## semantic role labeling

### CS 585, Fall 2018

Introduction to Natural Language Processing <a href="http://people.cs.umass.edu/~miyyer/cs585/">http://people.cs.umass.edu/~miyyer/cs585/</a>

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slides from Dan Jurafsky and Emma Strubell

## stuff from last time

- extra credit template released
- HW3???
- progress reports due Friday!
- no class till the 27th! enjoy the break :)



# Can we figure out that these have the same meaning?

XYZ corporation **bought** the stock.
They **sold** the stock to XYZ corporation.
The stock was **bought** by XYZ corporation.
The **purchase** of the stock by XYZ corporation...
The stock **purchase** by XYZ corporation...

## A Shallow Semantic Representation: Semantic Roles

Predicates (bought, sold, purchase) represent an **event semantic roles** express the abstract role that arguments of a predicate can take in the event

More specific More general

buyer

agent

proto-agent

### **Getting to semantic roles**

Neo-Davidsonian event representation:

Sasha broke the window Pat opened the door  $\exists e, x, y \ Breaking(e) \land Breaker(e, Sasha) \\ \land BrokenThing(e, y) \land Window(y) \\ \exists e, x, y \ Opening(e) \land Opener(e, Pat) \\ \land OpenedThing(e, y) \land Door(y)$ 

Subjects of break and open: **Breaker** and **Opener Deep roles** specific to each event (breaking, opening) Hard to reason about them for NLU applications like QA

### **Thematic roles**

- **Breaker** and **Opener** have something in common!
  - Volitional actors
  - Often animate
  - Direct causal responsibility for their events
- Thematic roles are a way to capture this semantic commonality between *Breakers* and *Eaters*.
- They are both AGENTS.

- The *BrokenThing* and *OpenedThing*, are THEMES.
  - prototypically inanimate objects affected in some way by the action

### **Thematic roles**

- One of the oldest linguistic models
  - Indian grammarian Panini between the 7th and 4th centuries BCE
- Modern formulation from Fillmore (1966,1968), Gruber (1965)
  - Fillmore influenced by Lucien Tesnière's (1959) Éléments de Syntaxe Structurale, the book that introduced dependency grammar
  - Fillmore first referred to roles as *actants* (Fillmore, 1966) but switched to the term *case*

### **Thematic roles**

#### • A typical set:

Thematic Role	Definition	Example
AGENT	The volitional causer of an event	The waiter spilled the soup.
EXPERIENCER	The experiencer of an event	John has a headache.
FORCE	The non-volitional causer of the event	The wind blows debris from the mall into our yards.
THEME	The participant most directly affected by an event	Only after Benjamin Franklin broke the ice
RESULT	The end product of an event	The city built a regulation-size baseball diamond
CONTENT	The proposition or content of a propositional event	Mona asked "You met Mary Ann at a supermarket?"
INSTRUMENT	An instrument used in an event	He poached catfish, stunning them with a shocking device
BENEFICIARY	The beneficiary of an event	Whenever Ann Callahan makes hotel reservations for her boss
SOURCE	The origin of the object of a transfer event	I flew in <i>from Boston</i> .
GOAL	The destination of an object of a transfer event	I drove to Portland.

## **Problems with Thematic Roles**

Hard to create standard set of roles or formally define them Often roles need to be fragmented to be defined. Levin and Rappaport Hovav (2015): two kinds of INSTRUMENTS **intermediary instruments** that can appear as subjects The cook opened the jar with the new gadget. The new gadget opened the jar. enabling instruments that cannot Shelly ate the sliced banana with a fork. 13 \*The fork ate the sliced banana.

### Alternatives to thematic roles

- 1. Fewer roles: generalized semantic roles, defined as prototypes (Dowty 1991)
  PROTO-AGENT
  PROTO-PATIENT
  PropBank
- 2. More roles: Define roles specific to a group of predicates
  FrameNet

### PropBank

 Palmer, Martha, Daniel Gildea, and Paul Kingsbury. 2005. The Proposition Bank: An Annotated Corpus of Semantic Roles. *Computational Linguistics*, 31(1):71–106

## **PropBank Roles**

Following Dowty 1991

Proto-Agent

- Volitional involvement in event or state
- Sentience (and/or perception)
- Causes an event or change of state in another participant
- Movement (relative to position of another participant)

#### **Proto-Patient**

- Undergoes change of state
- Causally affected by another participant
- Stationary relative to movement of another participant

### **PropBank Roles**

- Following Dowty 1991
  - Role definitions determined verb by verb, with respect to the other roles
  - Semantic roles in PropBank are thus verb-sense specific.
- Each verb sense has numbered argument: Arg0, Arg1, Arg2,...
   Arg0: PROTO-AGENT
  - Arg1: PROTO-PATIENT
  - Arg2: usually: benefactive, instrument, attribute, or end state
  - Arg3: usually: start point, benefactive, instrument, or attribute Arg4 the end point
- <sup>18</sup> (Arg2-Arg5 are not really that consistent, causes a problem for labeling)

### **PropBank Frame Files**

#### agree.01

- Arg0: Agreer
- Arg1: Proposition
- Arg2: Other entity agreeing
- Ex1: [Arg0] The group ] agreed [Arg1] it wouldn't make an offer ].
- Ex2: [ArgM-TMP Usually] [Arg0 John] agrees [Arg2 with Mary] [Arg1 on everything].

