# Transformers and sequenceto-sequence learning

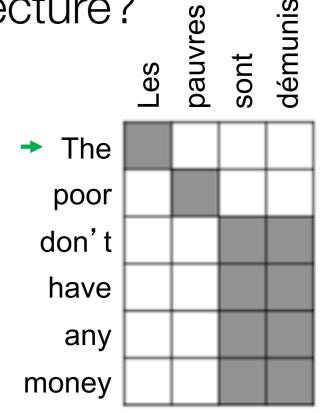
#### CS 685, Fall 2021

#### Mohit lyyer College of Information and Computer Sciences University of Massachusetts Amherst

some slides from Emma Strubell

## From last time

- Project proposals now due 10/1!
- Quiz 2 due Friday
- Can TAs record zoom office hours? Maybe
- How did we get this grid in the previous lecture?
   Will explain in today's class.
- Final proj. reports due Dec. 16th





## sequence-to-sequence learning

Used when inputs and outputs are both sequences of words (e.g., machine translation, summarization)

- we'll use French (f) to English (e) as a running example
- goal: given French sentence f with tokens f<sub>1</sub>, f<sub>2</sub>,
   ... f<sub>n</sub> produce English translation e with tokens
   e<sub>1</sub>, e<sub>2</sub>, ... e<sub>m</sub>
- real goal: compute  $\arg \max p(e|f)$

$$p(e | f) = p(e_1, e_2, ..., e_m | f)$$
  
=  $p(e_1 | f) \cdot p(e_2 | e_1, f) \cdot p(e_3 | e_2, e_1, f) \cdot ...$   
=  $\prod_{i=1}^m p(e_i | e_1, ..., e_{i-1}, f)$ 

Just like we've seen before, except we additionally condition our prediction of the next word on some other input (here, the French sentence)

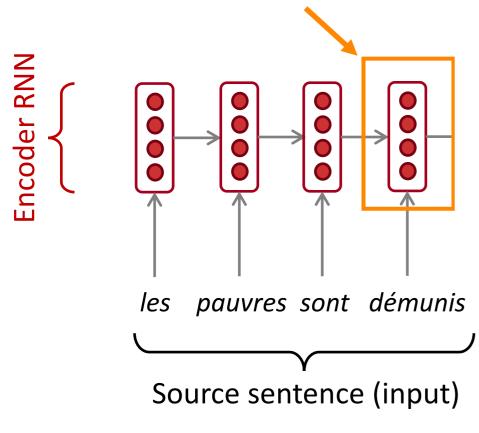
# seq2seq models

- use two different neural networks to model  $\prod_{i=1}^{L} p(e_i | e_1, \dots, e_{i-1}, f)$
- first we have the *encoder*, which encodes the French sentence *f*
- then, we have the *decoder*, which produces the English sentence e

### **Neural Machine Translation (NMT)**

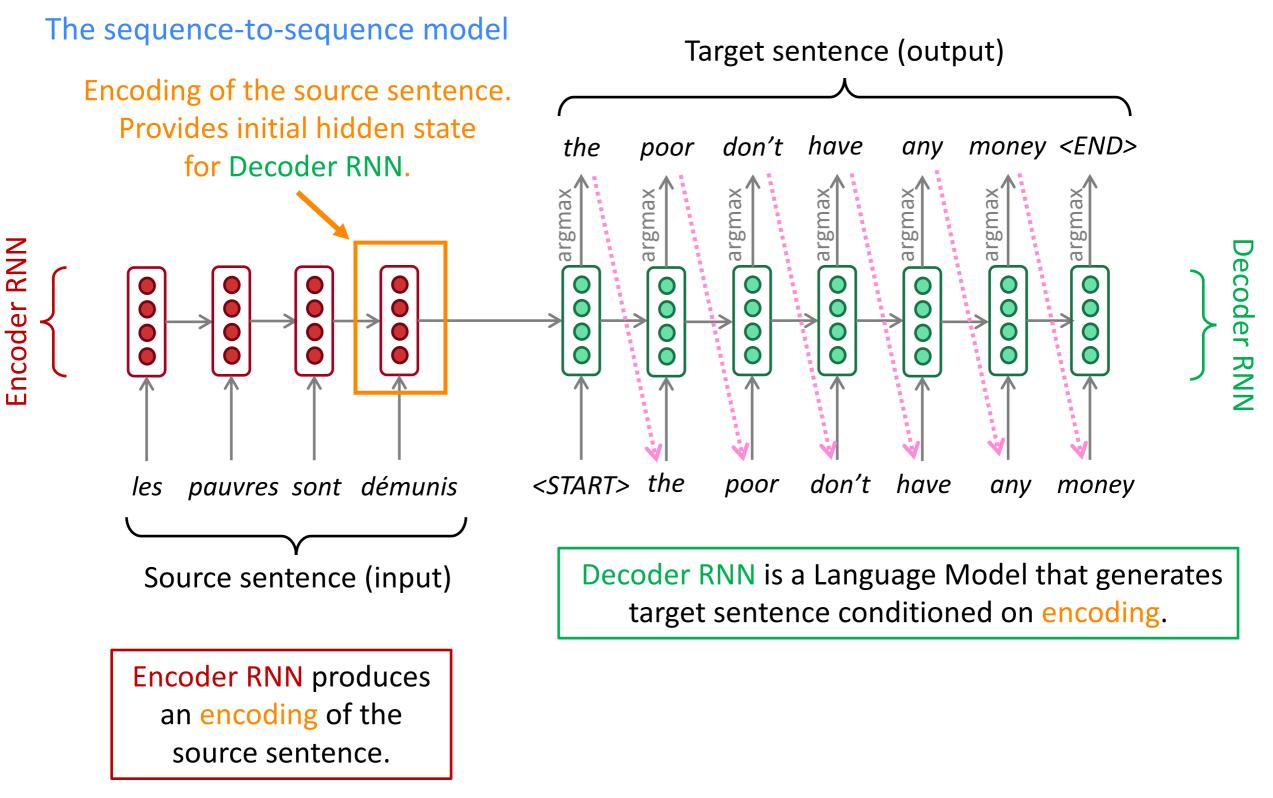
The sequence-to-sequence model

Encoding of the source sentence. Provides initial hidden state for Decoder RNN.

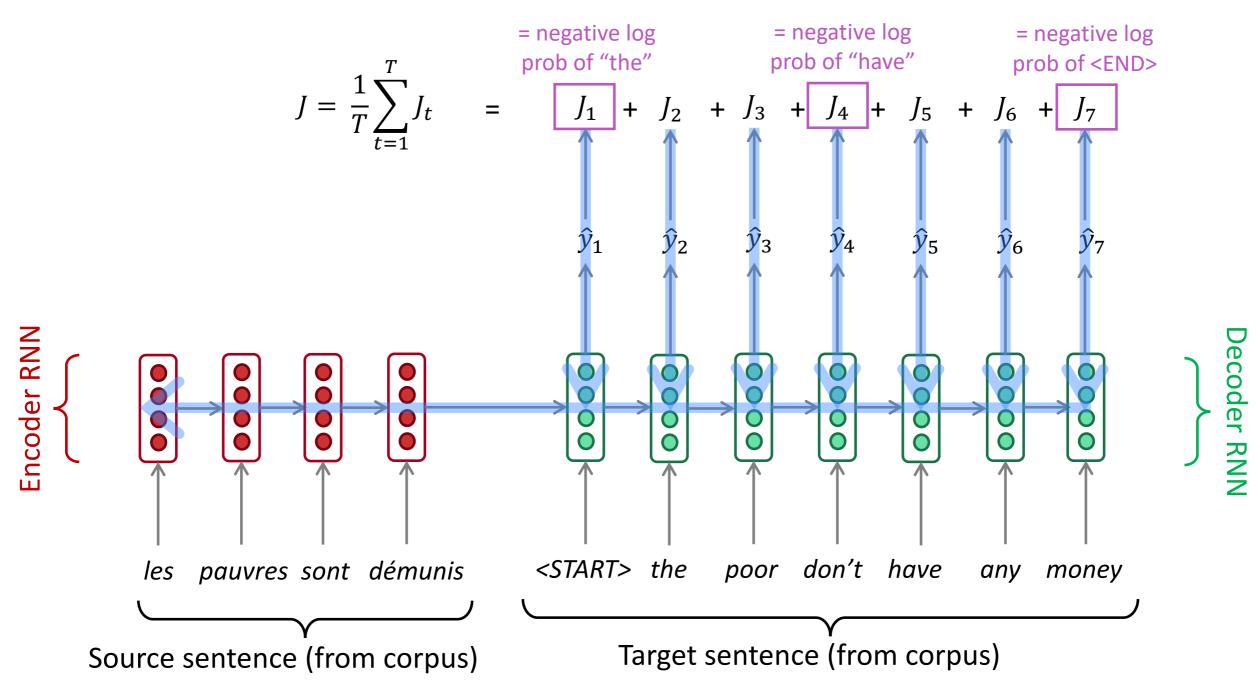


Encoder RNN produces an encoding of the source sentence.

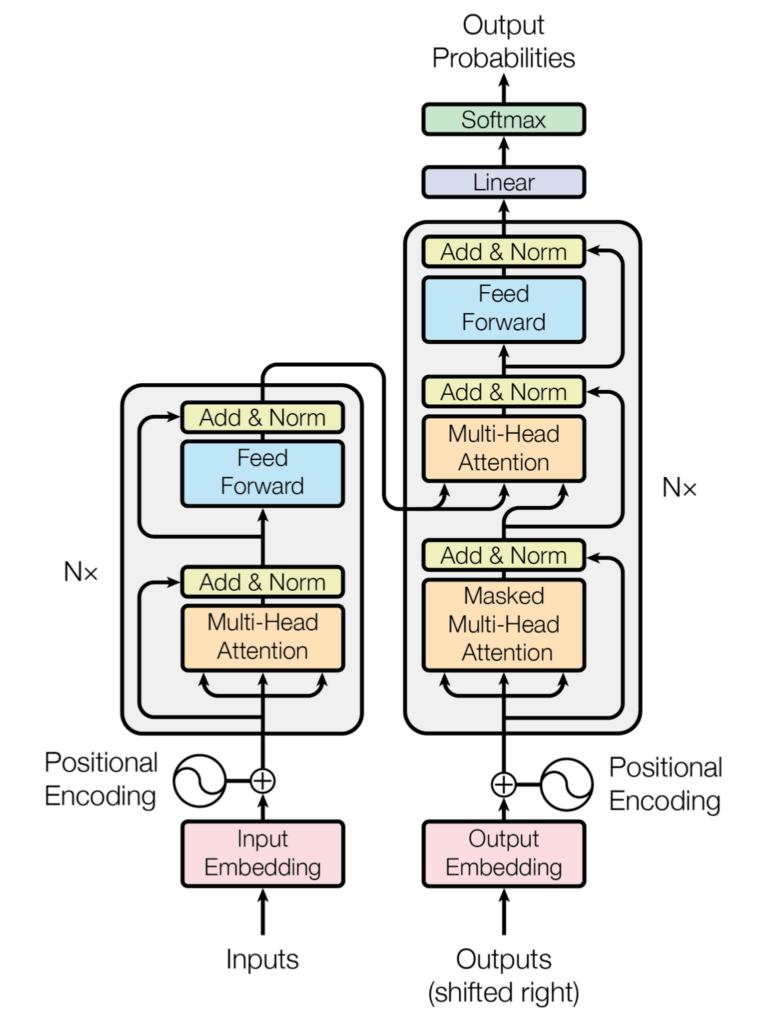
### **Neural Machine Translation (NMT)**

