

## Information Extraction Lecture #12

Introduction to Natural Language Processing  
CMPSCI 585, Fall 2004  
University of Massachusetts Amherst



Andrew McCallum

## Today's Main Points

- Why IE?
- Components of the IE problem and solution
- Approaches to IE segmentation and classification
  - Sliding window
  - Finite state machines
- IE for the Web
- Semi-supervised IE
  
- Later: relation extraction and coreference
- ...and possibly CRFs for IE & coreference

Query to General-Purpose Search Engine:  
+camp +basketball "north carolina" "two weeks"

1. Parent Information - Camp High Rocks  
Parent Guide - Camp High Rocks. This page is for Parents of Camp High Rocks campers, but parents can be useful for any parents of children that attend...  
URL: http://www.alvarista.com/cgi-bin/query?pg=0&L=0506q+0D  
Last modified 02-04-05 - page size 21K - in English [Expandable]

2. [7/15/01] Tim Stevens: North Carolina players shine at ABCB basketball camp: The 16-0 Sports  
URL: http://www.sportsillustrated.com/si/0107/0715sports11.html  
Last modified 02-04-05 - page size 14K - in English [Expandable]

3. Baseball Workers reject offer, seek \$80 million contract  
Baseball Workers reject offer, seek \$80 million contract. Copyright © 1997 Newsday. Copyright © 1997 The Associated Press. For a complete coverage of...  
URL: http://www.nytimes.com/1997/07/15/sports/15baseball.html  
Last modified 07-15-97 - page size 5K - in English [Expandable]

4. An WNBA rookie, find the right fit for Charlotte (05/13/98, CBS)  
An WNBA rookie, find the right fit for Charlotte (05/13/98, CBS). Charlotte, N.C. - Charlotte's Charlotte Hornets...  
URL: http://www.cbs.com/charlotte/051398.html  
Last modified 05-13-98 - page size 5K - in English [Expandable]

5. May 22 1999 Newsletter - St. Andrew's Church  
St. Andrew's Church Newsletter - St. Andrew's Church, Ashburn, Ontario, Vol. 6 No. 7, Friday, May 22, 1999. In this issue: Twenty-two new deacons ordained...  
URL: http://www.standrews.org/052299.html  
Last modified 05-22-99 - page size 30K - in English [Expandable]

6. North Carolina State 1997-98 Guide to ACC BASKETBALL  
The 1997-98 ACC BASKETBALL Guide to ACC BASKETBALL. North Carolina State University...  
URL: http://www.ncsu.edu/sports/basketball/1997-98guide.html  
Last modified 07-14-98 - page size 41K - in English [Expandable]

## Domain-Specific Search Engine

**CAMP SEARCH**  
THE SEARCH ENGINE FOR CAMPS

Empty fields are ignored in the search.

Where in United States of America do you want to go to camp?  
North Carolina

What type of Camp do you want to go to?  
Day Camp

How much do you want to spend? (per week)  
Doesn't Matter

Are you looking for a specialty camp?  
Family Camp

How long do you want to go for?  
2 weeks

**CAMP SEARCH**  
THE SEARCH ENGINE FOR CAMPS

**FEATURED CAMPS** **GUIDE**

- Day Camp
- International Camp
- Specialty Camp
- Family Camp
- Tour & Adventure
- Outdoor Education
- Conference Site
- Adult Camp

CLICK HERE TO SEARCH **200+ CAMPS**

http://www.campsearch.com/

Lost World: Jurassic Park, The (1997)

Reviews 130 reviews

- The New York Times
- Scott Reinhardt, *cinemascope.com*
- Ben Turner, *James Beard*
- Executive Magazine
- Chicago Examiner, *Bill Shanks*
- Address for Misses (Cynthia Beck)
- Circle of Film (Chuck, Rick, and Jack)
- Power, *Sherry, Chicago Sun-Times*
- Microsoft, *ComcastOnline*
- Empire Magazine
- Washington Post
- Dr. David's Movie Emergency
- Denver Post, *Joe Zelle*
- ComcastOnline (Doug Thomas)
- The Film Critic, *Mary Ann Johnson*
- Movie, *Arroyo Online*
- Harvey's Movie Reviews
- Movie Magazine, *International*
- Images (Gary Johnson)

http://movie-reviews.colossus.net/movie/jurassic2

## Example: The Problem

Google search results for "baker job opening". The results include:

- Job Opening - Find ANY Job! - Search by Type, Industry & Geography
- Job Opening At Flipdog.Com
- Software Community Discussion Groups: archive 0004
- Software Community Discussion Groups: archive 0004
- CGI Job Opening
- Information Active Job Opening - May 2001
- Post an Employee Benefits Job Opening (Help Wanted) Ad
- Post an Employee Benefits Job Opening (Help Wanted) Ad

Martin Baker, a person

Genomics job

Employers job posting form

## Example: A Solution

FlipDog job search website interface. It displays a search bar, navigation links (Home, Find Jobs, Your Account, Resource Center), and a list of job openings. A prominent feature is a "647,514" jobs found notification.

## Extracting Job Openings from the Web

Web browser screenshot showing a job listing for "Ice Cream Guru" at "foodsience.com". Annotations include:

- Job Title: Ice Cream Guru
- Employer: foodsience.com
- Job Category: Travel/Hospitality
- Job Function: Food Services
- Job Location: Upper Midwest
- Contact Phone: 800-488-2611
- Date Extracted: January 8, 2001
- Source: www.foodscience.com/jobs\_midwest.htm
- Other Company Jobs: foodscience.com-Job1

Job Openings:  
Category = Food Services  
Keyword = Baker  
Location = Continental U.S.

FlipDog job search results page showing a list of job openings. The list includes:

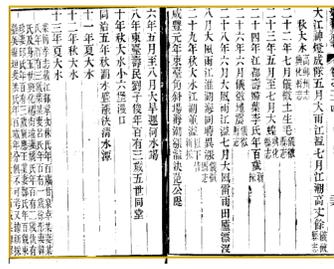
- Food Pantry Workers at Lutheran Social Services
- Cooks at Lutheran Social Services
- Bakers Assistants at Fine Catering by Russell Moran
- Baker's Helper at Bird-in-Hand
- Assistant Baker at Gourmet To Go
- Host/Hostess at Shans Restaurants
- Cooks at Alta's Butcher Lodge
- Line Attendant at Sun Valley Corporation
- Food Service Worker II at Garden Grove Unified School District
- Night Cook / Baker at SONOCO
- Cook/Prep Cooks at Grandview Lodge
- Line Cook at Lone Mountain Ranch
- Production Baker at Whole Foods Market
- Cake Decorator/Baker at Mandalay Bay Hotel and Casino
- Shift Supervisors at Bunnings Repels

## Data Mining the Extracted Job Information

FlipDog Job Opportunity Index report for November 2001. The report shows a map of the U.S. with job supply by region and text indicating that the Job Opportunity Index (JOI) increased for the first time in three months in October.