#### fall.01

- Arg1: Logical subject, patient, thing falling
- Arg2: Extent, amount fallen
- Arg3: start point
- Arg4: end point, end state of arg1
- Ex1:  $[Arg_1 Sales]$  *fell*  $[Arg_4 to $25 million] [Arg_3 from $27 million].$
- Ex2:  $[Arg_1]$  The average junk bond] *fell*  $[Arg_2]$  by 4.2%].
- 19

### Advantage of a ProbBank Labeling

increase.01 "go up incrementally"

- Arg0: causer of increase
- Arg1: thing increasing
- Arg2: amount increased by, EXT, or MNR
- Arg3: start point
- Arg4: end point

This would allow us to see the commonalities in these 3 sentences:

[ $_{Arg0}$  Big Fruit Co. ] increased [ $_{Arg1}$  the price of bananas]. [ $_{Arg1}$  The price of bananas] was increased again [ $_{Arg0}$  by Big Fruit Co. ] [ $_{Arg1}$  The price of bananas] increased [ $_{Arg2}$  5%].

## SRL: Who did what to whom?



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## SRL: Who did what to whom?



CommitteeawardsNobeltoStricklandwhoadvancedopticsagentpredicatethemebeneficiary

## PropBank SRL: Who did what to whom?



#### **PropBanking a Sentence**

#### Martha Palmer 2013



#### The same parse tree PropBanked

Martha Palmer 2013



### **Annotated PropBank Data**

- Penn English TreeBank,
   OntoNotes 5.0.
  - Total ~2 million words
- Penn Chinese TreeBank
- Hindi/Urdu PropBank
- Arabic PropBank

2013 Verb Frames Coverage Count of word sense (lexical units)

Language	Final Count	
English	10,615*	
Chinese	24, 642	
Arabic	7,015	

From Martha Palmer 2013 Tutorial

## Semantic Role Labeling

FrameNet

### FrameNet

- Baker et al. 1998, Fillmore et al. 2003, Fillmore and Baker 2009, Ruppenhofer et al. 2006
- Roles in PropBank are specific to a verb
- Role in FrameNet are specific to a frame: a background knowledge structure that defines a set of frame-specific semantic roles, called frame elements,
  - includes a set of pred cates that use these roles
  - each word evokes a frame and profiles some aspect of the frame

## The "Change position on a scale" Frame

This frame consists of words that indicate the change of an ITEM's position on a scale (the ATTRIBUTE) from a starting point (INITIAL VALUE) to an end point (FINAL VALUE)

[ITEM Oil] rose [ATTRIBUTE in price] [DIFFERENCE by 2%].

 $[I_{TEM} It]$  has *increased*  $[F_{INAL_STATE}$  to having them 1 day a month].

[ $_{ITEM}$  Microsoft shares] *fell* [ $_{FINAL_VALUE}$  to 7 5/8].

[ $_{\text{ITEM}}$  Colon cancer incidence] *fell* [ $_{\text{DIFFERENCE}}$  by 50%] [ $_{\text{GROUP}}$  among men].

a steady *increase*  $[_{INITIAL-VALUE}$  from 9.5]  $[_{FINAL-VALUE}$  to 14.3]  $[_{ITEM}$  in dividends]

a [DIFFERENCE 5%] [ITEM dividend] increase...

## The "Change position on a scale" Frame

<b>VERBS:</b>	dwindle	move	soar	escalation	shift
advance	edge	mushroom	swell	explosion	tumble
climb	explode	plummet	swing	fall	
decline	fall	reach	triple	fluctuation	<b>ADVERBS:</b>
decrease	fluctuate	rise	tumble	gain	increasingly
diminish	gain	rocket		growth	
dip	grow	shift	<b>NOUNS:</b>	hike	
double	increase	skyrocket	decline	increase	
drop	jump	slide	decrease	rise	

## The "Change position on a scale" Frame

Core Roles				
ATTRIBUTE	The ATTRIBUTE is a scalar property that the ITEM possesses.			
DIFFERENCE	The distance by which an ITEM changes its position on the scale.			
FINAL_STATE	A description that presents the ITEM's state after the change in the ATTRIBUTE's			
	value as an independent predication.			
FINAL_VALUE	The position on the scale where the ITEM ends up.			
INITIAL_STATE	A description that presents the ITEM's state before the change in the AT-			
	TRIBUTE's value as an independent predication.			
INITIAL_VALUE	The initial position on the scale from which the ITEM moves away.			
ITEM	The entity that has a position on the scale.			
VALUE_RANGE	A portion of the scale, typically identified by its end points, along which the			
	values of the ATTRIBUTE fluctuate.			
Some Non-Core Roles				
DURATION	The length of time over which the change takes place.			
Speed	The rate of change of the VALUE.			
GROUP	The GROUP in which an ITEM changes the value of an			
	ATTRIBUTE in a specified way.			

