# Tokenization 

## CS685 Spring 2023

## Advanced Natural Language Processing

## Mohit lyyer

College of Information and Computer Sciences
University of Massachusetts Amherst

## Tokenization

- How do we represent an input text?
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Input text: students opened their books Input token IDs: $11 \quad 29834567$

This tokenization step requires an external tokenizer to detect word boundaries!

## Word tokenization

- Not as simple as split on whitespace and punctuation...

Mr. O'Neill thinks that the boys' stories about San Francisco aren't amusing.

- Word tokenizers require lots of specialized rules about how to handle specific inputs
- Check out spaCy’s tokenizers! (https://spacy.io/)


## Handling unknown words

- What happens when we encounter a word at test time that we've never seen in our training data?
- With word level tokenization, we have no way of assigning an index to an unseen word!
- This means we don't have a word embedding for that word and thus cannot process the input sequence


## Handling unknown words

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- Solution: replace low-frequency words in training data with a special <UNK> token, use this token to handle unseen words at test time too
- Why use <UNK> tokens during training?


## Limitations of <UNK>

- We lose lots of information about texts with a lot of rare words / entities The chapel is sometimes referred to as "Hen Gapel Lligwy" ("hen" being the Welsh word for "old" and "capel" meaning "chapel").

The chapel is sometimes referred to
as " Hen <unk> <unk> " (" hen " being the Welsh word for " old " and " <unk> " meaning " chapel ").

## Other limitations

- Word-level tokenization treats different forms of the same word (e.g., "open", "opened", "opens", "opening", etc) as separate types $->$ separate embeddings for each

This can be problematic especially when training over smaller datasets, why?

## An alternative: character tokenization

- Small vocabulary, just the number of unique characters in the training data!
- However, you pay for this with longer input sequences. Why is this a problem for the models we've discussed?


## 2016: subword tokenization

- Developed for machine translation by Sennrich et al., ACL 2016
"The main motivation behind this paper is that the translation of some words is transparent in that they are translatable by a competent translator even if they are novel to him or her, based on a translation of known subword units such as morphemes or phonemes."
- Later used in BERT, T5, RoBERTa, GPT, etc.
- Relies on a simple algorithm called byte pair encoding (Gage, 1994)


## Byte pair encoding

- Form base vocabulary (all characters that occur in the training data)

| word | frequency |
| :---: | :---: |
| hug | 10 |
| pug | 5 |
| pun | 12 |
| bun | 4 |
| hugs | 5 |

- Base vocab: b, g, h, n, p, s, u


## Byte pair encoding

- Now, count up the frequency of each character pair in the data, and choose the one that occurs most frequently

| word | frequency | character pair | frequency |
| :---: | :---: | :---: | :---: |
| $h+u+g$ | 10 | $u g$ | 20 |
| $p+u+g$ | 5 | $p u$ | 17 |
| $p+u+n$ | 12 | $u n$ | 16 |
| $b+u+n$ | 4 | $h u$ | 15 |
| $h+u+g+s$ | 5 | $g s$ | 5 |

## Byte pair encoding

- Now, choose the most common pair (ug) and then merge the characters together into one symbol. Add this new symbol to the vocabulary. Then, retokenize the data

| word | frequency | character pair | frequency |
| :---: | :---: | :---: | :---: |
| $h+u g$ | 10 | $u n$ | 16 |
| $p+u g$ | 5 | $h+u g$ | 15 |
| $p+u+n$ | 12 | $p u$ | 12 |
| $b+u+n$ | 4 | $p+u g$ | 5 |

## Byte pair encoding

- Keep repeating this process! This time we choose un to merge, next time we choose $h+u g$, etc.

| word | frequency | character pair | frequency |
| :---: | :---: | :---: | :---: |
| $h+u g$ | 10 | $u n$ | 16 |
| $p+u g$ | 5 | $h+u g$ | 15 |
| $p+u+n$ | 12 | $p u$ | 12 |
| $b+u+n$ |  |  |  |
| $h+u g+s$ | 4 | $p+u g$ | 5 |

## Byte pair encoding

- Eventually, after a fixed number of merge steps, we stop
word frequency

| hug | 10 |
| :---: | :---: |
| p+ug | 5 |
| p+un | 12 |
| b+un | 4 |
| hug $+s$ | 5 |

- new vocab: b, g, h, n, p, s, u, ug, un, hug


## Byte pair encoding

- To avoid <UNK>, all possible characters / symbols need to be included in the base vocab. This can be a lot if including all unicode characters (there are $\sim 138 \mathrm{~K}$ unicode symbols)!
- GPT-2 uses bytes as the base vocabulary (size 256) and then applies BPE on top of this sequence (with some rules to prevent certain types of merges).
- Commonly have vocabulary sizes of 32K to 64 K


## Other subword encoding schemes

- WordPiece (Schuster et al., ICASSP 2012): merge by likelihood as measured by language model, not by frequency
- SentencePiece (Kudo et al., 2018): can do subword tokenization without pretokenization (good for languages that don't always separate words w/ spaces), although pretokenization usually improves performance


## Limitations of subwords

- Hard to apply to languages with agglutinative (e.g., Turkish) or non-concatenative (e.g., Arabic) morphology
- Pretokenization rules don't work on some languages (Thai, Chinese don't use spaces between words; Hawaiian uses punctuation as consonants)

| K-t-b | "write" (root form) |  |
| :--- | :--- | :--- |
| كتَبَبَّبَبَ | kataba | "he wrote" |
| kattaba | "he made (someone) write" |  |
| إكْتَتَبَ | iktataba | "he signed up" |

Table 1: Non-concatenative morphology in Arabic. ${ }^{4}$ The root contains only consonants; when conjugating, vowels, and sometimes consonants, are interleaved with the root. The root is not separable from its inflection via any contiguous split.