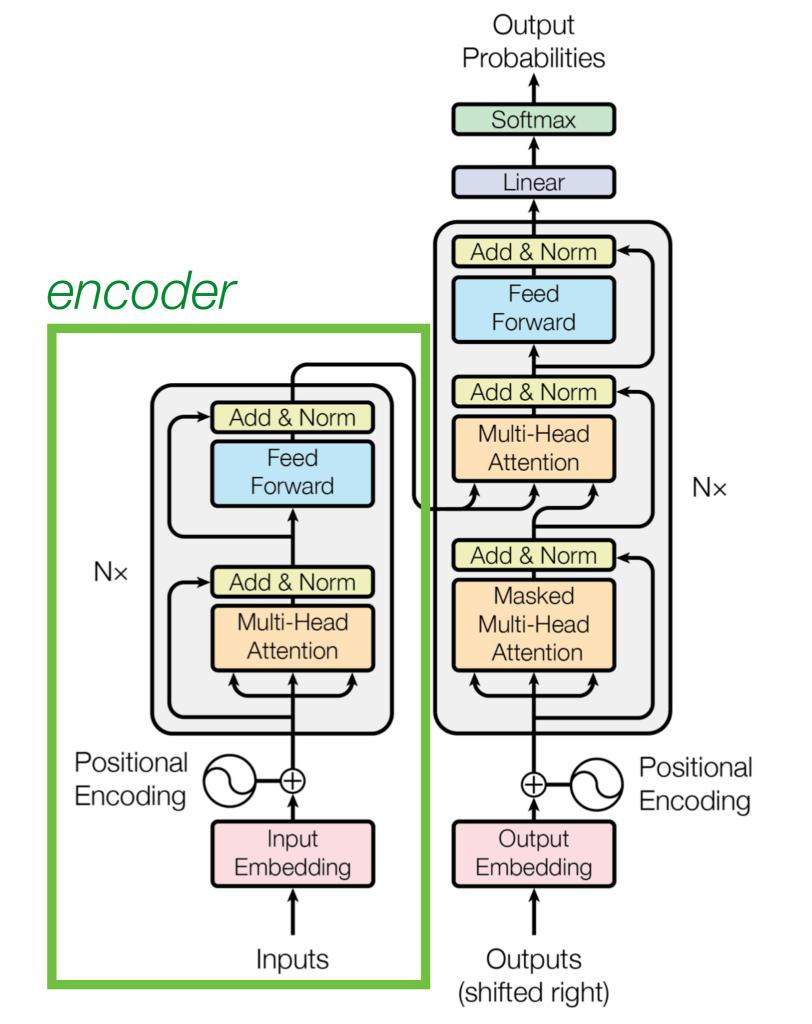


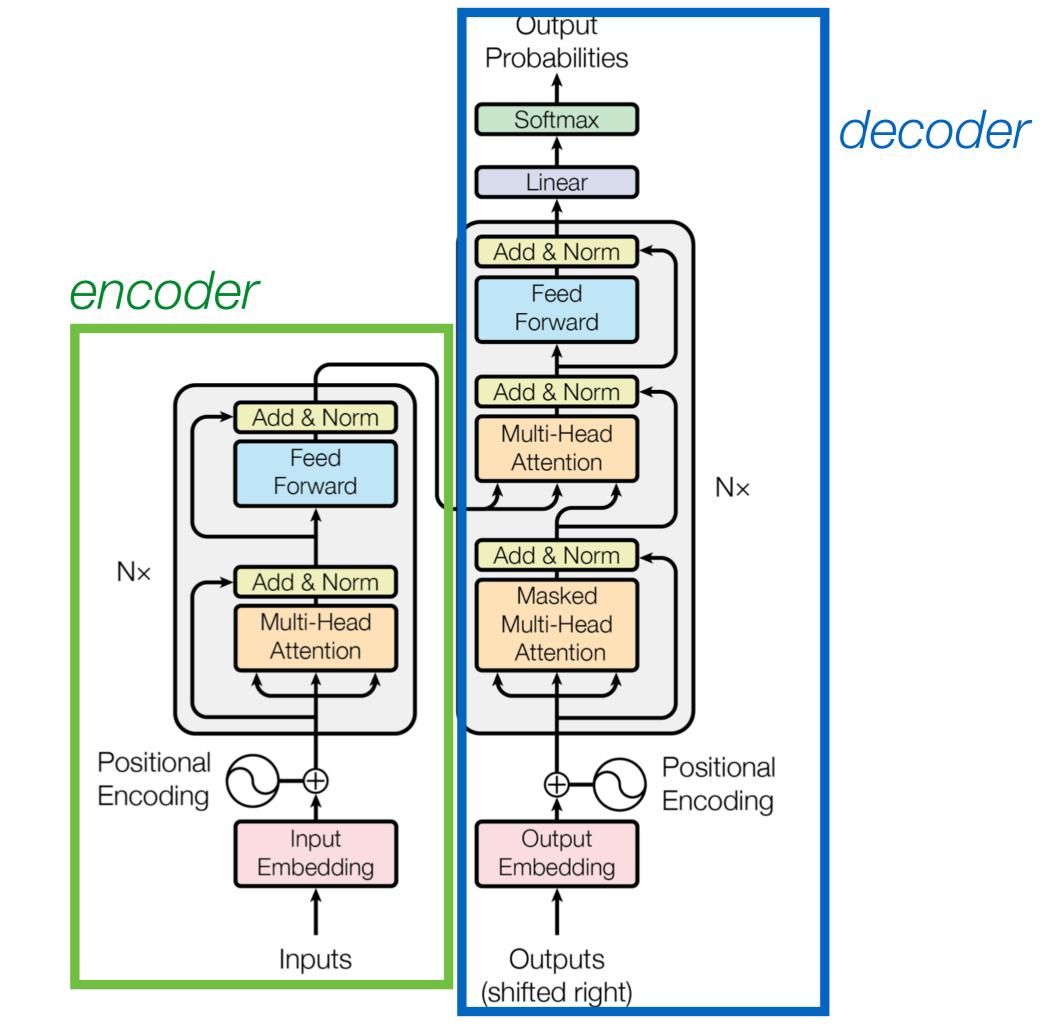
### **Training a Neural Machine Translation system**

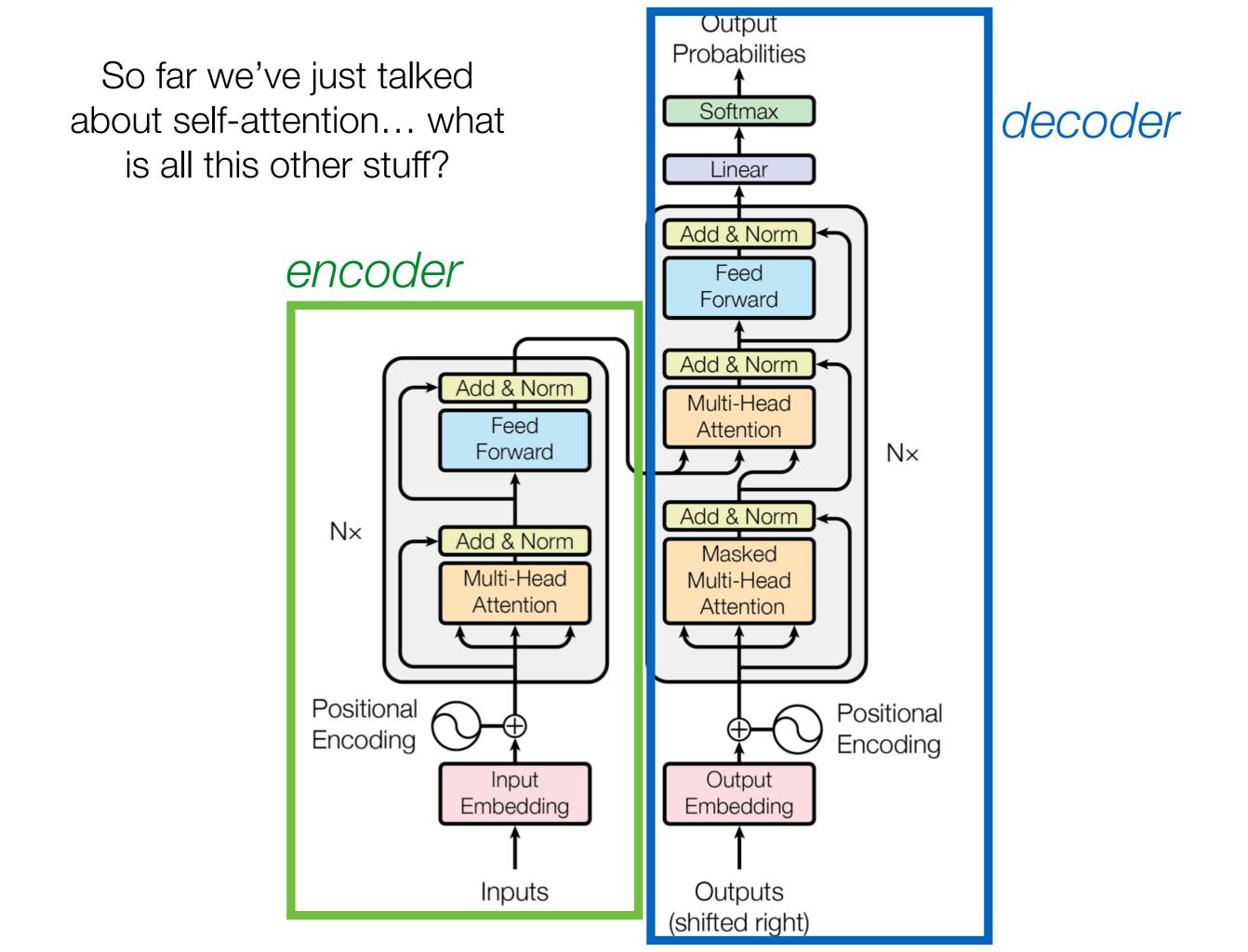


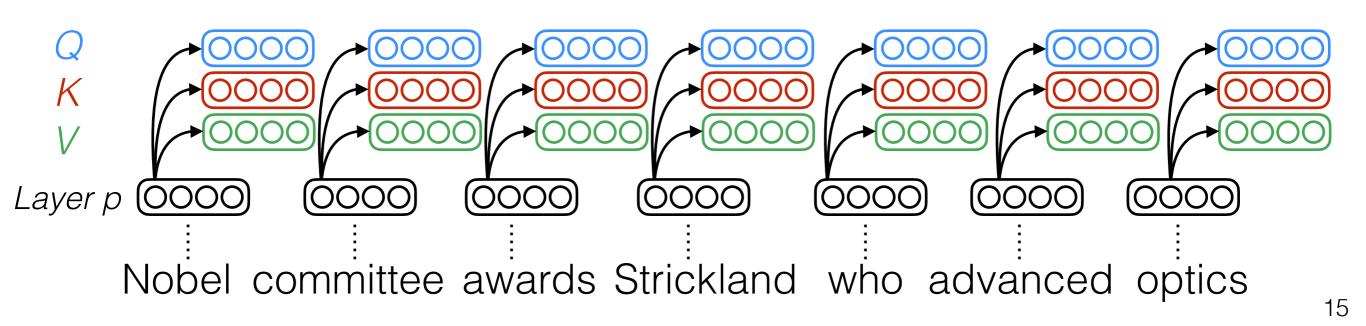
We'll talk much more about machine translation / other seq2seq problems later... but for now, let's go back to the Transformer