### FrameNet and PropBank representations



## Semantic role labeling (SRL)

- The task of finding the semantic roles of each argument of each predicate in a sentence.
- FrameNet versus PropBank:

[You]can't [blame][the program][for being unable to identify it]COGNIZERTARGET EVALUEEREASON[The San Francisco Examiner]issued[a special edition][yesterday]ARG0TARGETARG1ARGM-TMP

## History

- Semantic roles as a intermediate semantics, used early in
  - machine translation (Wilks, 1973)
  - question-answering (Hendrix et al., 1973)
  - spoken-language understanding (Nash-Webber, 1975)
  - dialogue systems (Bobrow et al., 1977)
- Early SRL systems

Simmons 1973, Marcus 1980:

- parser followed by hand-written rules for each verb
- dictionaries with verb-specific case frames (Levin 1977)

## Why Semantic Role Labeling

- A useful shallow semantic representation
- Improves NLP tasks like:
  - question answering Shen and Lapata 2007, Surdeanu et al. 2011
  - machine translation

Liu and Gildea 2010, Lo et al. 2013

## A simple modern algorithm

function SEMANTICROLELABEL(words) returns labeled tree

parse ← PARSE(words) for each predicate in parse do for each node in parse do featurevector ← EXTRACTFEATURES(node, predicate, parse) CLASSIFYNODE(node, featurevector, parse)

## How do we decide what is a predicate

- If we're just doing PropBank verbs
  - Choose all verbs
  - Possibly removing light verbs (from a list)
- If we're doing FrameNet (verbs, nouns, adjectives)
  - Choose every word that was labeled as a target in training data

### **Semantic Role Labeling**

![](_page_34_Figure_1.jpeg)

![](_page_35_Figure_0.jpeg)

Voice of the clause

Active

Subcategorization of pred VP -> VBD NP PP Named Entity type of constit ORGANIZATION

First and last words of constit

The, Examiner

Linear position, clause re: predicate

before

### **Path Features**

Path in the parse tree from the constituent to the predicate

## $NP\uparrow S{\downarrow}VP{\downarrow}VBD$

![](_page_36_Figure_3.jpeg)

## **3-step version of SRL algorithm**

- **1. Pruning**: use simple heuristics to prune unlikely constituents.
- **2.** Identification: a binary classification of each node as an argument to be labeled or a NONE.
- **3. Classification**: a 1-of-*N* classification of all the constituents that were labeled as arguments by the previous stage

## Why add Pruning and Identification steps?

- Algorithm is looking at one predicate at a time
- Very few of the nodes in the tree could possible be arguments of that one predicate
- Imbalance between
  - positive samples (constituents that are arguments of predicate)
  - negative samples (constituents that are not arguments of predicate)
- Imbalanced data can be hard for many classifiers
- So we prune the very unlikely constituents first, and then use a
   classifier to get rid of the rest.

## Pruning heuristics – Xue and Palmer (2004)

- Add sisters of the predicate, then aunts, then great-aunts, etc
  - But ignoring anything in a coordination structure

![](_page_39_Figure_3.jpeg)

## **Semantic Role Labeling**

- A level of shallow semantics for representing events and their participants
  - Intermediate between parses and full semantics
- Two common architectures, for various languages
  - FrameNet: frame-specific roles
  - PropBank: Proto-roles
- Current systems extract by
  - parsing sentence

- Finding predicates in the sentence
  - For each one, classify each parse tree constituent

![](_page_41_Figure_0.jpeg)

by UMass PhD student Emma Strubell, won best paper award at EMNLP 2018!

### Multi-task learning

- Part-of-speech tagging
- Labeled dependency parsing
- Predicate detection
- Semantic role spans & labeling
- Syntactically-informed self-attention
  - Multi-head self-attention supervised by syntax

![](_page_42_Picture_9.jpeg)

#### [Vaswani et al. 2017]

## Self-attention

![](_page_43_Figure_2.jpeg)

#### [Vaswani et al. 2017]

## Self-attention

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![](_page_48_Figure_2.jpeg)

#### [Vaswani et al. 2017]

## Self-attention

![](_page_49_Figure_2.jpeg)

#### [Vaswani et al. 2017]

## Self-attention

![](_page_50_Figure_2.jpeg)

#### [Vaswani et al. 2017] Multi-head self-attention

![](_page_51_Picture_1.jpeg)

#### [Vaswani et al. 2017] Multi-head self-attention

![](_page_52_Picture_1.jpeg)

#### [Vaswani et al. 2017]

## Multi-head self-attention

![](_page_53_Figure_2.jpeg)

#### [Vaswani et al. 2017]

## Multi-head self-attention

![](_page_54_Figure_2.jpeg)

#### [Vaswani et al. 2017] Multi-head self-attention

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