implicit human feedback:

- Occurs naturally

• Is not necessarily intended to influence behavior • Can be used with no additional burden on user

### **EMPATHIC:** Learning from implicit feedback — training

![](_page_45_Picture_1.jpeg)

Y. Cui, Q. Zhang, A. Allievi, P. Stone, S. Niekum, and W. Knox. <u>The EMPATHIC Framework for Task Learning from Implicit Human Feedback</u>. Conference on Robot Learning (CoRL), November 2020.

#### **EMPATHIC:** Learning from implicit feedback — deployment

![](_page_46_Picture_1.jpeg)

Y. Cui, Q. Zhang, A. Allievi, P. Stone, S. Niekum, and W. Knox. <u>The EMPATHIC Framework for Task Learning from Implicit Human Feedback</u>. Conference on Robot Learning (CoRL), November 2020.

![](_page_46_Picture_3.jpeg)

### Even more multimodal data sources

![](_page_47_Picture_1.jpeg)

#### Auxiliary video alignment

W. Goo and S. Niekum. One Shot Learning of Multi-Step Tasks from Observation via Activity Localization in Auxiliary Video International Conference on Robotics and Automation, May 2019. P. Goyal, S. Niekum, and R. Mooney. PixL2R: Guiding Reinforcement Learning Using Natural Language by Mapping Pixels to Rewards. Conference on Robot Learning (CoRL), November 2020.

![](_page_47_Picture_6.jpeg)

#### Natural language

#### Audio and prosody

A. Saran and S. Niekum Analyzing Audio Patterns During Demonstration In Submission.

![](_page_47_Picture_10.jpeg)

#### Demonstration

![](_page_48_Picture_1.jpeg)

![](_page_48_Picture_2.jpeg)

### High-level task modeling

![](_page_49_Picture_1.jpeg)

![](_page_49_Picture_2.jpeg)

Unsegmented demonstrations of multi-step tasks

Finite-state task representation

#### Why?

- Superior generalization of skills
- Handle contingencies
- Adaptively sequence skills

#### Questions

- How many skills?
- Parameters of skills / controllers?
- How to sequence intelligently?

![](_page_51_Picture_0.jpeg)

![](_page_51_Picture_1.jpeg)

![](_page_51_Picture_2.jpeg)

![](_page_52_Figure_1.jpeg)

![](_page_53_Figure_1.jpeg)

### Segmenting demonstrations

![](_page_54_Figure_1.jpeg)

Standard Hidden Markov Model

![](_page_55_Figure_1.jpeg)

![](_page_55_Figure_2.jpeg)

Motion categories

Observations

Autoregressive Hidden Markov Model

Segmenting demonstrations

![](_page_56_Figure_1.jpeg)

![](_page_56_Figure_2.jpeg)

Motion categories

Observations

Autoregressive Hidden Markov Model

Segmenting demonstrations

![](_page_57_Figure_2.jpeg)

Motion categories

Observations

Autoregressive Hidden Markov Model

Segmenting demonstrations

![](_page_58_Figure_1.jpeg)

Beta Process Autoregressive Hidden Markov Model (Fox et al. 2011)

Segmenting demonstrations

![](_page_59_Figure_1.jpeg)

![](_page_60_Figure_1.jpeg)

![](_page_61_Figure_1.jpeg)

![](_page_62_Figure_1.jpeg)

#### Learning a task plan: Finite state automata

![](_page_63_Picture_1.jpeg)

![](_page_63_Figure_2.jpeg)

### Learning a task plan: Finite state automata

![](_page_64_Figure_3.jpeg)

#### Interactive corrections

![](_page_65_Picture_1.jpeg)

#### Replay with corrections: missed grasp

![](_page_66_Picture_1.jpeg)

![](_page_66_Picture_2.jpeg)

### Replay with corrections: too far away

![](_page_67_Picture_1.jpeg)

![](_page_67_Picture_2.jpeg)

### Replay with corrections: full run

![](_page_68_Picture_1.jpeg)

![](_page_68_Picture_2.jpeg)