## IE from Chinese Documents regarding WEATHER

Department of Terrestrial System, Chinese Academy of Sciences



200k+ documents  
several millennia old

- Qing Dynasty Archives
- memos
- newspaper articles
- diaries

## IE from Research Papers

[McCallum et al '99]

**Reinforcement Learning: A Survey**

**Keirte Park Kaelin-Lang**  
 Michael E. Sutton  
 Andrew W. Maier

**Abstract**

This paper surveys the field of reinforcement learning from a computer science perspective. It is written for researchers familiar with machine learning. In the historical basis of the field and a broad selection of current work are surveyed. Reinforcement learning is the problem faced by an agent that learns behavior through trial-and-error interactions with a dynamic environment. The work described here has connections to work in psychology, but differs considerably in the details and in the use of the word "reinforcement". The paper discusses central issues of reinforcement learning including finding optimal policies and algorithms, establishing the foundations of the field via Markov decision theory, learning from delayed reinforcement, considering empirical methods to accelerate learning, making use of generalization and hierarchy, and solving a hidden state. It concludes with a survey of some implemented systems and an assessment of the practical utility of current methods for reinforcement learning.

**1. Introduction**

Reinforcement learning dates back to the early days of cybernetics and experimental psychology, neuroscience, and computer science. In the last few years, there has been a rapidly increasing interest in the machine learning and artificial intelligence communities in learning a way of progressing agents by reward and punishment in order to specify how the task is to be achieved, that there are formidable conceptual obstacles to fulfilling the promise.

This paper surveys the historical basis of reinforcement learning and some of the work from a computer science perspective. We give a high-level overview of the field from a more specific perspective. It is, of course, impossible to mention all of the work in the field; this should not be taken to be an exhaustive account.

## IE from Research Papers

**A Critical Evaluation of Commensurable Abduction Models for Semantic Interpretation (1998)**

Downloaded from <http://www.computer-linguistics.com>

Author(s): [John P. Thibaut](#), [Richard W. Orin](#), [John S. Boyan](#), [John S. Boyan](#), [John S. Boyan](#)

Abstract: This paper critically evaluates three recent abduction interpretation models: those of Charniak and Goldman (1995), Shalizi, Martin, and Edwards (1997), and Pao and Mooney (1998). These three models add the important property of commensurability: all types of evidence are represented in a common manner that can be compared and combined. While commensurability is a desirable property, and there is a clear need for a way to compare alternate explanations, it appears that a single value measure is not enough to account for all types of processing. We present other problems for the abduction approach, and some tentative solutions.

**1. Introduction**

Reinforcement learning dates back to the early days of cybernetics and experimental psychology, neuroscience, and computer science. In the last few years, there has been a rapidly increasing interest in the machine learning and artificial intelligence communities in learning a way of progressing agents by reward and punishment in order to specify how the task is to be achieved, that there are formidable conceptual obstacles to fulfilling the promise.

## Mining Research Papers

**Most cited authors in Computer Science - June 2004**  
 (CiteSeer.IST)

Generated from documents in the [CiteSeer.IST](#) database. This list does not include where one or more authors of the citing and cited articles match, or citations who are relevant author in an editor. An entry may correspond to multiple authors (e.g. J. J. List is automatically generated and may contain errors. Citation counts may differ slightly because this list is generated in batch mode whereas the database is continuously updated. A total of 703686 authors were found.

[Rosen-Zvi, Griffiths, Steyvers, Smyth, 2004]

TOPIC 19		TOPIC 24	
WORD	PROB.	WORD	PROB.
LIKELIHOOD	0.0539	RECOGNITION	0.0400
MIXTURE	0.0509	CHARACTER	0.0336
EM	0.0470	CHARACTERS	0.0290
DENSITY	0.0398	TANGENT	0.0241
GAUSSIAN	0.0349	HANDWRITTEN	0.0169
ESTIMATION	0.0314	DIGITS	0.0159
LOG	0.0263	IMAGE	0.0157
MAXIMUM	0.0254	DISTANCE	0.0153
PARAMETERS	0.0209	DIGIT	0.0149
ESTIMATE	0.0204	HAND	0.0126
AUTHOR	PROB.	AUTHOR	PROB.
Tresp, V	0.0333	Simard, P	0.0694
Singer, Y	0.0281	Martin, G	0.0394
Jehara, T	0.0279	LeCun, Y	0.0259
Ghahramani, Z	0.0196	Denker, J	0.0278
Ueda, N	0.0170	Henderson, D	0.0236
Jordan, M	0.0150	Revow, M	0.0229
Roweis, S	0.0123	Platt, J	0.0226

## What is "Information Extraction"

As a task: **Filling slots in a database from sub-segments of text.**

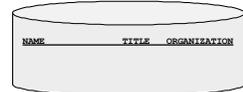
October 14, 2002, 4:00 a.m. PT

For years, Microsoft Corporation CEO Bill Gates railed against the economic philosophy of open-source software with Orwellian fervor, denouncing its communal licensing as a "cancer" that stifled technological innovation.

Today, Microsoft claims to "love" the open-source concept, by which software code is made public to encourage improvement and development by outside programmers. Gates himself says Microsoft will gladly disclose its crown jewels—the coveted code behind the Windows operating system—to select customers.

"We can be open source. We love the concept of shared source," said Bill Veghte, a Microsoft VP. "That's a super-important shift for us in terms of code access."

Richard Stallman, founder of the Free Software Foundation, countered saying...



## What is "Information Extraction"

As a task: **Filling slots in a database from sub-segments of text.**

October 14, 2002, 4:00 a.m. PT

For years, Microsoft Corporation CEO Bill Gates railed against the economic philosophy of open-source software with Orwellian fervor, denouncing its communal licensing as a "cancer" that stifled technological innovation.

Today, Microsoft claims to "love" the open-source concept, by which software code is made public to encourage improvement and development by outside programmers. Gates himself says Microsoft will gladly disclose its crown jewels—the coveted code behind the Windows operating system—to select customers.

"We can be open source. We love the concept of shared source," said Bill Veghte, a Microsoft VP. "That's a super-important shift for us in terms of code access."

Richard Stallman, founder of the Free Software Foundation, countered saying...



## What is "Information Extraction"

As a family of techniques: **Information Extraction = segmentation + classification + clustering + association**

October 14, 2002, 4:00 a.m. PT

For years, Microsoft Corporation CEO Bill Gates railed against the economic philosophy of open-source software with Orwellian fervor, denouncing its communal licensing as a "cancer" that stifled technological innovation.

Today, Microsoft claims to "love" the open-source concept, by which software code is made public to encourage improvement and development by outside programmers. Gates himself says Microsoft will gladly disclose its crown jewels—the coveted code behind the Windows operating system—to select customers.

"We can be open source. We love the concept of shared source," said Bill Veghte, a Microsoft VP. "That's a super-important shift for us in terms of code access."

Richard Stallman, founder of the Free Software Foundation, countered saying...

- Microsoft Corporation
- CEO
- Bill Gates
- Microsoft
- Gates
- Microsoft
- Bill Veghte
- Microsoft
- VP
- Richard Stallman
- founder
- Free Software Foundation

## What is "Information Extraction"

As a family of techniques:

Information Extraction = segmentation + classification + association + clustering

October 14, 2002, 4:00 a.m. PT

For years, **Microsoft Corporation CEO Bill Gates** railed against the economic philosophy of open-source software with Orwellian fervor, denouncing its communal licensing as a "cancer" that stifled technological innovation.