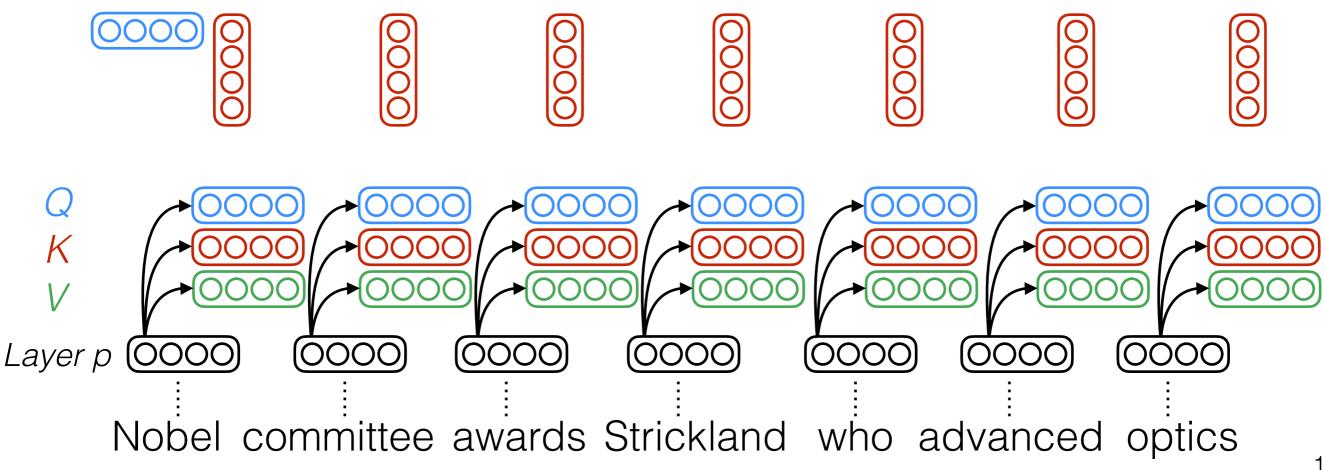


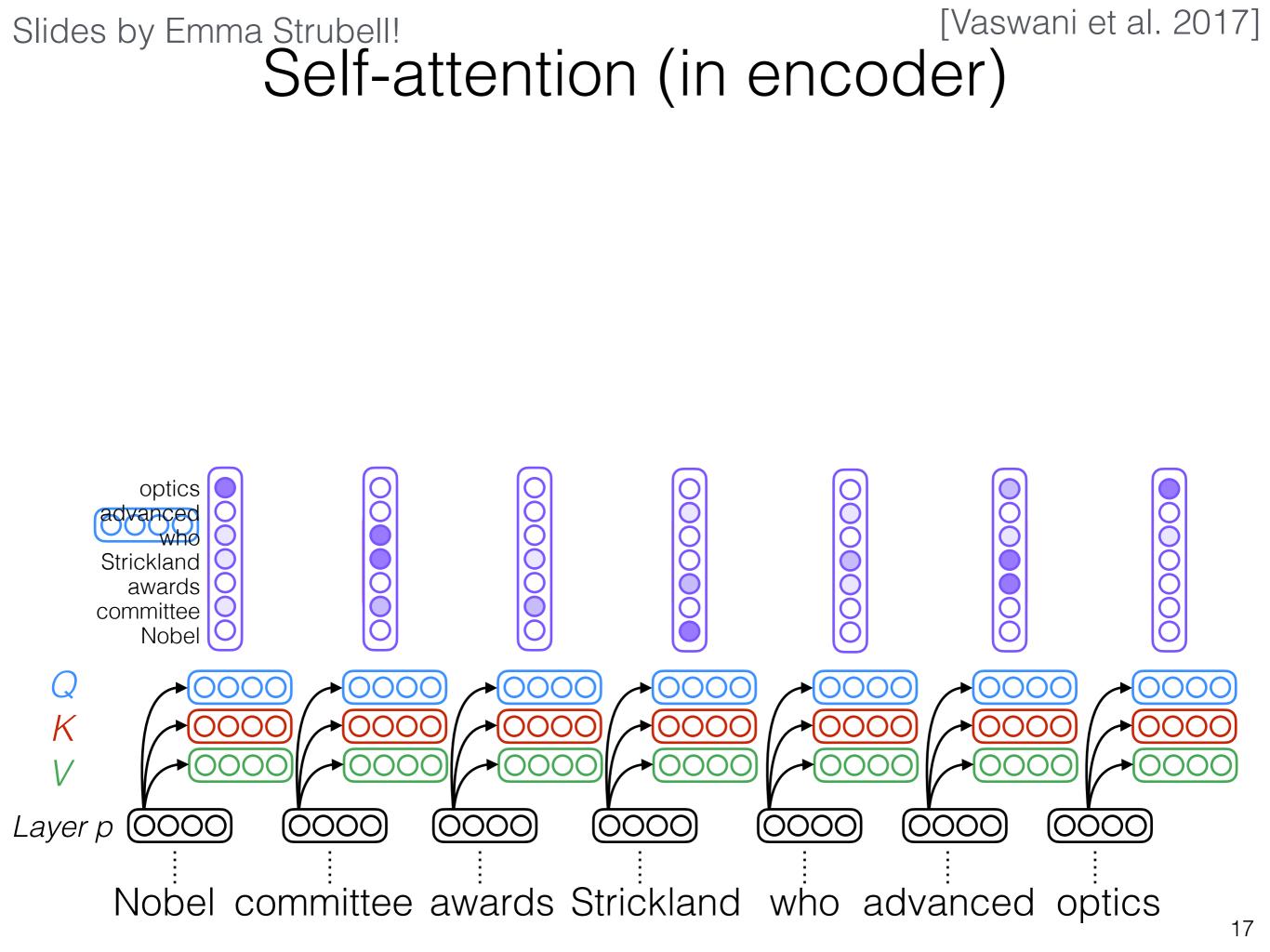


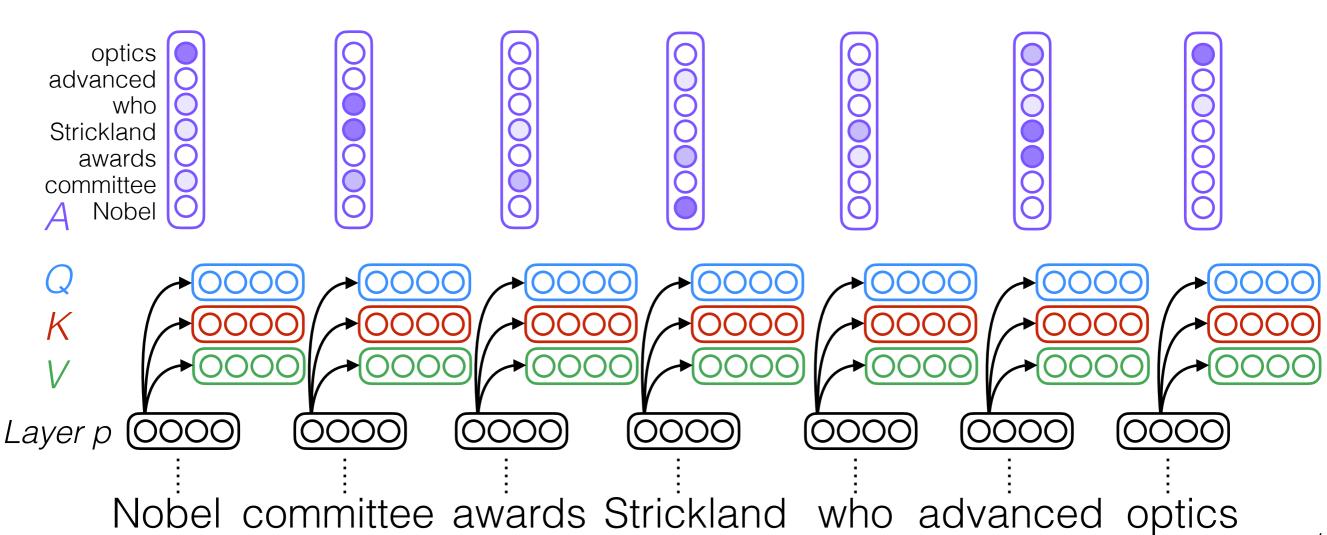


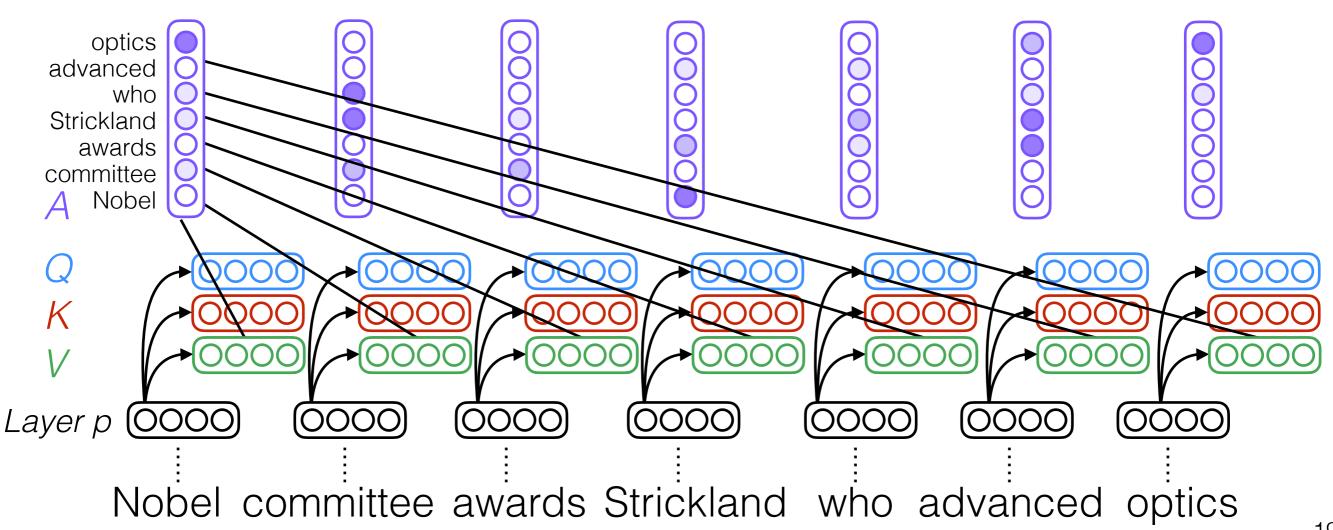


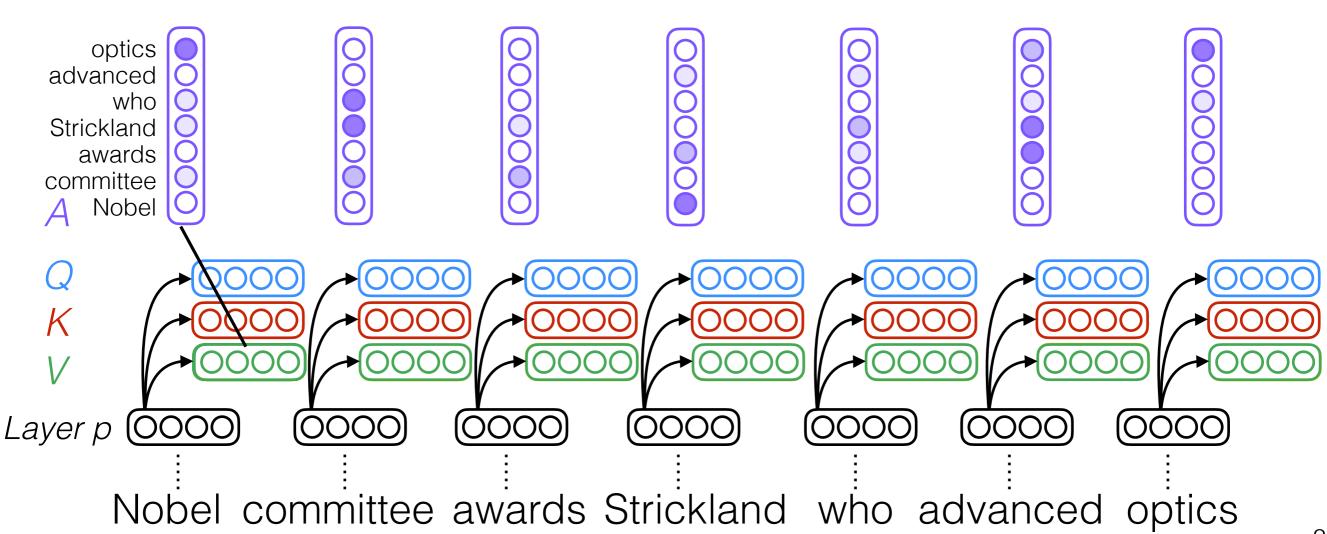


