Time and Space functions:  $t, s : \mathbf{N} \to \mathbf{N}^+$ 

**Definition 5.1** A set  $A \subseteq U$  is in DTIME[t(n)] iff there exists a deterministic, multi-tape TM, M, and a constant c, such that,

- 1.  $A = \mathcal{L}(M) \equiv \{w \in U \mid M(w) = 1\}, \text{ and }$
- 2.  $\forall w \in U, M(w)$  halts within  $c \cdot t(|w|)$  steps.

**Definition 5.2** A set  $A \subseteq U$  is in DSPACE[s(n)] iff there exists a deterministic, multi-tape TM, M, and a constant c, such that,

- 1.  $A = \mathcal{L}(M)$ , and
- 2.  $\forall w \in U, M(w)$  uses at most  $c \cdot s(|w|)$  work-tape cells.

(Input tape is "read-only" and not counted as space used.)

**Example:** PALINDROMES  $\in$  DTIME[n], DSPACE[n]. In fact, PALINDROMES  $\in$  DSPACE[log n]. [Exercise] **Definition 5.3**  $f: U \to U$  is in F(DTIME[t(n)]) iff there exists a deterministic, multi-tape TM, M, and a constant c, such that,

- 1.  $f = M(\cdot);$
- 2.  $\forall w \in U, M(w)$  halts within  $c \cdot t(|w|)$  steps;
- 3.  $|f(w)| \leq |w|^{O(1)}$ , i.e., f is polynomially bounded.

**Definition 5.4**  $f: U \to U$  is in F(DSPACE[s(n)]) iff there exists a deterministic, multi-tape TM, M, and a constant c, such that,

- 1.  $f = M(\cdot);$
- 2.  $\forall w \in U, M(w)$  uses at most  $c \cdot s(|w|)$  work-tape cells;
- 3.  $|f(w)| \leq |w|^{O(1)}$ , i.e., f is polynomially bounded.

(Input tape is "read-only"; Output tape is "write-only". Neither is counted as space used.)  $\Box$ 

**Example:** Plus  $\in F(DTIME[n])$ , Mult  $\in F(DTIME[n^2])$ 

L  $\equiv$  DSPACE[log n]

$$P \equiv DTIME[n^{O(1)}] \equiv \bigcup_{i=1}^{\infty} DTIME[n^{i}]$$
$$PSPACE \equiv DSPACE[n^{O(1)}] \equiv \bigcup_{i=1}^{\infty} DSPACE[n^{i}]$$

These classes will become your good friends soon.

**Theorem 5.5** For any functions  $t(n) \ge n$ ,  $s(n) \ge \log n$ , we have

$$DTIME[t(n)] \subseteq DSPACE[t(n)]$$
$$DSPACE[s(n)] \subseteq DTIME[2^{O(s(n))}]$$

**Proof:** Let M be a DSPACE[s(n)] TM, let  $w \in \Sigma_0^{\star}$ , let n = |w|

M(w) has k tapes and uses at most cs(n) work-tape cells.

M(w) has at most  $2^{k's(n)}$  possible configurations:

$$\begin{split} |Q| & \cdot & (n+cs(n)+2)^k & \cdot & |\Sigma|^{cs(n)} & < 2^{k's(n)} \\ \text{\# of states} & \cdot & \text{\# of head positions} & \cdot & \text{\# of tape contents} \end{split}$$

Thus, after  $2^{k's(n)}$  steps, M(w) must be in an infinite loop.

**Corollary 5.6**  $L \subseteq P \subseteq PSPACE$ 



Using  $O(\log n)$  workspace, we can keep track of and manipulate two pointers into the input.

Lecture 5

## RAM = Random Access Machine

Memory:	$\kappa$	$r_0$	$r_1$	$r_2$	$r_3$	$r_4$	•••	$r_i$	•••
---------	----------	-------	-------	-------	-------	-------	-----	-------	-----

 $\kappa = program counter; \quad r_0 = accumulator$ 

Instruction	Operand	Semantics
READ	$ j  \uparrow j  = j$	$r_0 := (r_j \mid r_{r_j} \mid j)$
STORE	$j \mid \uparrow j$	$(r_j \mid r_{r_j}) := r_0$
ADD	$j \mid \uparrow j \mid = j$	$r_0 := r_0 + (r_j \mid r_{r_j} \mid j)$
SUB	$j \mid \uparrow j \mid = j$	$r_0 := r_0 - (r_j \mid r_{r_j} \mid j)$
HALF		$r_0 := \lfloor r_0/2 \rfloor$
JUMP	j	$\kappa := j$
JPOS	j	if $(r_0 > 0)$ then $\kappa := j$
JZERO	j	if $(r_0 = 0)$ then $\kappa := j$
HALT		$\kappa := 0$

**Theorem 5.7** DTIME $[t(n)] \subseteq \text{RAM-TIME}[t(n)] \subseteq \text{DTIME}[(t(n))^3]$ 

**Proof:** Memorize program in finite control. Store all registers on one tape:



Store workspace for calculations on second tape:

$\triangleright$	1	0	0	,	1	0	1	1	
		$\kappa'$					Α		

Use the third tape for copying and pasting sections of the first tape.