Today, **Microsoft** claims to "love" the open-source concept, by which software code is made public to encourage improvement and development by outside programmers. **Gates** himself says **Microsoft** will gladly disclose its crown jewels--the coveted code behind the Windows operating system--to select customers.

"We can be open source. We love the concept of shared source," said **Bill Veghte**, a **Microsoft VP**. "That's a super-important shift for us in terms of code access."

**Richard Stallman**, founder of the **Free Software Foundation**, countered saying...

**Microsoft Corporation**  
**CEO**  
**Bill Gates**  
**Microsoft**  
**Gates**  
**Microsoft**  
**Bill Veghte**  
**Microsoft**  
**VP**  
**Richard Stallman**  
**founder**  
**Free Software Foundation**

## What is "Information Extraction"

As a family of techniques:

Information Extraction = segmentation + classification + association + clustering

October 14, 2002, 4:00 a.m. PT

For years, **Microsoft Corporation CEO Bill Gates** railed against the economic philosophy of open-source software with Orwellian fervor, denouncing its communal licensing as a "cancer" that stifled technological innovation.

Today, **Microsoft** claims to "love" the open-source concept, by which software code is made public to encourage improvement and development by outside programmers. **Gates** himself says **Microsoft** will gladly disclose its crown jewels--the coveted code behind the Windows operating system--to select customers.

"We can be open source. We love the concept of shared source," said **Bill Veghte**, a **Microsoft VP**. "That's a super-important shift for us in terms of code access."

**Richard Stallman**, founder of the **Free Software Foundation**, countered saying...

**Microsoft Corporation**  
**CEO**  
**Bill Gates**  
**Microsoft**  
**Gates**  
**Microsoft**  
**Bill Veghte**  
**Microsoft**  
**VP**  
**Richard Stallman**  
**founder**  
**Free Software Foundation**

## What is "Information Extraction"

As a family of techniques:

Information Extraction = segmentation + classification + association + clustering

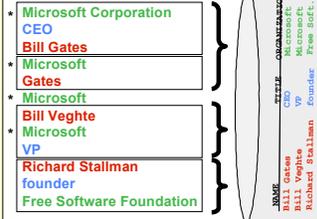
October 14, 2002, 4:00 a.m. PT

For years, **Microsoft Corporation CEO Bill Gates** railed against the economic philosophy of open-source software with Orwellian fervor, denouncing its communal licensing as a "cancer" that stifled technological innovation.

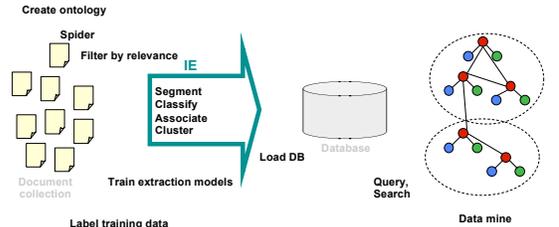
Today, **Microsoft** claims to "love" the open-source concept, by which software code is made public to encourage improvement and development by outside programmers. **Gates** himself says **Microsoft** will gladly disclose its crown jewels--the coveted code behind the Windows operating system--to select customers.

"We can be open source. We love the concept of shared source," said **Bill Veghte**, a **Microsoft VP**. "That's a super-important shift for us in terms of code access."

**Richard Stallman**, founder of the **Free Software Foundation**, countered saying...



## IE in Context



## IE History

### Pre-Web

- Mostly news articles
  - De Jong's *FRUMP* [1982]
    - Hand-built system to fill Schank-style "scripts" from news wire
  - Message Understanding Conference (MUC)* DARPA [87-'95], *TIPSTER* [92-'96]
- Most early work dominated by hand-built models
  - E.g. SRI's *FASTUS*, hand-built FSMs.
  - But by 1990's, some machine learning: Lehnert, Cardie, Grishman and then HMMs: Ekan [Leek '97], BBN [Bikel et al '98]

### Web

- AAAI '94 Spring Symposium on "Software Agents"
  - Much discussion of ML applied to Web. Maes, Mitchell, Etzioni.
- Tom Mitchell's WebKB, '96
  - Build KB's from the Web.
- Wrapper Induction
  - Initially hand-build, then ML: [Soderland '96], [Kushmeric '97],...

## What makes IE from the Web Different?

Less grammar, but more formatting & linking

### NewsWire

Apple to Open Its First Retail Store in New York City

MACWORLD EXPO, NEW YORK--July 17, 2002--Apple's first retail store in New York City will open in Manhattan's SoHo district on Thursday, July 18 at 8:00 a.m. EDT. The SoHo store will be Apple's largest retail store to date and is a stunning example of Apple's commitment to offering customers the world's best computer shopping experience.

"Fourteen months after opening our first retail store, our 31 stores are attracting over 100,000 visitors each week," said Steve Jobs, Apple's CEO. "We hope our SoHo store will surprise and delight both Mac and PC users who want to see everything the Mac can do to enhance their digital lifestyles."

The directory structure, link structure, formatting & layout of the Web is its own new grammar.

## Landscape of IE Tasks (1/4): Pattern Feature Domain

### Text paragraphs without formatting

Astro Teller is the CEO and co-founder of BodyMedia. Astro holds a Ph.D. in Artificial Intelligence from Carnegie Mellon University, where he was inducted as a national Hertz fellow. His M.S. in symbolic and heuristic computation and B.S. in computer science are from Stanford University. His work in science, literature and business has appeared in international media from the New York Times to CNN to NPR.

### Grammatical sentences and some formatting & links

Dr. Steven Mintzer - Founder/CTO  
Dr. Mintzer is a fellow of the American Association of Artificial Intelligence and was the founder of the Journal of Artificial Intelligence Research. Prior to founding Fetch, Mintzer was a faculty member at USC and a project leader at USC's Information Sciences Institute. A graduate of Yale University and Carnegie Mellon University, Mintzer has been a Principal Investigator at NASA Ames and taught at Stanford, UC Berkeley and USC.

Frank Hryciw - COO  
Mr. Hryciw has over 20 years of

### Non-grammatical snippets, rich formatting & links

Barto, Andrew G.	(413) 545-2109	barto@cs.cmu.edu	CS276
Professor			
Computational infrastructure, reinforcement learning, adaptive motor control, artificial neural networks, adaptive and learning control, motor development.			
Boag, Henry D.	(413) 571-4211	enr@cs.cmu.edu	CS344
Assistant Professor			
Machine Learning			
Brook, Oliver	(413) 577-0334	olb@cs.cmu.edu	CS286
Assistant Professor			
Probabilistic graphical models, machine learning, natural language processing, computer vision, robotics, multi-modal learning.			
Clarke, Lori A.	(413) 545-1128	lori@cs.cmu.edu	CS304
Professor			
Software verification, testing, and analysis; software architecture and design.			
Cohen, Paul R.	(413) 545-3638	pcr@cs.cmu.edu	CS278
Professor			
Planning, simulation, natural language, agent-based systems, computational data analysis, multi-modal systems, and visualization.			