## ByT5：tokenizer free！

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## Way fewer params associated with vocabulary!

| Size | Params | mT5 |  |  |  | ByT5 |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Vocab | $\mathrm{d}_{\text {model }}$ | $\mathrm{d}_{\mathrm{ff}}$ | \# Enc/Dec | Vocab | $\mathrm{d}_{\text {model }}$ | $\mathrm{d}_{\mathrm{ff}}$ | \# Enc | \# Dec |
| Small | 300M | 85\% | 512 | 1024 | 8 | 0.3\% | 1472 | 3584 | 12 | 4 |
| Base | 582M | 66\% | 768 | 2048 | 12 | 0.1\% | 1536 | 3968 | 18 | 6 |
| Large | 1.23B | 42\% | 1024 | 2816 | 24 | 0.06\% | 1536 | 3840 | 36 | 12 |
| XL | 3.74B | 27\% | 2048 | 5120 | 24 | 0.04\% | 2560 | 6720 | 36 | 12 |
| XXL | 12.9B | 16\% | 4096 | 10240 | 24 | 0.02\% | 4672 | 12352 | 36 | 12 |

## Impressive gains on tasks w/ noisy data

|  | Model | Learnable Noise |  | Unseen Noise |
| :---: | :---: | :---: | :---: | :---: |
|  |  | XNLI <br> (accuracy) | $\begin{aligned} & \text { TyDiQA- } \\ & \text { GoldP (F1) } \end{aligned}$ | XNLI <br> (accuracy) |
| Clean | mT5 | 81.1 | 85.3 | 81.1 |
|  | ByT5 | 79.7 | 87.7 | 79.7 |
| Drop | mT5 | -10.2 | -19.9 | -18.3 |
|  | ByT5 | -8.2 | -18.4 | -11.4 |
| Add/Drop/Mutate | mT5 | -9.2 | -28.5 | -11.4 |
|  | ByT5 | -8.0 | -24.3 | -10.9 |
| Repetitions | mT5 | -8.5 | -11.0 | -12.3 |
|  | ByT5 | -4.1 | -3.1 | -5.9 |
| Antspeak | mT5 | -32.0 | -17.5 | -34.4 |
|  | ByT5 | -8.7 | -4.3 | -24.4 |
| Uppercase | mT5 | -7.0 | -7.6 | -8.1 |
|  | ByT5 | -1.5 | -1.0 | -1.7 |
| Random Case | mT5 | -25.7 | -13.9 | -19.2 |
|  | ByT5 | -1.5 | -1.2 | -5.9 |

## How to deal w/ increased sequence length?

- ByT5: just train with shorter sequences (mT5 is trained on max length 1024 subword tokens, ByT5 trained on max 1024 bytes)
- At test-time, ByT5 can be 7X slower than mT5 to generate sentences
- Later this semester: use more efficient Transformer architectures


## Learnable tokenization

Updated during training


Subword Model

Updated during training


Charformer

## Consider multiple segmentations

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1-Blocks |  |  | ${ }^{3}$ | $\mathrm{X}_{4}$ | $x_{5}$ | $x_{6}$ | $x_{7}$ | ${ }_{8}$ | $\mathrm{X}_{9}$ | $\mathrm{X}_{10}$ | $\mathrm{X}_{1}$ | $\mathrm{X}_{1}$ |
| 2-Blocks | X | $\mathrm{x}_{2}$ | $\mathrm{X}_{3}$ | $\mathrm{X}_{4}$ | $\mathrm{X}_{5}$ | $\mathrm{X}_{6}$ | $\mathrm{X}_{7}$ | $\mathrm{X}_{8}$ | X, | $\mathrm{X}_{10}$ | $\mathrm{X}_{1}$ | $\mathrm{X}_{12}$ |
| 3-Blocks | X | $\mathrm{x}_{2}$ | $\mathrm{X}_{3}$ | $\mathrm{X}_{4}$ | $\mathrm{X}_{5}$ | $\mathrm{X}_{6}$ | $\mathrm{X}_{7}$ | $\mathrm{X}_{8}$ | X 9 | $\mathrm{X}_{10}$ | X | X |
| 4-Blocks | X | $\mathrm{x}_{2}$ | $\mathrm{X}_{3}$ | $\mathrm{X}_{4}$ | $\mathrm{X}_{5}$ | $\mathrm{X}_{6}$ | $\mathrm{x}_{7}$ | $\mathrm{X}_{8}$ | X 9 | $\mathrm{X}_{10}$ | X | $\mathrm{X}_{12}$ |

## Then, for each character, score all blocks to which that character belongs