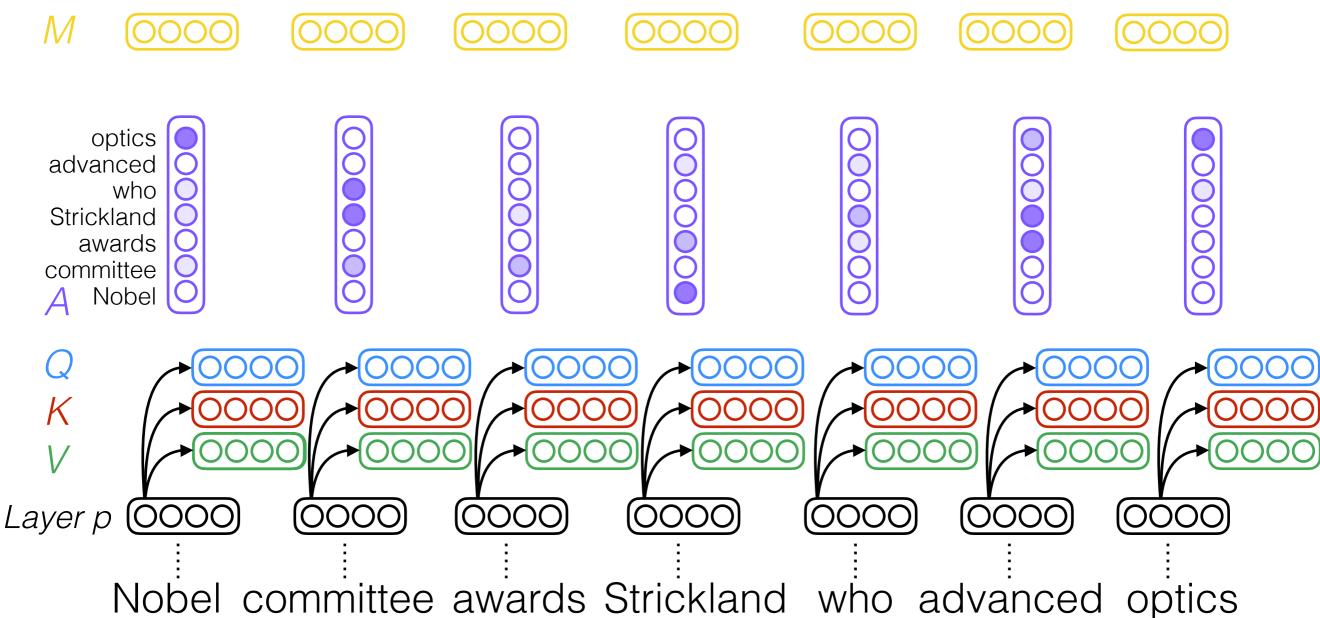


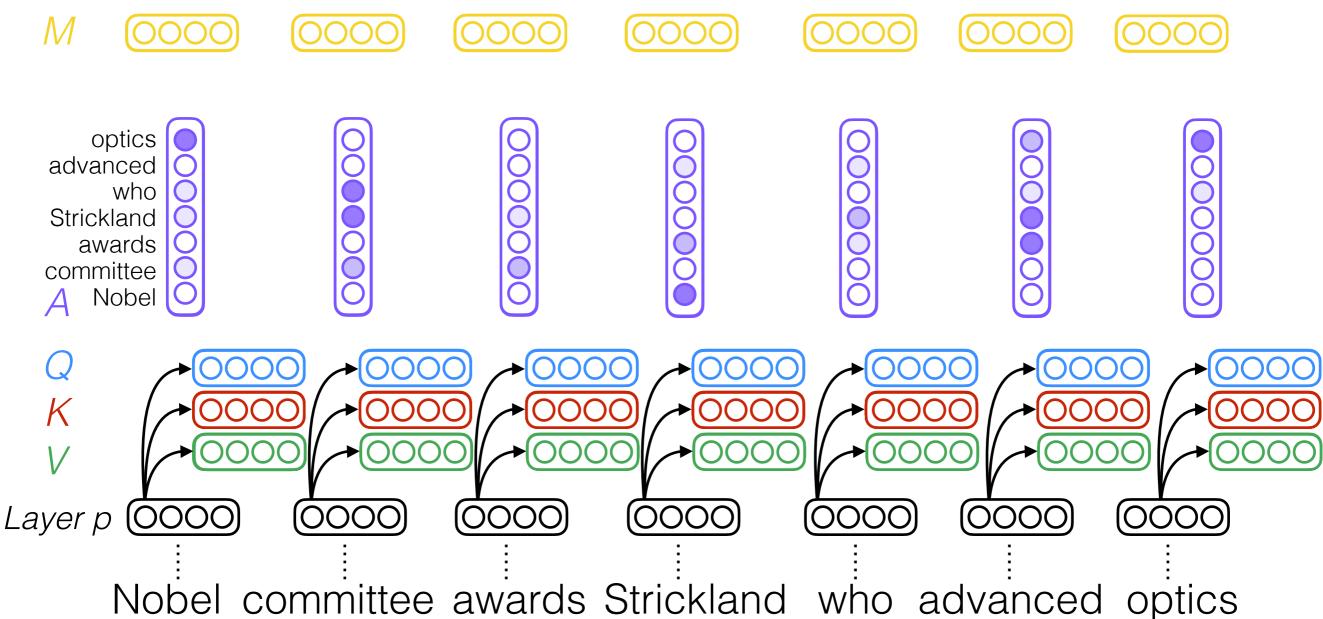








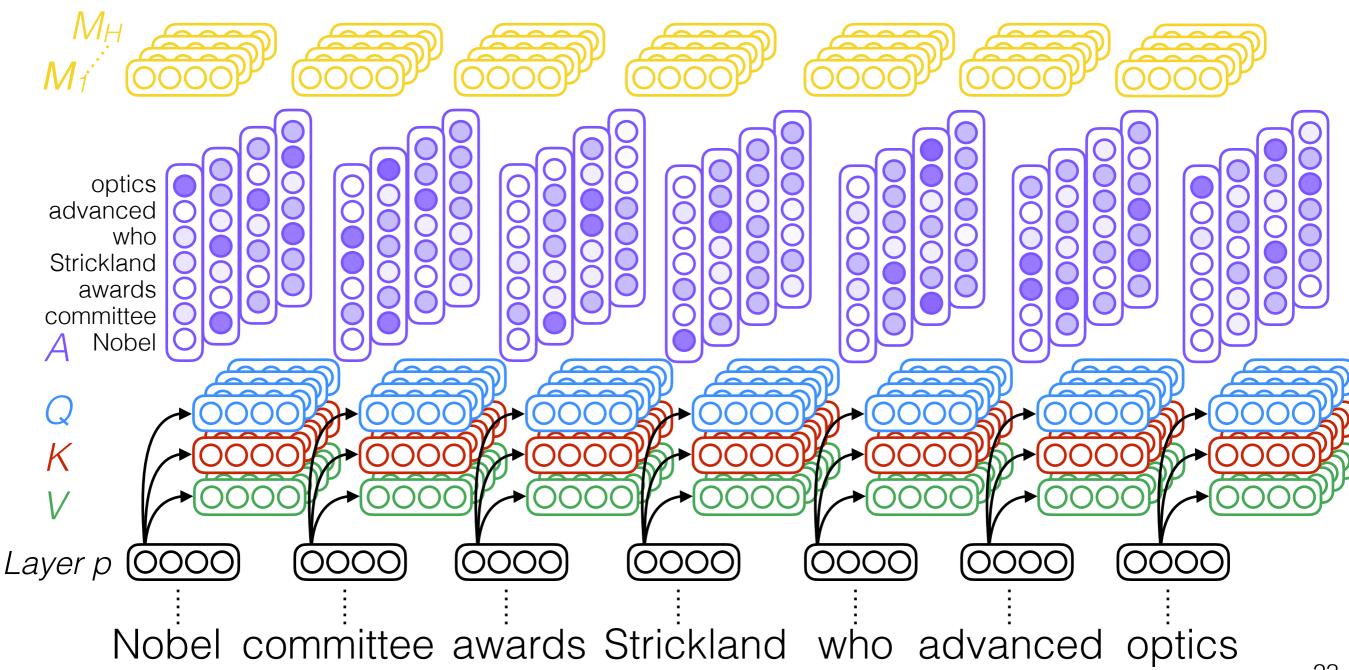




#### Slides by Emma Strubell!

[Vaswani et al. 2017]

