

# CMPSCI 311: Introduction to Algorithms

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## Graph Traversal

Thought experiment. World social graph.

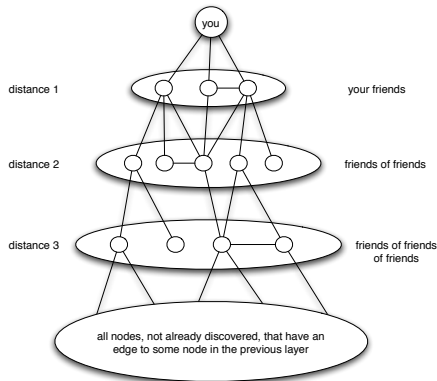
- ▶ Is it connected?
- ▶ If not, how big is largest connected component?
- ▶ Is there a path between you and Barack Obama?

How can you tell algorithmically?

Answer: graph traversal! (BFS/DFS)

## Breadth-First Search

Explore outward from starting node  $s$  by distance. "Expanding wave"



## Breadth-First Search: Layers

Explore outward from starting node  $s$ .

Define layer  $L_i =$  all nodes at distance exactly  $i$  from  $s$ .

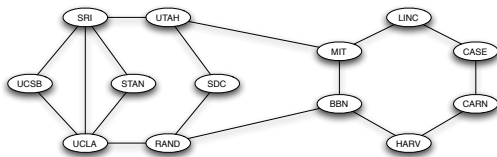
### Layers

- ▶  $L_0 = \{s\}$
- ▶  $L_1 =$  nodes with edge to  $L_0$
- ▶  $L_2 =$  nodes with an edge to  $L_1$  that don't belong to  $L_0$  or  $L_1$
- ▶ ...
- ▶  $L_{i+1} =$  nodes with an edge to  $L_i$  that don't belong to any earlier layer.

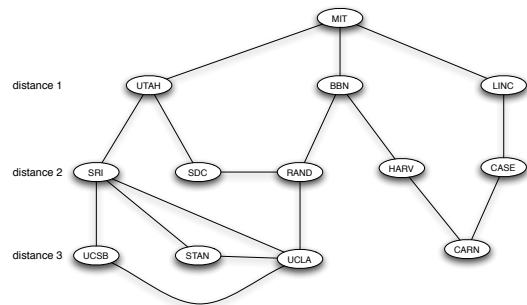
**Observation:** There is a path from  $s$  to  $t$  if and only if  $t$  appears in some layer.

## BFS

Exercise: draw the BFS layers for a BFS starting from MIT

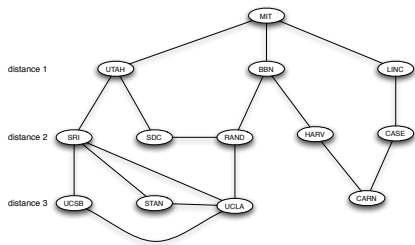


## BFS Tree



We can use BFS to make a tree.

## BFS Tree



**Claim:** let  $T$  be the tree discovered by BFS on graph  $G = (V, E)$ , and let  $(x, y)$  be any edge of  $G$ . Then the layer of  $x$  and  $y$  in  $T$  differ by at most 1.

[Proof on board](#)

## BFS and non-tree edges

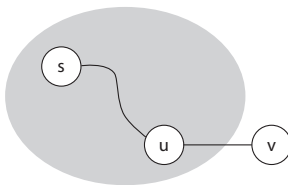
**Claim:** let  $T$  be the tree discovered by BFS on graph  $G = (V, E)$ , and let  $(x, y)$  be any edge of  $G$ . Then the layer of  $x$  and  $y$  in  $T$  differ by at most 1.

**Proof**

- ▶ Let  $(x, y)$  be an edge
- ▶ Suppose  $x \in L_i, y \in L_j$ , and  $j > i + 1$
- ▶ When BFS visits  $x$ , either  $y$  is already discovered or not.
  - ▶ If  $y$  is already discovered, then  $j \leq i + 1$ . Contradiction.
  - ▶ Otherwise since  $(x, y) \in E$ ,  $y$  is added to  $L_{i+1}$ . Contradiction.