### Tables

8:30-9:30 AM	9:30-10:30 AM	10:30-11:30 AM	11:30-12:30 PM	12:30-1:30 PM	1:30-2:30 PM	2:30-3:30 PM	3:30-4:30 PM	4:30-5:30 PM
Computer Science								
10:30-11:30 AM	11:30-12:30 PM	1:30-2:30 PM	2:30-3:30 PM	3:30-4:30 PM	4:30-5:30 PM	5:30-6:30 PM	6:30-7:30 PM	7:30-8:30 PM
Computer Science								

## Landscape of IE Tasks (2/4): Pattern Scope

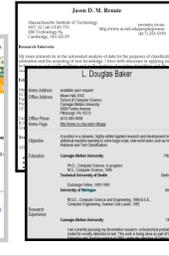
### Web site specific

Formatting  
Amazon.com Book Pages



### Genre specific

Layout  
Resumes



### Wide, non-specific

Language  
University Names



## Landscape of IE Tasks (3/4): Pattern Complexity

E.g. word patterns:

### Closed set

U.S. states  
He was born in Alabama...  
The big Wyoming sky...

### Regular set

U.S. phone numbers  
Phone: (413) 545-1323  
The CALD main office can be reached at 412-268-1299

### Complex pattern

U.S. postal addresses  
University of Arkansas  
P.O. Box 140  
Hope, AR 71802  
Headquarters:  
1128 Main Street, 4th Floor  
Cincinnati, Ohio 45210

### Ambiguous patterns, needing context and many sources of evidence

Person names  
...was among the six houses  
sold by Hope Feldman that year.  
Pawel Opalinski, Software  
Engineer at WhizBang Labs.

## Landscape of IE Tasks (4/4): Pattern Combinations

Jack Welch will retire as CEO of General Electric tomorrow. The top role at the Connecticut company will be filled by Jeffrey Immelt.

### Single entity

Person: Jack Welch

Person: Jeffrey Immelt

Location: Connecticut

### Binary relationship

Relation: Person-Title  
Person: Jack Welch  
Title: CEO

Relation: Company-Location  
Company: General Electric  
Location: Connecticut

### N-ary record

Relation: Succession  
Company: General Electric  
CEO  
Out:  
Jack Welch  
In:  
Jeffrey Immelt

"Named entity" extraction

## Evaluation of Single Entity Extraction

### TRUTH:

Michael Kearns and Sebastian Seung will start Monday's tutorial, followed by Richard M. Karpe and Martin Cooke.

### PRED:

Michael Kearns and Sebastian Seung will start Monday's tutorial, followed by Richard M. Karpe and Martin Cooke.

$$\text{Precision} = \frac{\# \text{ correctly predicted segments}}{\# \text{ predicted segments}} = \frac{2}{6}$$

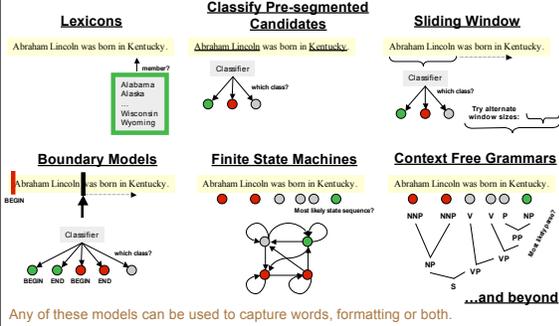
$$\text{Recall} = \frac{\# \text{ correctly predicted segments}}{\# \text{ true segments}} = \frac{2}{4}$$

$$F1 = \text{Harmonic mean of Precision \& Recall} = \frac{1}{(1/P) + (1/R)} / 2$$

## State of the Art Performance

- Named entity recognition
  - Person, Location, Organization, ...
  - F1 in high 80's or low- to mid-90's
- Binary relation extraction
  - Contained-in (Location1, Location2)
  - Member-of (Person1, Organization1)
  - F1 in 60's or 70's or 80's
- Wrapper induction
  - Extremely accurate performance obtainable
  - Human effort (~30min) required on each site

## Landscape of IE Techniques (1/1): Models



## Sliding Windows

## Extraction by Sliding Window

E.g.  
Looking for  
seminar  
location

GRAND CHALLENGES FOR MACHINE LEARNING

Jaime Carbonell  
School of Computer Science  
Carnegie Mellon University

3:30 pm  
7500 Wean Hall

Machine learning has evolved from obscurity in the 1970s into a vibrant and popular discipline in artificial intelligence during the 1980s and 1990s. As a result of its success and growth, machine learning is evolving into a collection of related disciplines: inductive concept acquisition, analytic learning in problem solving (e.g. analogy, explanation-based learning), learning theory (e.g. PAC learning), genetic algorithms, connectionist learning, hybrid systems, and so on.

CMU UseNet Seminar Announcement

## Extraction by Sliding Window

E.g.  
Looking for  
seminar  
location

GRAND CHALLENGES FOR MACHINE LEARNING

Jaime Carbonell  
School of Computer Science  
Carnegie Mellon University

3:30 pm  
7500 Wean Hall

Machine learning has evolved from obscurity in the 1970s into a vibrant and popular discipline in artificial intelligence during the 1980s and 1990s. As a result of its success and growth, machine learning is evolving into a collection of related disciplines: inductive concept acquisition, analytic learning in problem solving (e.g. analogy, explanation-based learning), learning theory (e.g. PAC learning), genetic algorithms, connectionist learning, hybrid systems, and so on.

CMU UseNet Seminar Announcement

## Extraction by Sliding Window

E.g.  
Looking for  
seminar  
location

GRAND CHALLENGES FOR MACHINE LEARNING

Jaime Carbonell  
School of Computer Science  
Carnegie Mellon University

3:30 pm  
7500 Wean Hall

Machine learning has evolved from obscurity in the 1970s into a vibrant and popular discipline in artificial intelligence during the 1980s and 1990s. As a result of its success and growth, machine learning is evolving into a collection of related disciplines: inductive concept acquisition, analytic learning in problem solving (e.g. analogy, explanation-based learning), learning theory (e.g. PAC learning), genetic algorithms, connectionist learning, hybrid systems, and so on.

CMU UseNet Seminar Announcement

## Extraction by Sliding Window

E.g.  
Looking for  
seminar  
location

GRAND CHALLENGES FOR MACHINE LEARNING

Jaime Carbonell  
School of Computer Science  
Carnegie Mellon University

3:30 pm  
7500 Wean Hall

Machine learning has evolved from obscurity in the 1970s into a vibrant and popular discipline in artificial intelligence during the 1980s and 1990s. As a result of its success and growth, machine learning is evolving into a collection of related disciplines: inductive concept acquisition, analytic learning in problem solving (e.g. analogy, explanation-based learning), learning theory (e.g. PAC learning), genetic algorithms, connectionist learning, hybrid systems, and so on.

CMU UseNet Seminar Announcement

## A "Naïve Bayes" Sliding Window Model

[Freitag 1997]



$$P(\text{"Wean Hall Rm 5409"} = \text{LOCATION}) =$$



Try all start positions and reasonable lengths Estimate these probabilities by (smoothed) counts from labeled training data.

If  $P(\text{"Wean Hall Rm 5409"} = \text{LOCATION})$  is above some threshold, extract it.