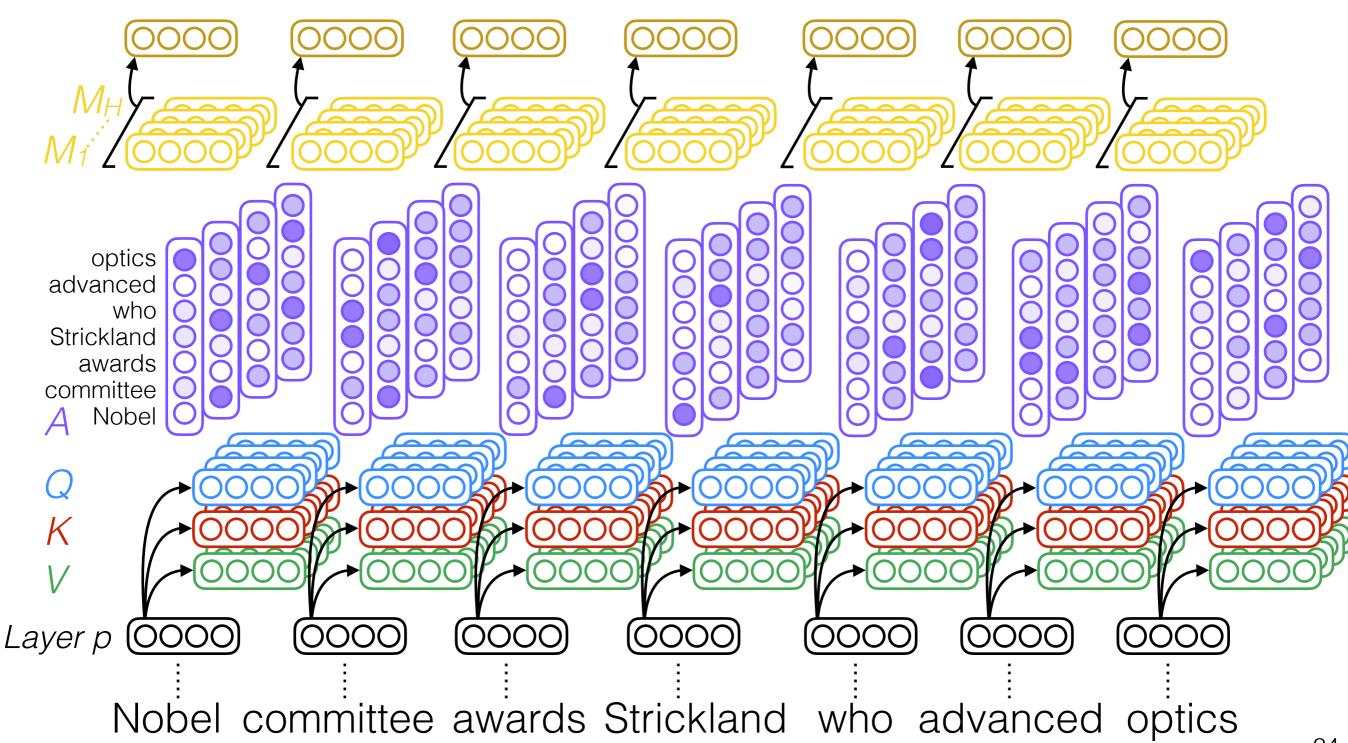
### Multi-head self-attention

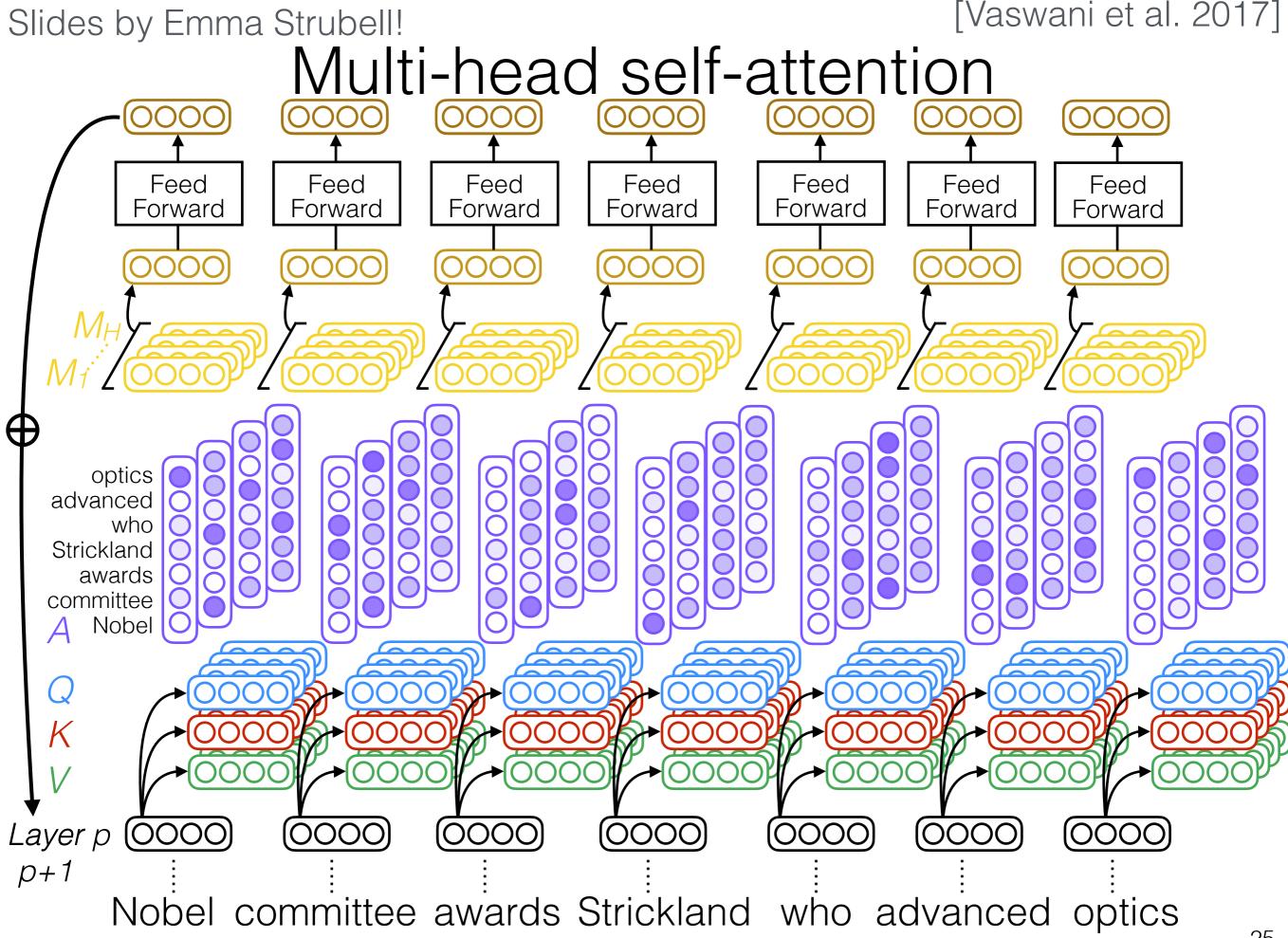


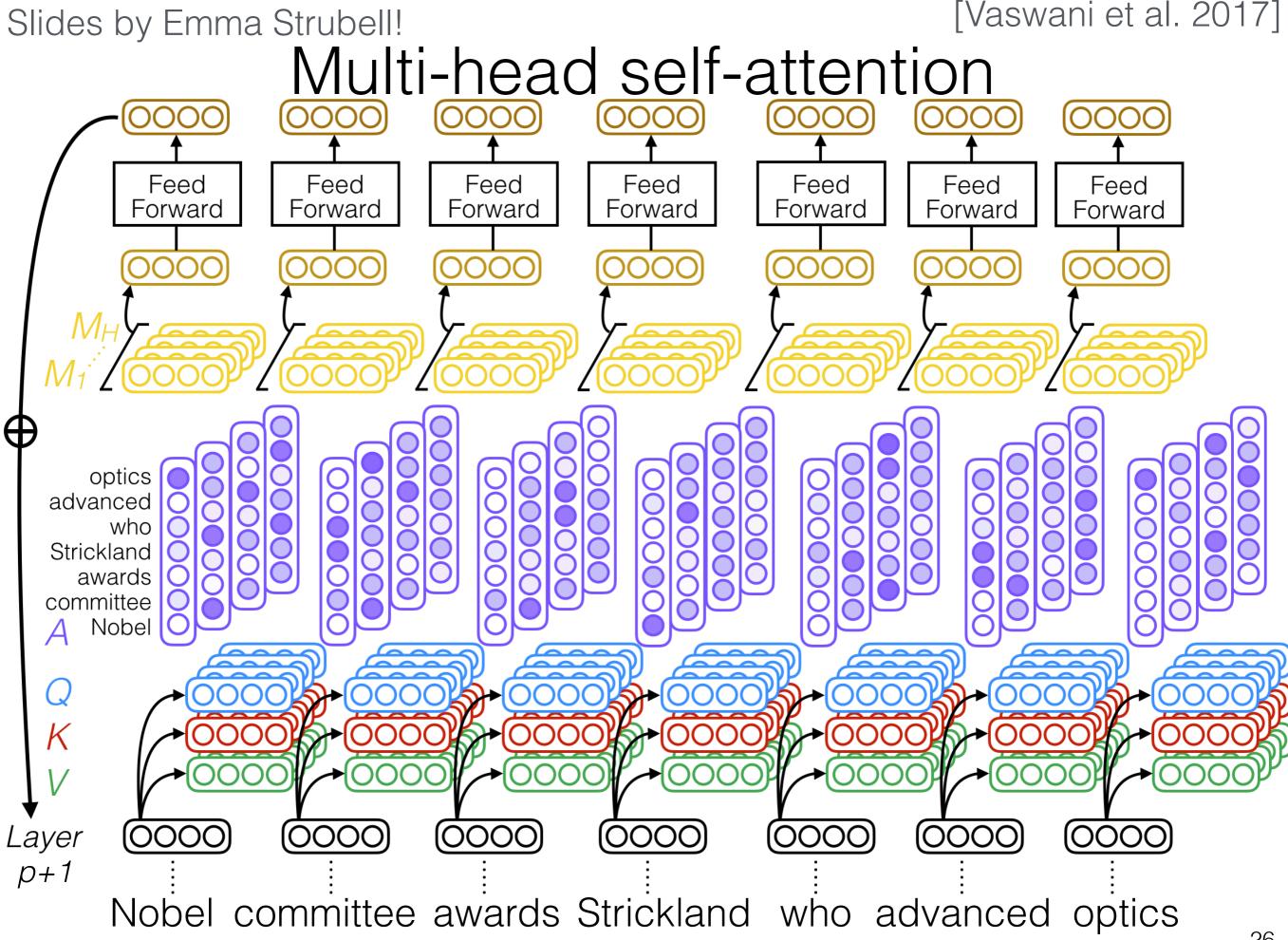
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[Vaswani et al. 2017]

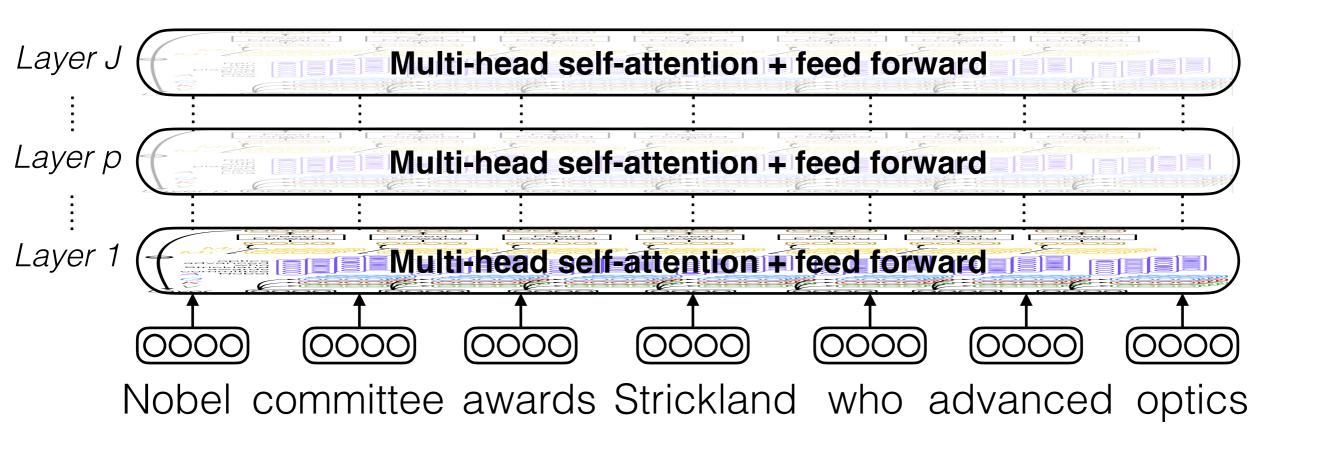
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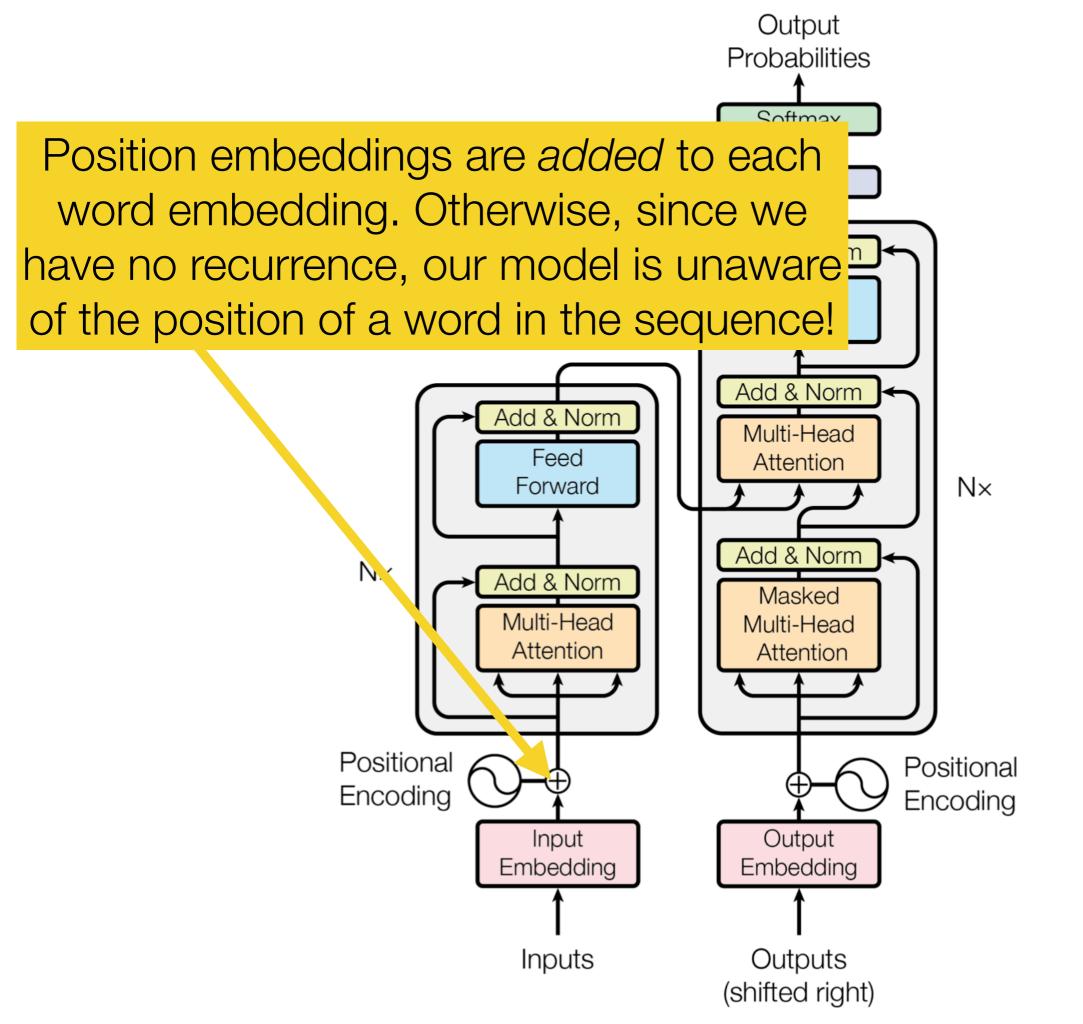