## A More General Exploration Strategy

To explore the connected component containing  $s$ :



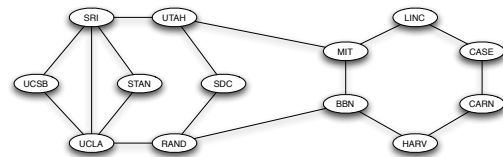
Add **any** node  $v$  for which

- ▶  $(u, v)$  is an edge
- ▶  $u$  is explored, but  $v$  is not

## Depth-First Search

Depth-first search (DFS): keep exploring from the most recently added node until you have to backtrack.

**Example.**



## Recursive DFS

DFS( $u$ )

Mark  $u$  as "Explored"

**for** each edge  $(u, v)$  incident to  $u$  **do**

**if**  $v$  is not marked "Explored" **then**

    Recursively invoke DFS( $v$ )

**end if**

**end for**

[Example on board](#)

## DFS Tree

[Can also extract tree  \$T\$  from DFS.](#)

- ▶  $(u, v) \in T$  if  $v$  explored from  $u$ —i.e., DFS( $u$ ) calls DFS( $v$ )

**Claim:** let  $T$  be a depth-first search tree for graph  $G = (V, E)$ , and let  $(x, y)$  be an edge that is in  $G$  but not  $T$  (a "non-tree edge"). Then either  $x$  is an ancestor of  $y$  or  $y$  is an ancestor of  $x$  in  $T$ .

[Proof on board](#)

## DFS and Non-tree edges

**Claim:** let  $T$  be a depth-first search tree for graph  $G = (V, E)$ , and let  $(x, y)$  be an edge that is in  $G$  but not  $T$  (a "non-tree edge"). Then either  $x$  is an ancestor of  $y$  or  $y$  is an ancestor of  $x$  in  $T$ .

### Proof

- ▶ Suppose not and suppose that  $x$  is reached first by DFS.
- ▶ Before leaving  $x$ , we must examine  $(x, y)$ .
- ▶ Since  $(x, y) \notin T$ ,  $y$  must have been explored by this time.
- ▶ But  $y$  was not explored when we arrived at  $x$  by assumption.
- ▶ Thus  $y$  was explored during the execution of  $\text{DFS}(x)$ .
- ▶ Implies  $x$  is ancestor of  $y$ .

## Exploring *all* Connected Components

How to explore entire graph even if it is disconnected?

```

while there is some unexplored node  $s$  do
  BFS( $s$ )                                ▷ Run BFS starting from  $s$ .
  Extract connected component containing  $s$ 
end while
  
```

Usually OK to assume graph is connected. State if you are doing so and why it does not trivialize the problem.

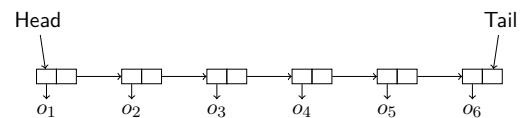
Running time? What's the running time of BFS?

## Implementation

- ▶ How do we *implement* graph traversal? What is the running time?
- ▶ Preliminaries
  - ▶ Let  $m = |E|$  be the number of edges
  - ▶ Let  $n = |V|$  be the number of nodes
  - ▶ Data structure to represent graph? ...

## Interlude (Data Structures)

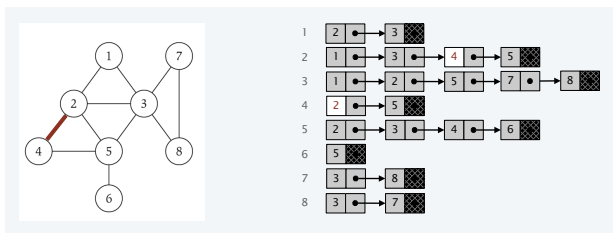
Linked List:



- ▶ Always remove items from front (Head)
- ▶ Queue: Insert at Tail (FIFO)
- ▶ Stack: Insert at Head (LIFO)
- ▶ Insert/Removal are  $O(1)$  operations.