Other examples of sliding window: [Baluja et al 2000] (decision tree over individual words & their context)

## "Naïve Bayes" Sliding Window Results

Domain: CMU UseNet Seminar Announcements

GRAND CHALLENGES FOR MACHINE LEARNING

Jaime Carbonell  
School of Computer Science  
Carnegie Mellon University

3:30 pm  
7500 Wean Hall

Machine learning has evolved from obscurity in the 1970s into a vibrant and popular discipline in artificial intelligence during the 1980s and 1990s. As a result of its success and growth, machine learning is evolving into a collection of related disciplines: inductive concept acquisition, analytic learning in problem solving (e.g. analogy, explanation-based learning), learning theory (e.g. PAC learning), genetic algorithms, connectionist learning, hybrid systems, and so on.

Field	F1
Person Name:	30%
Location:	61%
Start Time:	98%

## Problems with Sliding Windows and Boundary Finders

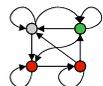
- Decisions in neighboring parts of the input are made independently from each other.
  - Naïve Bayes Sliding Window may predict a "seminar end time" before the "seminar start time".
  - It is possible for two overlapping windows to both be above threshold.
  - In a Boundary-Finding system, left boundaries are laid down independently from right boundaries, and their pairing happens as a separate step.

## Finite State Machines

## Hidden Markov Models

HMMs are the standard sequence modeling tool in genomics, music, speech, NLP, ...

Finite state model



Generates:



Parameters: for all states  $S = \{s_1, s_2, \dots\}$

Start state probabilities:  $P(s_1)$

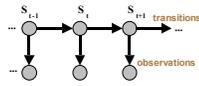
Transition probabilities:  $P(s_i | s_{i-1})$

Observation (emission) probabilities:  $P(o_i | s_i)$  Usually a multinomial over atomic, fixed alphabet

Training:

Maximize probability of training observations (w/ prior)

Graphical model



$$P(\bar{s}, \bar{o}) \propto \prod_{t=1}^{|\bar{o}|} P(s_t | s_{t-1}) P(o_t | s_t)$$

## IE with Hidden Markov Models

Given a sequence of observations:

Yesterday Lawrence Saul spoke this example sentence.

and a trained HMM:



Find the most likely state sequence: (Viterbi)



Any words said to be generated by the designated "person name" state extract as a person name:

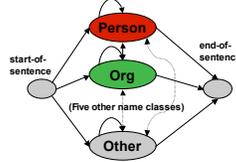
Person name: Lawrence Saul

## HMMs for IE: A richer model, with backoff

## HMM Example: "Nymble"

Task: Named Entity Extraction

[Bikel, et al 1998],  
[BBN "IdentiFinder"]



**Transition probabilities**

$$P(s_t | s_{t-1}, o_{t-1})$$

**Observation probabilities**

$$P(o_t | s_t, s_{t-1})$$

or  $P(o_t | s_t, o_{t-1})$

**Back-off to:**

$$P(s_t | s_{t-1})$$

**Back-off to:**

$$P(o_t | s_t)$$

Train on 450k words of news wire text.

$$P(s_t)$$

$$P(o_t)$$

Results:

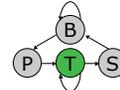
Case	Language	F1 <sub>o</sub>
Mixed	English	93%
Upper	English	91%
Mixed	Spanish	90%

Other examples of shrinkage for HMMs in IE: [Freitag and McCallum '99]

## HMMs for IE: Augmented finite-state structures with linear interpolation

## Simple HMM structure for IE

- 4 state types:
  - Background (generates words not of interest),
  - Target (generates words to be extracted),
  - Prefix (generates typical words preceding target)
  - Suffix (words typically following target)



- Properties:
  - Extracts one type of target (e.g. target = person name), we will build one model for each extracted type.
  - Models different Markov-order n-grams for different predicted state contexts.
  - even though there are multiple states for "Background", state-path given labels is unambiguous. Therefore model parameters can all be computed using counts from labeled training data

## More rich prefix and suffix structures

- In order to represent more context, add more state structure to prefix, target and suffix.
- But now overfitting becomes more of a problem.

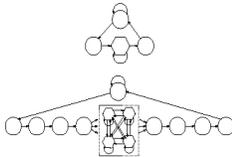
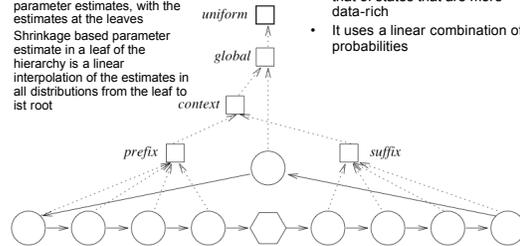


Figure 1: Two example HMM structures. Circle nodes represent non-target states; hexagon nodes represent target states.

## Linear interpolation across states

- Is defined in terms of some hierarchy that represents the expected similarity between parameter estimates, with the estimates at the leaves
- Shrinkage based parameter estimate in a leaf of the hierarchy is a linear interpolation of the estimates in all distributions from the leaf to its root
- Shrinkage smoothes the distribution of a state towards that of states that are more data-rich
- It uses a linear combination of probabilities



## Evaluation of linear interpolation

- Data set of seminar announcements.

	speaker	location	stime	etime
None	0.513	0.735	0.991	0.814
Uniform	0.614	0.776	0.991	0.933
Global	0.711	0.839	0.991	0.595
Hier.	0.672	0.850	0.987	0.584

Table 4: Effect on F1 performance of different shrinkage configurations on four seminar announcement fields, given a topology with a window size of four and four parallel length-differentiated target paths.

## IE with HMMs: Learning Finite State Structure

## Information Extraction from Research Papers

### References

Leslie Pack Kaelbling, Michael L. Littman and Andrew W. Moore. [Reinforcement Learning: A Survey](#). Journal of Artificial Intelligence Research, pages 237-285, May 1996.

### Headers

*Journal of Artificial Intelligence Research* 4 (1996) 237-285

Reinforcement Learning

### Reinforcement Learning: A Survey

[Leslie Pack Kaelbling](#) [lpk@cs.lanl.gov](mailto:lpk@cs.lanl.gov)  
[Michael L. Littman](#) [mlittman@cs.lanl.gov](mailto:mlittman@cs.lanl.gov)  
 Computer Science Department, Box 1910, Brown University  
 Providence, RI 02912-1910 USA  
[Andrew W. Moore](#) [awm@cs.cmu.edu](mailto:awm@cs.cmu.edu)  
 Smith Hall 211, Carnegie Mellon University, 6800 Forbes Avenue  
 Pittsburgh, PA 15213 USA

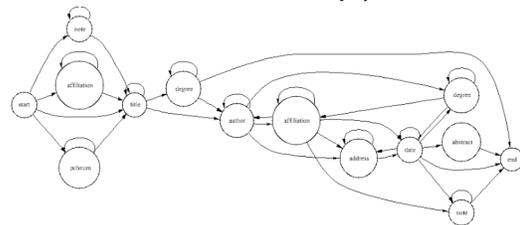
### Abstract

This paper surveys the field of reinforcement learning from a computer-science perspective. It is written to be accessible to researchers familiar with machine learning. Both the historical basis of the field and a broad selection of current work are summarized. Reinforcement learning is the problem faced by an agent that learns behavior through trial and error interactions with a dynamic environment. The work described here has a number of applications, but differs considerably in the details and in the use of the word "reinforcement." The paper discusses central issues of reinforcement learning, including trading off exploration and exploitation, extending the foundation of the field via Markov decision theory, learning from delayed reinforcement, constructing empirical models to accelerate learning, making use of generalization and hierarchy, and coping with hidden state. It concludes with a survey of some implemented systems and an assessment of the practical utility of current methods for reinforcement learning.