$\triangleright$	0	:	1	0	1	,	1	0	1	:	0	,	1	0	1	1	:	1	0	
$r_0$ $r_5$						5	$r_{11}$													

Each register contains at most n + t(n) bits.

 $[O(\log n)$  would be more realistic.]

The total number of tape cells used is at most  $2t(n)(n + t(n)) = O((t(n))^2)$ .

Each step takes at most  $O((t(n))^2)$  steps to simulate.

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Nondeterministic Turing Machines choose one of two possible moves each step.

guess.tm	s	g	q
0			
1			
	$g,\sqcup,-\mid q,\sqcup,-$	$s,0,\rightarrow ~ ~s,1,\rightarrow$	
$\triangleright$	$s, \triangleright, \rightarrow$		
comment	g  or  q	guess 0 or 1	the rest

## Nondeterministic Guess Machine is a typical example:

- Write down an arbitrary string,  $g \in \{0, 1\}^*$ : the guess.
- Proceed with the rest of the computation, using g if desired.
- Accept iff there exists some guess that leads to acceptance.

s	$\triangleright$						
s	$\triangleright$						
g	$\triangleright$						
s	$\triangleright$	0 🗆					
g	$\triangleright$	0 🗆					
s	$\triangleright$	0 1	$\Box$				
g	$\triangleright$	0 1	$\Box$				
s	$\triangleright$	0 1	1	$\Box$	$\prod$		
g	$\triangleright$	0 1	1	$\Box$			
:	÷	÷	:				
s	$\triangleright$	0 1	1	0		•••	1 🗆
q	$\triangleright$	0 1	1	0		•••	1 🗆

guess.tm	s	g	q
0			
1			
	$g,\sqcup,-\mid q,\sqcup,-$	$s,0,\rightarrow ~ ~s,1,\rightarrow$	
$\triangleright$	$s, \triangleright, \rightarrow$		
comment	g  or  q	guess 0 or 1	the rest

**Definition 5.8** The **set** accepted by a NTM, *N*:

 $\mathcal{L}(N) \equiv \{w \in U \mid \text{some run of } N(w) \text{ halts with output "1"} \}$ 

The time taken by N on  $w \in \mathcal{L}(N)$  is the number of steps in the shortest computation of N(w) that accepts.

Unfortunately, this is a mathematical fiction.

As far as we know, you can't **really** build a nondeterministic Turing Machine.



NTIME $[t(n)] \equiv$  problems accepted by NTMs in time O(t(n))

NP 
$$\equiv$$
 NTIME $[n^{O(1)}] \equiv \bigcup_{i=1}^{\infty} \text{NTIME}[n^i]$ 

**Theorem 5.9** For any function t(n),

 $DTIME[t(n)] \subseteq NTIME[t(n)] \subseteq DSPACE[t(n)] \subseteq DTIME[2^{O(t(n))}]$ 

**Corollary 5.10**  $L \subseteq P \subseteq NP \subseteq PSPACE$ 

**Corollary 5.11** *The definition of* **Recursive** *and* **r.e.** *are unchanged if we use nondeterministic instead of deterministic Turing machines.* 

NSPACE[s(n)] is the set of problems accepted by NTMs using at most O(s(n)) space on each branch. [Can run in time  $t(n) \leq 2^{O(s(n))}$ .]



**Definition 5.12** REACH =  $\{G \mid s \stackrel{\star}{\rightarrow} t\}$ 



**Prop:** REACH  $\in$  NL = NSPACE[log n]

- 1. b := s
- 2. for c := 1 to n = |V| do {a3. if b = t then accepta4. a := bb5. choose new bb6. if  $(\neg E(a, b))$  then reject }accept!
- 7. reject

**Def:** Problem T is **complete** for complexity class **C** iff

- 1.  $T \in \mathbf{C}$ , and
- 2.  $\forall A \in \mathbf{C} (A \leq T)$

Reductions now must be in F(L).