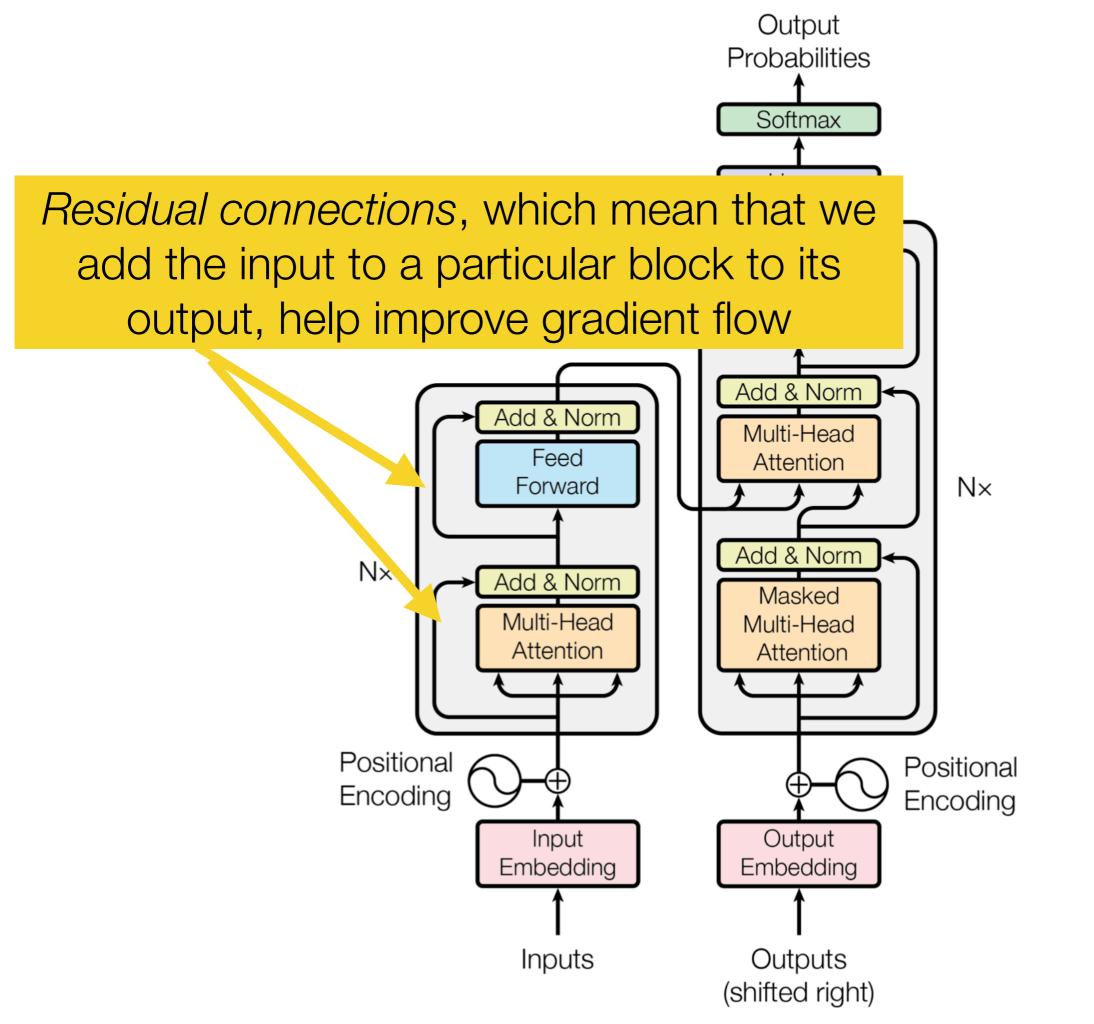


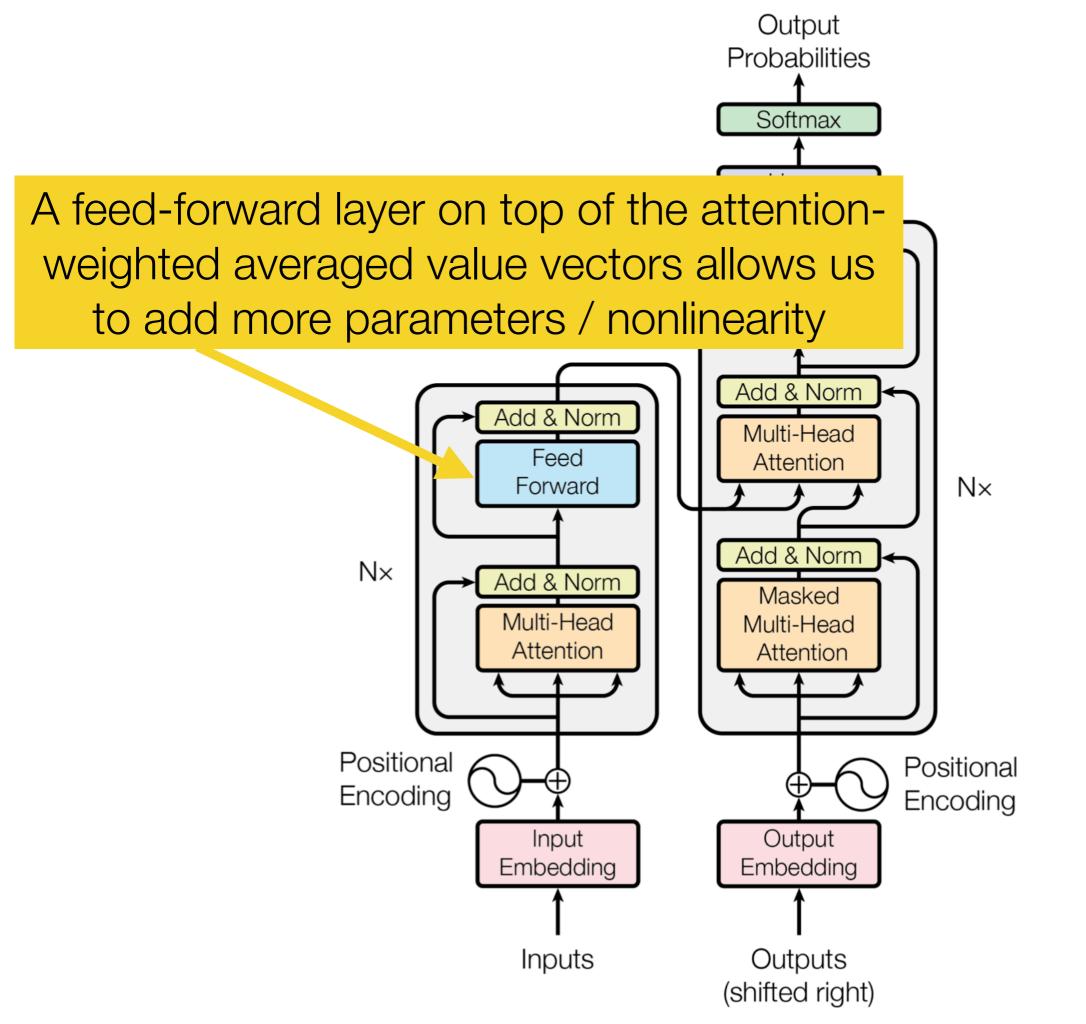


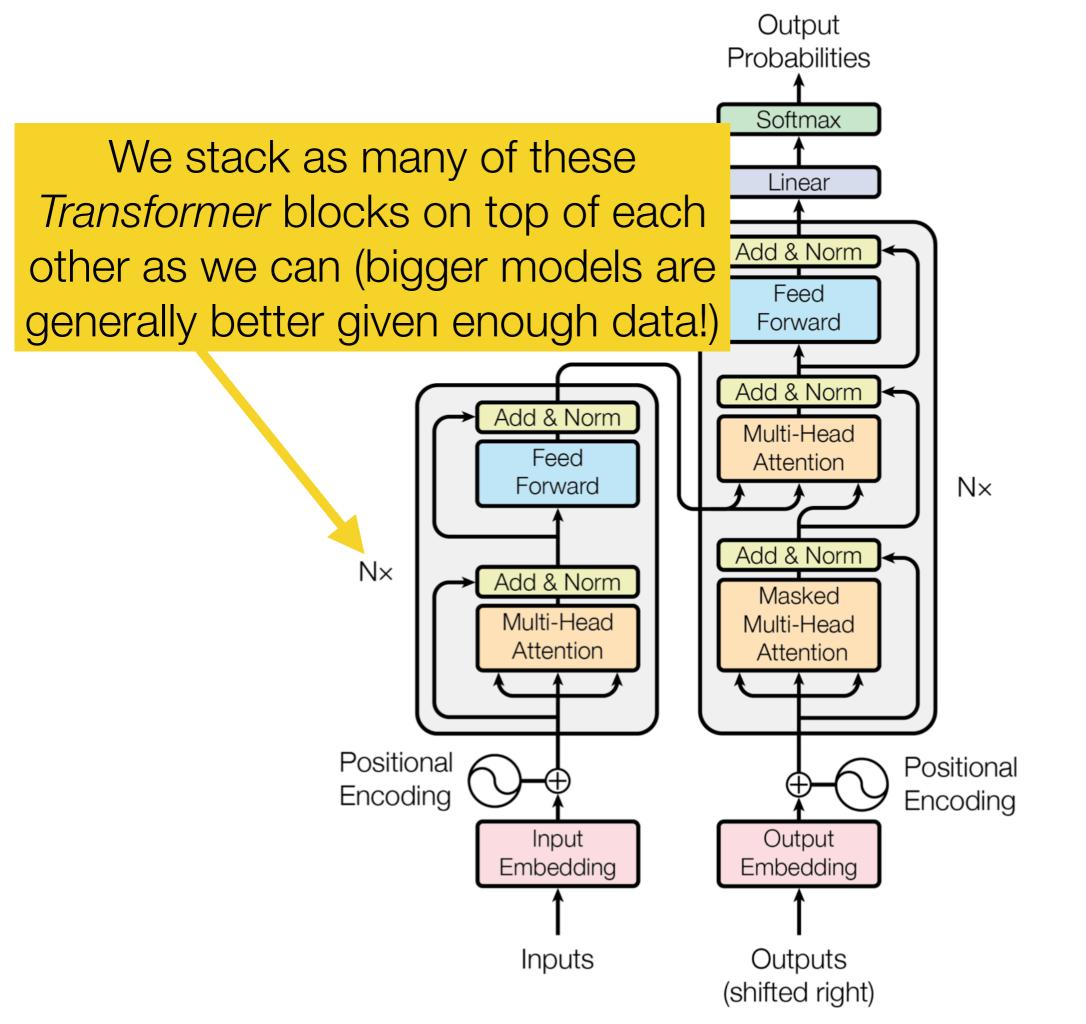
#### Slides by Emma Strubell! Multi-head self-attention