1. Introduction  
[Reinforcement learning dates back to the early days of cybernetics and work in statistics.](#)

## Information Extraction with HMMs

[Seymore & McCallum '99]



## Importance of HMM Topology

- Certain structures better capture the observed phenomena in the prefix, target and suffix sequences
- Building structures by hand does not scale to large corpora
- Human intuitions don't always correspond to structures that make the best use of HMM potential

## Structure Learning

Two approaches

- Bayesian Model Merging
  - Neighbor-Merging
  - V-Merging
- Stochastic Optimization
  - Hill Climbing in the possible structure space by splitting states and gauging performance on a validation set

### Bayesian Model Merging

- Maximally Specific Model
- Neighbor-merging
- V-merging

### Bayesian Model Merging

- Iterates merging states until an optimal tradeoff between fit to the data and model size has been reached

$$P(M | D) \sim P(D | M) P(M)$$

**M = Model**  
**D = Data**

$P(D | M)$  can be calculated with the Forward algorithm  
 $P(M)$  model prior can be formulated to reflect a preference for smaller models

### HMM Emissions

2 million words of BibTeX data from the Web

### HMM Information Extraction Results

Per-word error rate	Headers	References
One state/class Labeled data only	<b>0.095</b>	
Model Merging Labeled data only	<b>0.087 (8% better)</b>	
One state/class +BibTeX data	<b>0.076 (20% better)</b>	
Model Merging +BibTeX	<b>0.071 (25% better)</b>	<b>0.066</b>

### Stochastic Optimization

- Start with a simple model
- Perform hill-climbing in the space of possible structures
- Make several runs and take the average to avoid local optima

### State Operations

- Lengthen a prefix
- Split a prefix
- Lengthen a suffix
- Split a suffix
- Lengthen a target string
- Split a target string
- Add a background state

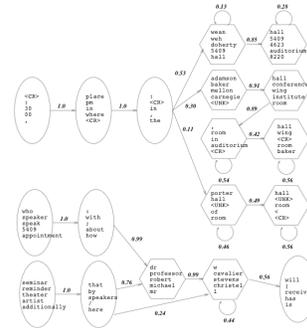
## LearnStructure Algorithm

```

procedure LearnStructure(LabeledSet, Ops)
  ValidSet ← 1/3 of LabeledSet
  TrainSet ← LabeledSet - ValidSet
  CurModel ← the simple model
  Keepers ← {CurModel}
   $\bar{f} \leftarrow 0$ 
  while  $\bar{f} < 20$  and CurModel has fewer than 25 states
    Candidates ←  $\{M | M \in \text{op}(\text{CurModel}) \wedge \text{op} \in \text{Ops}\}$ 
    for  $M \in \text{Candidates}$ 
      score( $M$ ) ← average of 3 runs trained on
        TrainSet and scored for F1 on ValidSet
    CurModel ←  $M \in \text{Candidates}$  with highest score
    Keepers ← Keepers  $\cup$  {CurModel}
     $\bar{f} \leftarrow \bar{f} + 1$ 
  for  $M \in \text{Keepers}$ 
    score( $M$ ) ← average F1 from
      3-fold cross-validation on LabeledSet
  return  $M \in \text{Keepers}$  with highest score
  
```

## Part of Example Learned Structure

Locations



Speakers

## Accuracy of Automatically-Learned Structures

	speaker	location	acquired	dramt	title	company	conf	deadline	Average
Grown HMM	76.9	87.5	41.3	54.4	58.3	65.4	27.2	46.5	57.2
vs. SRV	+19.8	+16.0	+1.1	-1.6	—	—	—	—	+8.8
vs. Rapier	+23.9	+14.8	+12.5	+15.1	-11.7	+24.9	—	—	+13.3
vs. Simple HMM	+24.3	+5.6	+14.3	+5.6	+5.7	+11.1	+13.7	+6.7	+11.1
vs. Complex HMM	-2.1	+6.7	+7.3	-0.3	-0.3	+19.1	+0.0	-6.8	+3.0

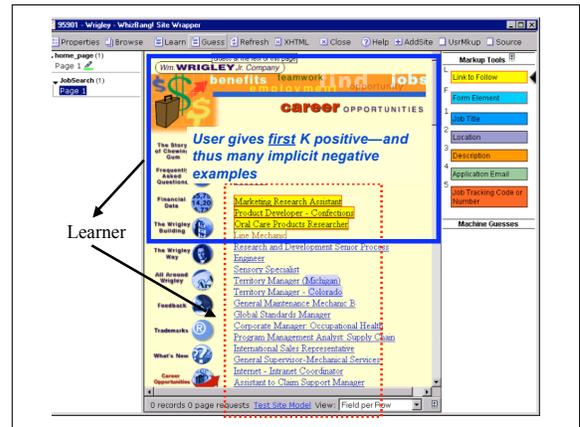
Table 2: Difference in F1 performance between the HMM using a learned structure and other methods. The + numbers indicate how much better our Grown HMM did than the alternative method.

## Limitations of HMM/CRF models

- HMM/CRF models have a **linear** structure
- Web documents have a **hierarchical** structure
  - Are we suffering by not modeling this structure more explicitly?
- How can one learn a **hierarchical** extraction model?
  - Coming up: STALKER, a hierarchical **wrapper-learner**
  - But first: how do we train wrapper-learners?

## Tree-based Models

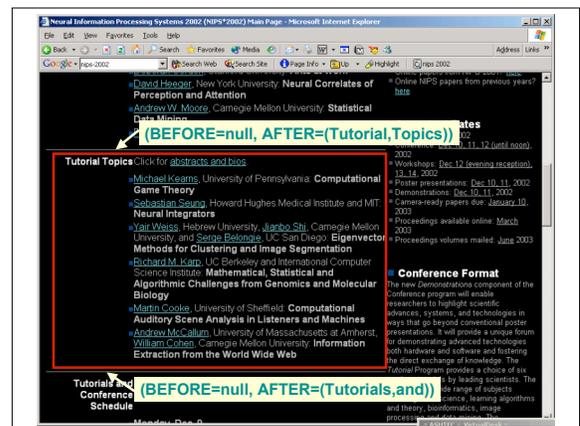
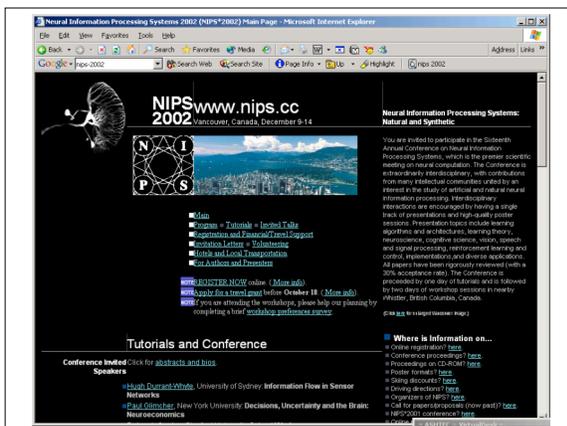
- Extracting from **one** web site
  - Use *site-specific* formatting information: e.g., "the JobTitle is a bold-faced paragraph in column 2"
  - For large well-structured sites, like parsing a **formal language**
- Extracting from **many** web sites:
  - Need general solutions to entity extraction, grouping into records, etc.
  - Primarily use *content* information
  - Must deal with a *wide range* of ways that users present data.
  - Analogous to parsing **natural language**
- Problems are **complementary**:
  - Site-dependent learning can **collect training data** for a site-independent learner
  - Site-dependent learning can **boost accuracy** of a site-independent learner on selected key sites