## Graph representation: adjacency lists

Adjacency lists. Each node keeps list of neighbors



- ▶ Each edge stored twice
- ▶ Space?  $\Theta(m + n)$
- ▶ Checking if  $(u, v)$  is an edge?  $O(\text{degree}(u))$  time (degree = number of neighbors)

## Traversal Implementations

Generic approach: maintain set of **explored** nodes and **discovered** nodes

- ▶ Explored = have seen this node and explored its outgoing edges
- ▶ Discovered = the "frontier". Have seen the node, but not explored its outgoing edges.

## Generic Graph Traversal

```
Let  $A$  = data structure of discovered nodes
Traverse( $s$ )
  Put  $s$  in  $A$ 
  while  $A$  is not empty do
    Take a node  $v$  from  $A$ 
    if  $v$  is not marked "explored" then
      Mark  $v$  as "explored"
      for each edge  $(v, w)$  incident to  $v$  do
        Put  $w$  in  $A$  ▷  $w$  is discovered
      end for
    end if
  end while
```

Note: one part of this algorithm seems wasteful. Why?  
Can put multiple copies of a single node in  $A$ .

## Generic Graph Traversal

```
Let  $A$  = data structure of discovered nodes
Traverse( $s$ )
  Put  $s$  in  $A$ 
  while  $A$  is not empty do
    Take a node  $v$  from  $A$ 
    if  $v$  is not marked "explored" then
      Mark  $v$  as "explored"
      for each edge  $(v, w)$  incident to  $v$  do
        Put  $w$  in  $A$  ▷  $w$  is discovered
      end for
    end if
  end while
BFS:  $A$  is a queue (FIFO)
DFS:  $A$  is a stack (LIFO)
```

## BFS Implementation

```
Let  $A$  = empty Queue structure of discovered nodes
Traverse( $s$ )
  Put  $s$  in  $A$ 
  while  $A$  is not empty do
    Take a node  $v$  from  $A$ 
    if  $v$  is not marked "explored" then
      Mark  $v$  as "explored"
      for each edge  $(v, w)$  incident to  $v$  do
        Put  $w$  in  $A$  ▷  $w$  is discovered
      end for
    end if
  end while
```

Is this actually BFS? Yes. Proof by example.

## BFS Running Time

How many times does each line execute?

```
Traverse( $s$ )
  Put  $s$  in  $A$ 
  while  $A$  is not empty do
    Take a node  $v$  from  $A$ 
    if  $v$  is not marked "explored" then
      Mark  $v$  as "explored"
      for each edge  $(v, w)$  incident to  $v$  do
        Put  $w$  in  $A$ 
      end for
    end if
  end while
```

## BFS Running Time

How many times does each line execute?

```
Traverse( $s$ )
  Put  $s$  in  $A$  1
  while  $A$  is not empty do 2m
    Take a node  $v$  from  $A$  2m
    if  $v$  is not marked "explored" then 2m
      Mark  $v$  as "explored" n
      for each edge  $(v, w)$  incident to  $v$  do 2m
        Put  $w$  in  $A$  2m
      end for
    end if
  end while
```

Running time  $O(m + n)$

## DFS Implementation

```
Let  $A$  = empty Stack structure of discovered nodes
Traverse( $s$ )
  Put  $s$  in  $A$ 
  while  $A$  is not empty do
    Take a node  $v$  from  $A$ 
    if  $v$  is not marked "explored" then
      Mark  $v$  as "explored"
      for each edge  $(v, w)$  incident to  $v$  do
        Put  $w$  in  $A$  ▷  $w$  is discovered
      end for
    end if
  end while
```

Is this actually DFS? Yes  
Running time?  $O(m + n)$

## Back to Connected Components

```
while There is some unexplored node  $s$  do  
  BFS( $s$ )  
  Extract connected component containing  $s$   
end while
```

Running time?

**Naive:**  $O(m + n)$  for each component  $\Rightarrow O(c(m + n))$  if  $c$  components.

**Better:** BFS on component  $C$  only works on nodes/edges in  $C$

- ▶ Time for component  $C$ :  $O(\#edges\ in\ C + \#nodes\ in\ C)$
- ▶ Total time:  $O(m + n)$

## Summary

- ▶ Graph traversal by BFS/DFS
  - ▶ Different versions of general exploration strategy
  - ▶ Produce trees with different properties
  - ▶  $O(m + n)$  time
  - ▶ Basic algorithmic primitive — used in many other algorithms