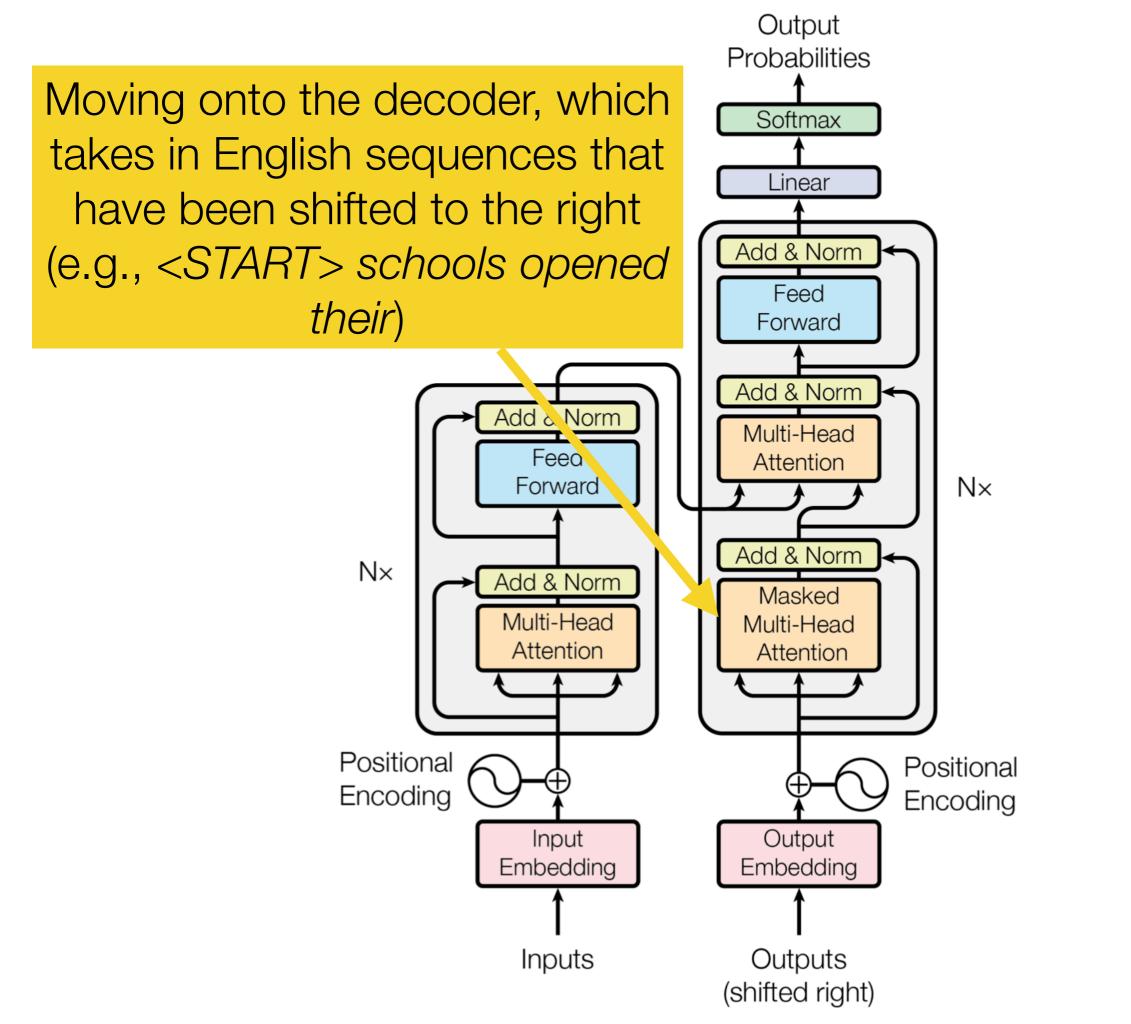


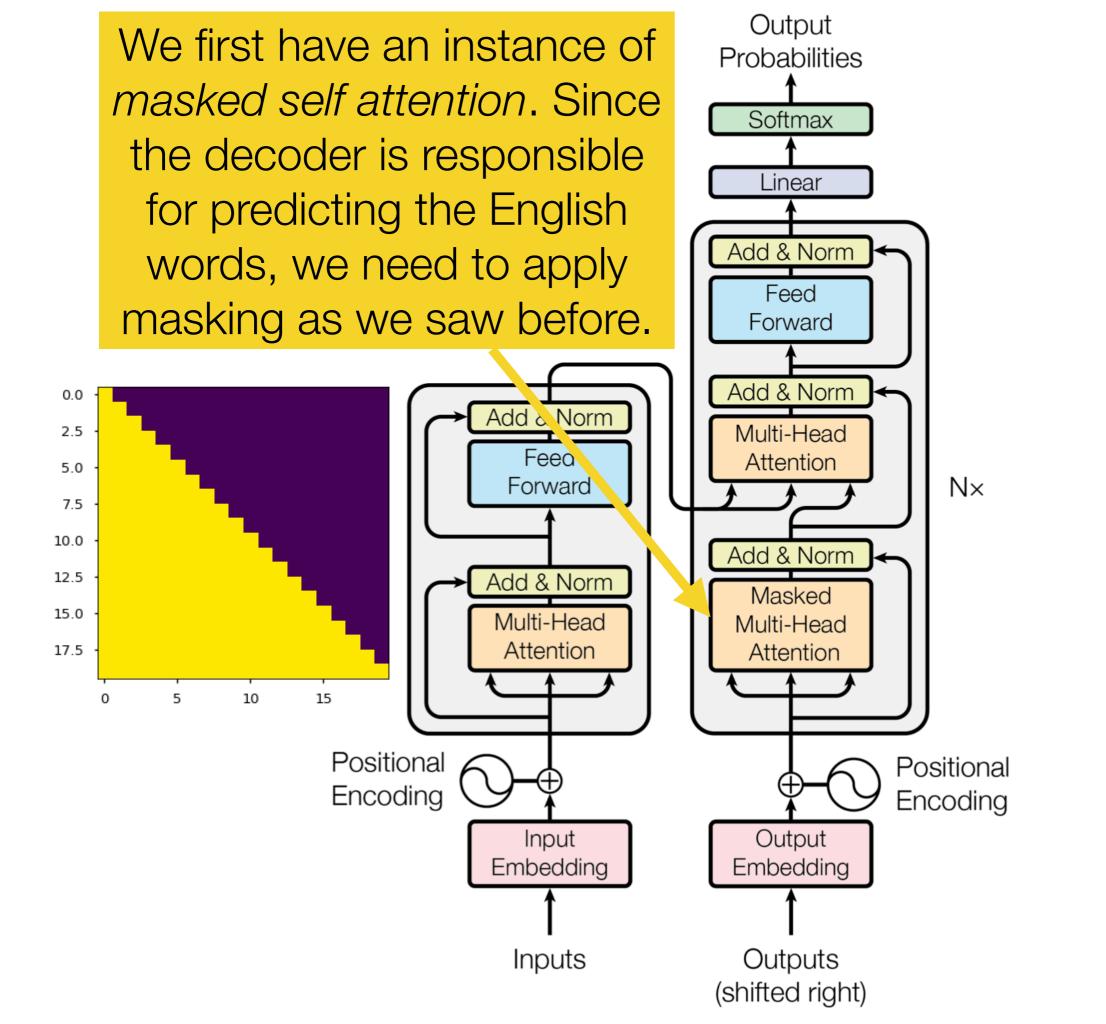


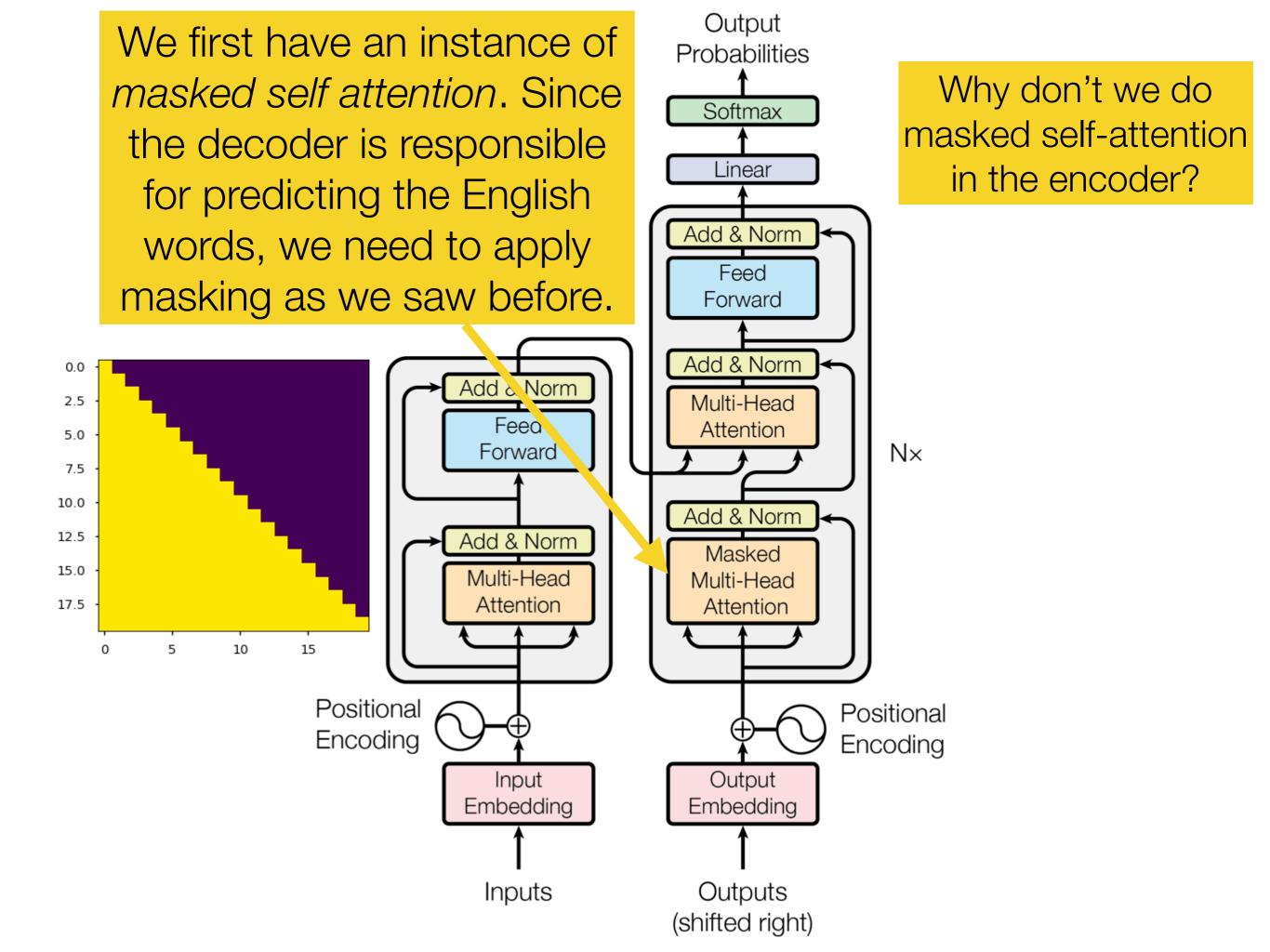






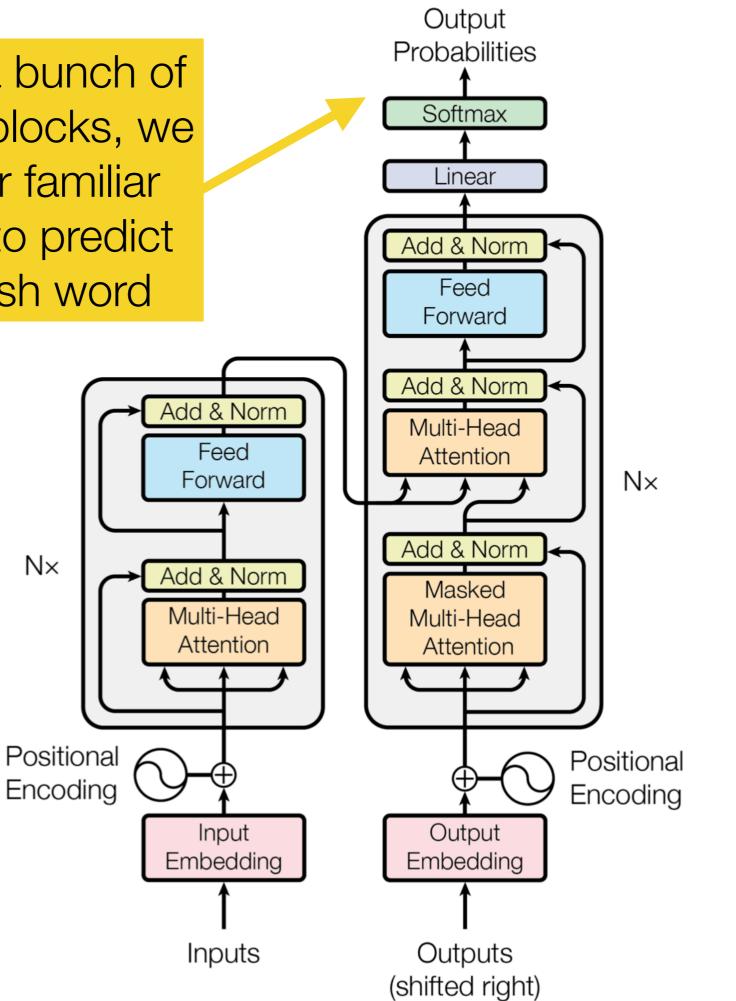




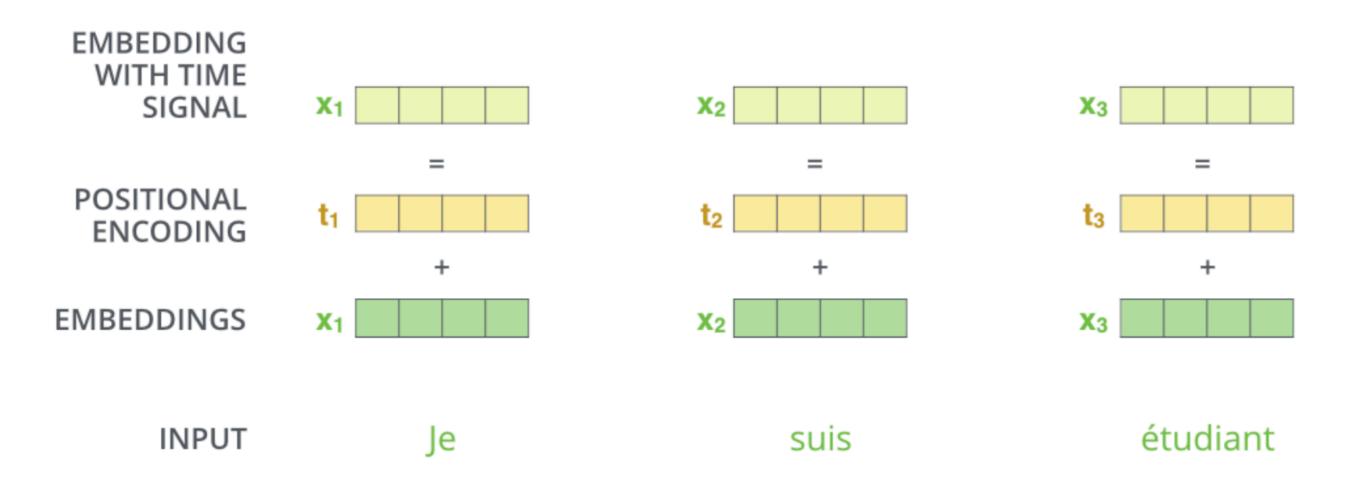


Output **Probabilities** Now, we have cross attention, Softmax which connects the decoder to the encoder by enabling it to Linear attend over the encoder's final Add & Norm hidden states. Feed Forward Add & Norm Add & Norm Multi-Head Feed Attention Forward N× Add & Norm N× Add & Norm Masked Multi-Head Multi-Head Attention Attention Positional Positional Encoding Encoding Output Input Embedding Embedding Inputs Outputs (shifted right)

After stacking a bunch of these decoder blocks, we finally have our familiar Softmax layer to predict the next English word



## Positional encoding



# Creating positional encodings?

- We could just concatenate a fixed value to each time step (e.g., 1, 2, 3, ... 1000) that corresponds to its position, but then what happens if we get a sequence with 5000 words at test time?
- We want something that can generalize to arbitrary sequence lengths. We also may want to make attending to *relative positions* (e.g., tokens in a local window to the current token) easier.
- Distance between two positions should be consistent with variable-length inputs