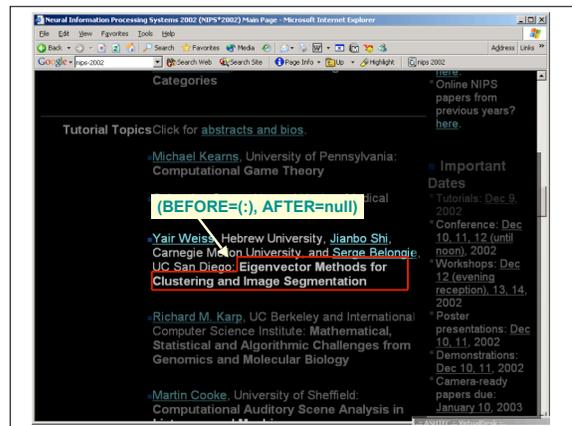
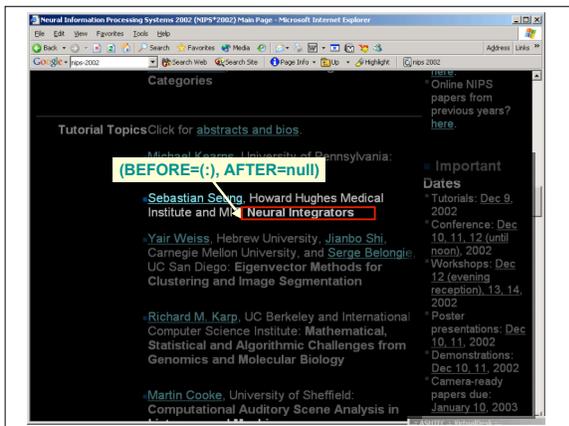
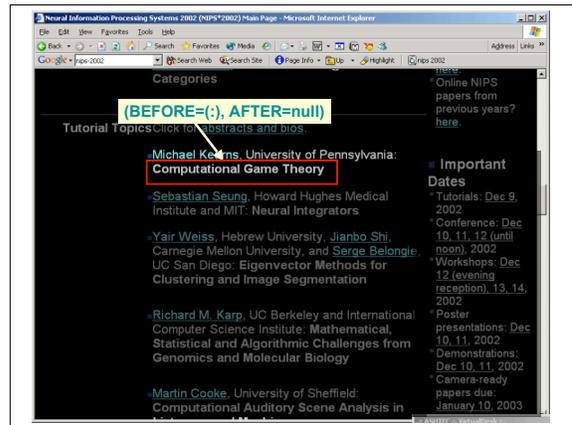
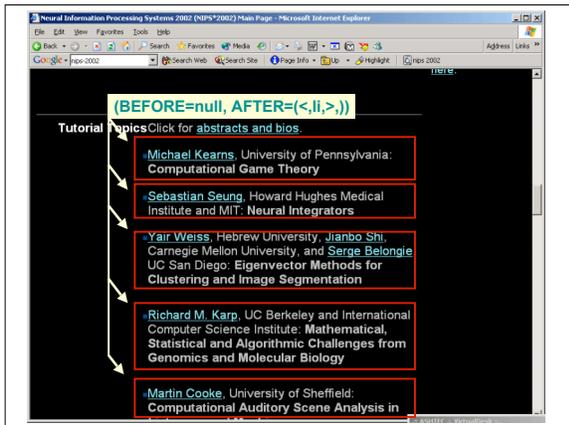


### STALKER: Hierarchical boundary finding

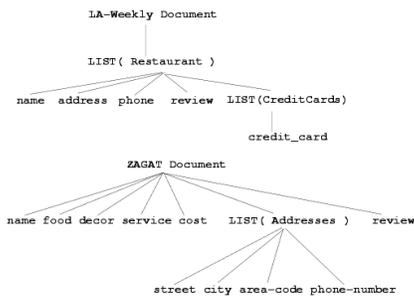
[Muslea, Minton & Knoblock 99]

- Main idea:
  - To train a hierarchical extractor, pose a **series** of learning problems, one for each node in the hierarchy
  - At each stage, extraction is simplified by knowing about the "context."





## Stalker: hierarchical decomposition of two web sites



## Stalker: summary and results

- Rule format:
  - “landmark automata” format for rules
  - E.g.: <a>W. Cohen</a> CMU: Web IE </li>
  - STALKER: BEGIN = SkipTo(<, /, a, >), SkipTo(:)
- Top-down rule learning algorithm
  - Carefully chosen ordering between types of rule specializations
- Very fast learning: e.g. 8 examples vs. 274
- **A lesson:** we often control the IE training data!

## Learning Formatting Patterns "On the Fly": "Scoped Learning"

[Bagnell, Blei, McCallum, 2002]

Formatting is regular on each site, but there are too many different sites to wrap. Can we get the best of both worlds?

## Scoped Learning Generative Model

- For each of the D documents:
  - Generate the multinomial formatting feature parameters  $f$  from  $p(f|a)$
- For each of the N words in the document:
  - Generate the  $n$ th category  $c_n$  from  $p(c_n)$ .
  - Generate the  $n$ th word (global feature) from  $p(w_n|c_n, \phi)$
  - Generate the  $n$ th formatting feature (local feature) from  $p(f_n|c_n, f)$

$$p(\phi, \mathbf{c}, \mathbf{w}, \mathbf{f}) = p_\alpha(\phi) \prod_{n=1}^N p(c_n) p_\theta(w_n|c_n) p(f_n|c_n, \phi)$$

## Inference

Given a new web page, we would like to classify each word resulting in  $\mathbf{c} = \{c_1, c_2, \dots, c_n\}$

$$p(\mathbf{c}|\mathbf{w}, \mathbf{f}) = \frac{\int \prod_{n=1}^N p(w_n|c_n) p(f_n|c_n, \phi) p(c_n) p(\phi) d\phi}{\int \prod_{n=1}^N \sum_{c_n} p(w_n|c_n) p(f_n|c_n, \phi) p(c_n) p(\phi) d\phi}$$

This is not feasible to compute because of the integral and sum in the denominator. We experimented with two approximations:

- MAP point estimate of  $\mathbf{f}$
- Variational inference

## MAP Point Estimate

If we approximate  $\mathbf{f}$  with a point estimate,  $\hat{\mathbf{f}}$ , then the integral disappears and  $\mathbf{c}$  decouples. We can then label each word with:

$$\hat{c}_n = \arg \max_{c_n} p(w_n|c_n) p(f_n|c_n, \hat{\mathbf{f}}) p(c_n)$$

A natural point estimate is the posterior mode: a maximum likelihood estimate for the local parameters given the document in question:

$$\hat{\phi} = \arg \max_{\phi} p(\phi|\mathbf{f}, \mathbf{w})$$

E-step:

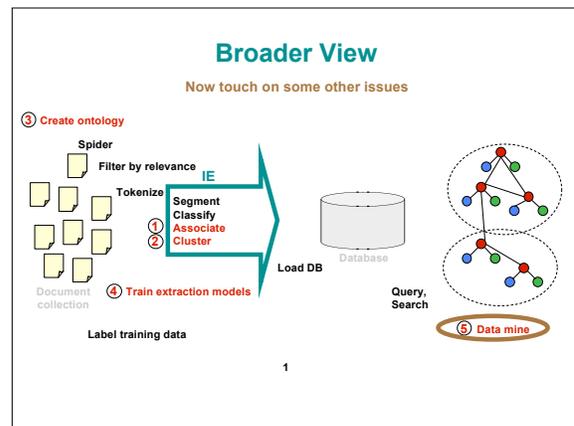
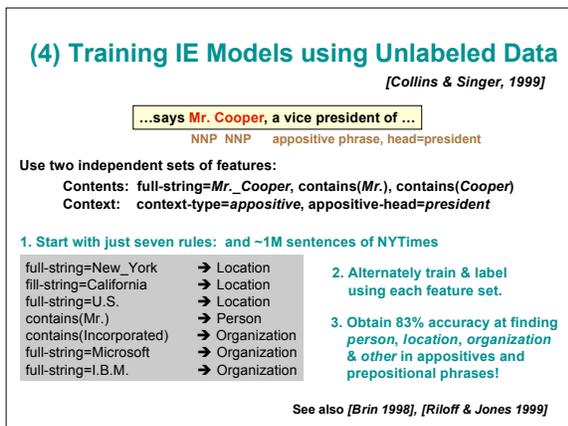
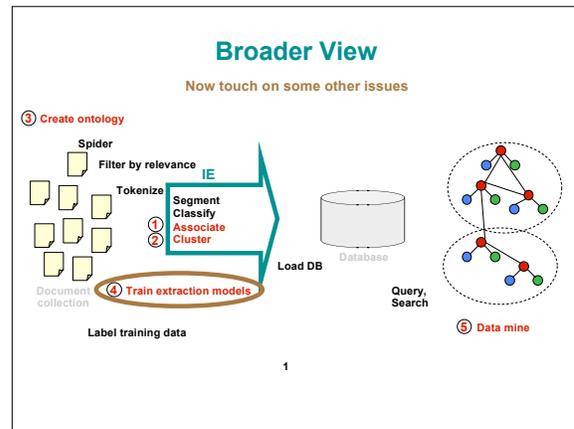
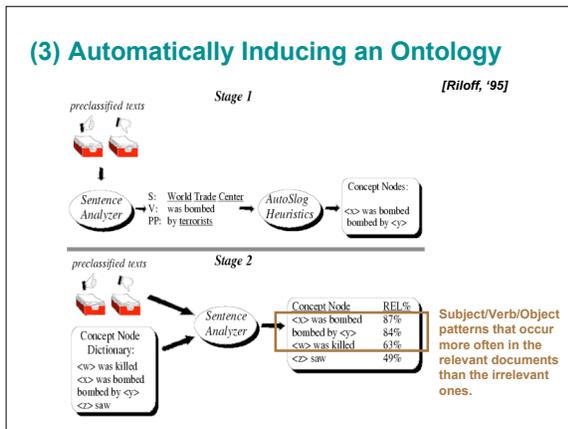
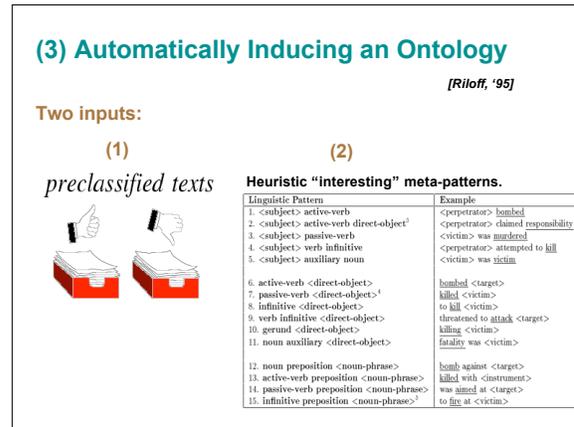
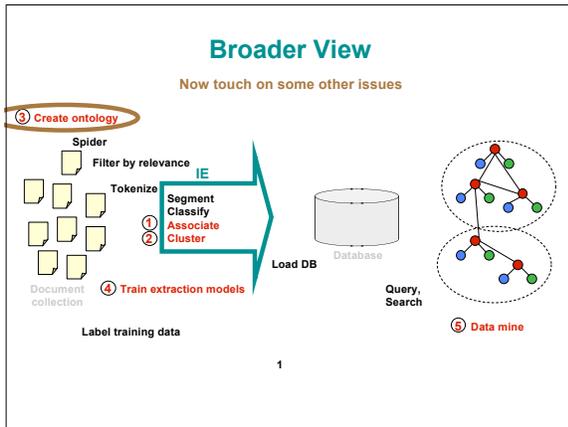
$$p^{(t+1)}(c_n|w_n, f_n; \phi) \propto p^{(t)}(f_n|c_n; \phi) p(w_n|c_n) p(c_n)$$

M-step:

$$\hat{\phi}_{c, f} = p^{(t+1)}(f|c; \phi) \propto \sum_{\{n: c_n=c, f_n=f\}} p^{(t)}(c_n|f_n, w_n)$$

**Global Extractor:** Precision = 46%, Recall = 75%

**Scoped Learning Extractor:** Precision = 58%, Recall = 75% DError = -22%



## (5) Data Mining: Working with IE Data

- Some special properties of IE data:
  - It is based on extracted text
  - It is "dirty", (missing extraneous facts, improperly normalized entity names, etc.
  - May need cleaning before use
- What operations can be done on dirty, unnormalized databases?
  - Query it directly with a language that has "soft joins" across similar, but not identical keys. [Cohen 1998]
  - Construct features for learners [Cohen 2000]
  - Infer a "best" underlying clean database [Cohen, Kautz, MacAllister, KDD2000]

## (5) Data Mining: Mutually supportive IE and Data Mining

[Nahm & Mooney, 2000]

**Extract a large database**  
**Learn rules to predict the value of each field from the other fields.**  
**Use these rules to increase the accuracy of IE.**

### Example DB record

#### Filled Job Template

title: Senior DBMS Consultant  
salary: Up to \$55K  
state: TX  
city: Dallas  
country: US  
language: Powerbuilder, Progress, C, C++, Visual Basic  
platform: UNIX, NT  
application: SQL Server, Oracle  
area: Electronic Commerce, Customer Service  
required years of experience: 3  
desired years of experience: 5  
required degree: BS

### Sample Learned Rules

platform:AJX & application:Sybase &  
application:DB2  
→ application:Lotus Notes

language:C++ & language:C &  
application:Corba &  
title=SoftwareEngineer  
→ platform:Windows

language:HTML & platform:WindowsNT &  
application:ActiveServerPages  
→ area:Database

Language:Java & area:ActiveX &  
area:Graphics  
→ area:Web