# Intuitive example

0:	0000	8:	<b>1</b> 0 0 0
1:	0001	9:	<b>1</b> 0 <b>0 1</b>
2:	0010	10:	<b>1</b> 0 <b>1</b> 0
3:	0011	11:	<b>1</b> 0 <b>1 1</b>
4:	0100	12:	<b>1 1 0 0</b>
5:	0101	13:	<b>1 1 0 1</b>
6:	0 1 1 0	14:	<b>1 1 1 0</b>
7:	0111	15:	<b>1 1 1 1</b>

https://kazemnejad.com/blog/transformer\_architecture\_positional\_encoding/

## Transformer positional encoding

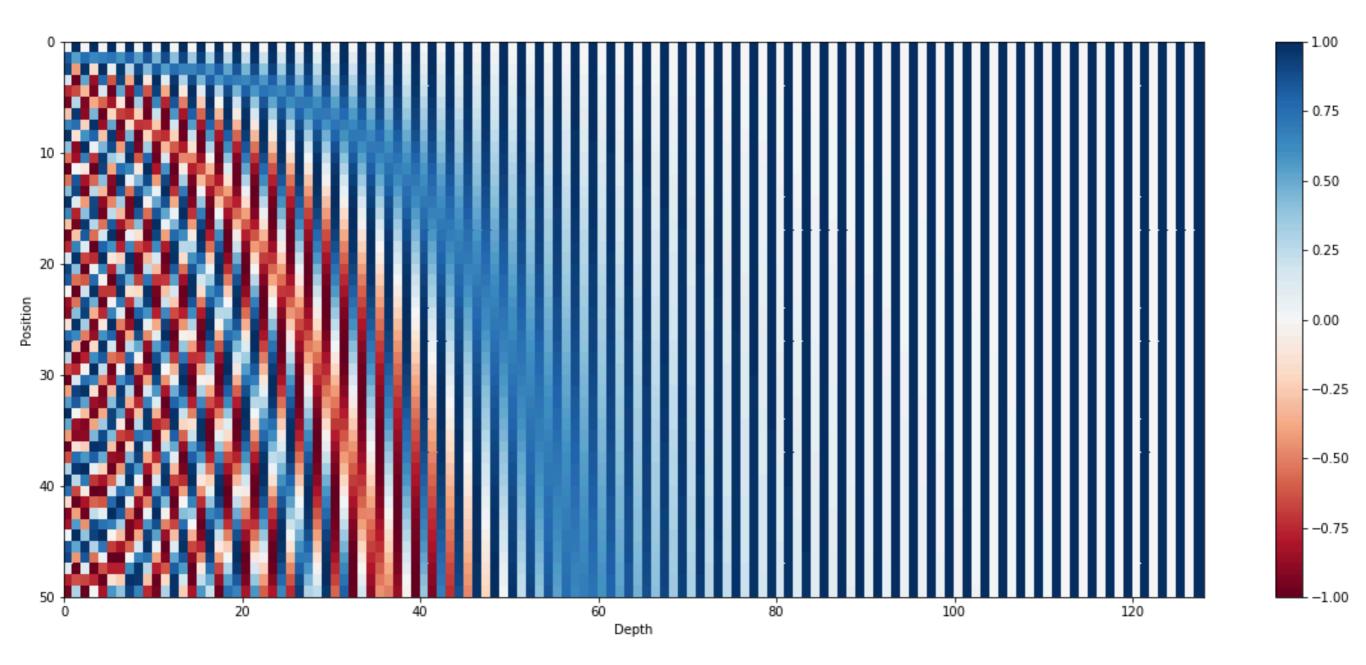
$$PE_{(pos,2i)} = \sin(rac{pos}{10000^{2i/d_{model}}})$$

$$PE_{(pos,2i+1)} = \cos(rac{10000^{2i/d_{model}}}{10000^{2i/d_{model}}})$$

Positional encoding is a 512d vector i = a particular dimension of this vector pos = dimension of the word  $d_model = 512$ 

# What does this look like?

(each row is the pos. emb. of a 50-word sentence)



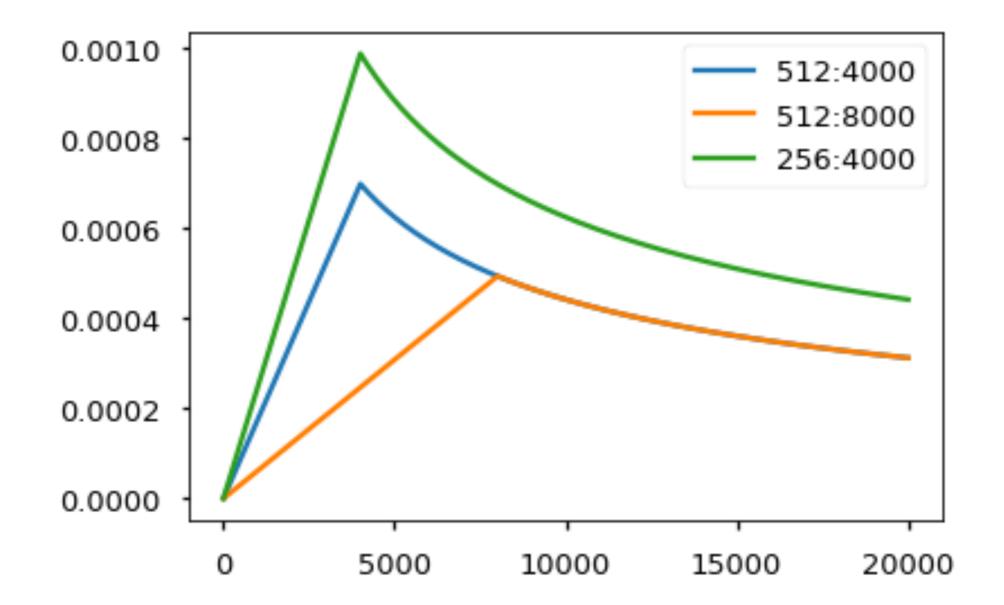
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Despite the intuitive flaws, many models these days use *learned positional embeddings* (i.e., they cannot generalize to longer sequences, but this isn't a big deal for their use cases) Hacks to make Transformers work

#### Optimizer

We used the Adam optimizer (cite) with  $\beta_1 = 0.9$ ,  $\beta_2 = 0.98$  and  $\epsilon = 10^{-9}$ . We varied the learning rate over the course of training, according to the formula:  $lrate = d_{model}^{-0.5} \cdot min(step_num^{-0.5}, step_num \cdot warmup_steps^{-1.5})$  This corresponds to increasing the learning rate linearly for the first warmup\_steps training steps, and decreasing it thereafter proportionally to the inverse square root of the step number. We used warmup\_steps = 4000.

Note: This part is very important. Need to train with this setup of the model.



#### Label Smoothing

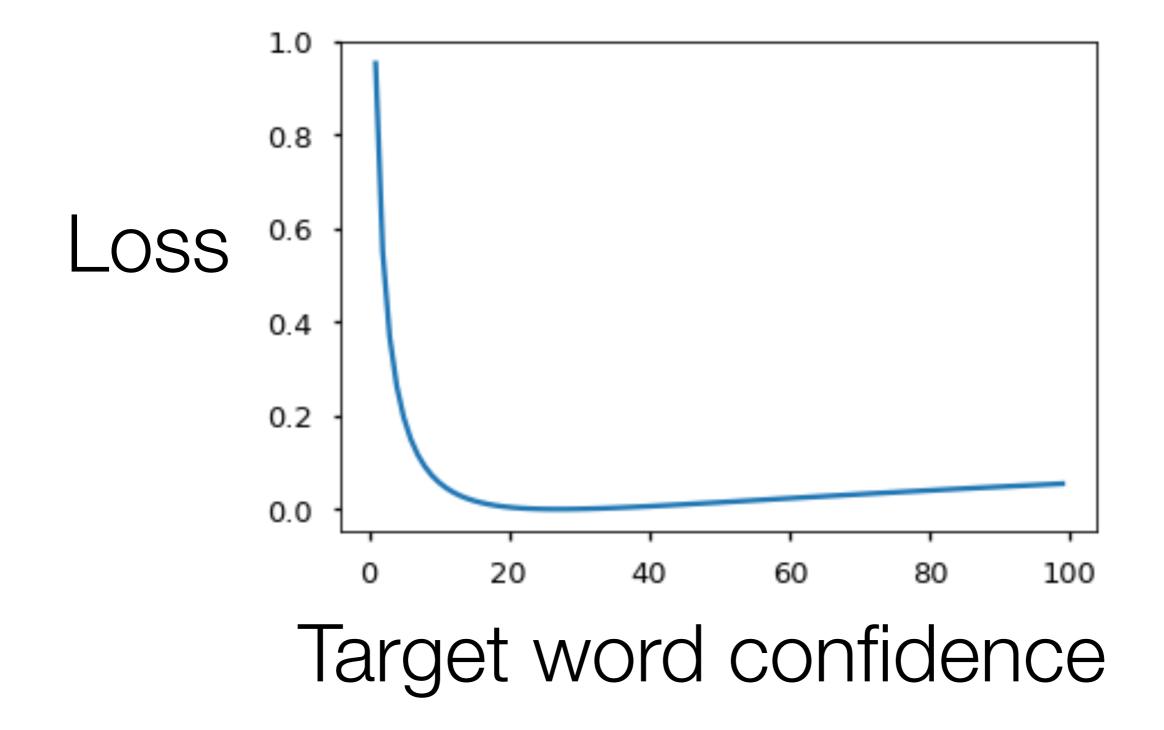
During training, we employed label smoothing of value  $\epsilon_{ls} = 0.1$  (cite). This hurts perplexity, as the model learns to be more unsure, but improves accuracy and BLEU score.

We implement label smoothing using the KL div loss. Instead of using a one-hot target distribution, we create a distribution that has confidence of the correct word and the rest of the smoothing mass distributed throughout the vocabulary.

# I went to class and took cats TV notes took sofa 0 0 1 0 0 0.025 0.025 0.9 0.025 0.025

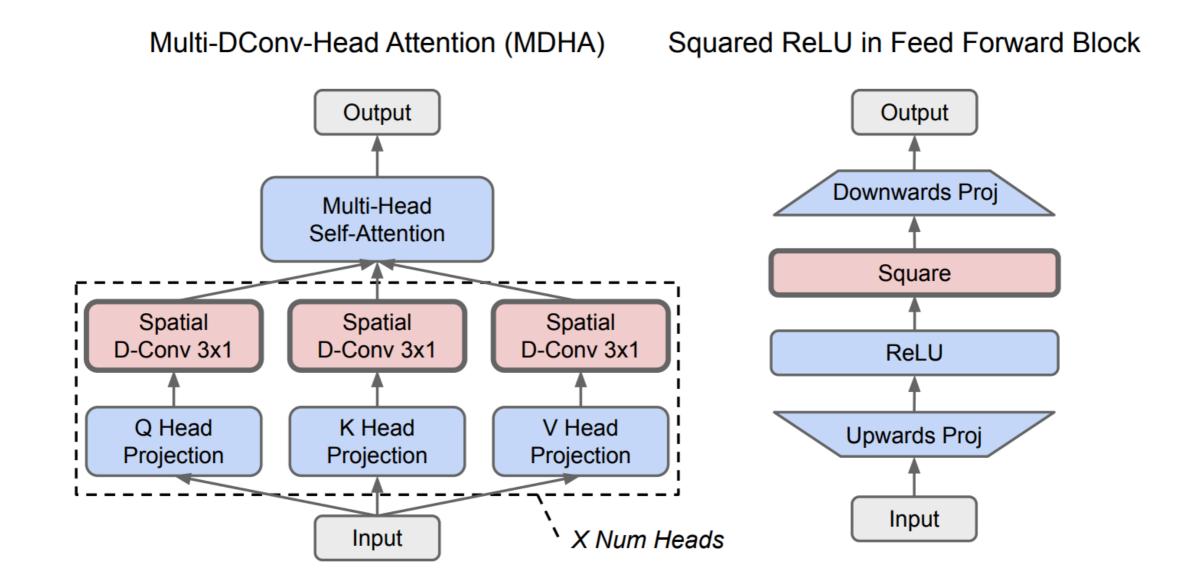
with label smoothing

# Get penalized for overconfidence!



# Why these decisions?

Unsatisfying answer: they empirically worked well. Neural architecture search finds even better Transformer variants:



Primer: Searching for efficient Transformer architectures... So et al., Sep. 2021

# OpenAl's Transformer LMs

- GPT (Jun 2018): 117 million parameters, trained on 13GB of data (~1 billion tokens)
- GPT2 (Feb 2019): 1.5 billion parameters, trained on 40GB of data
- GPT3 (July 2020): 175 billion parameters, ~500GB data (300 billion tokens)

# Coming up!

- Transfer learning via Transformer models like BERT
- Tokenization (word vs subword vs character/byte)
- Prompt-based learning
- Efficient / long-range Transformers
- Downstream tasks