

# COMPSCI 690RA: Problem Set 4

**Due: Tuesday, 5/3 by 8pm in Gradescope.**

## **Instructions:**

- You are allowed to, and highly encouraged to, work on this problem set in a group of up to three members.
- Each group should **submit a single solution set**: one member should upload a pdf to Gradescope, marking the other members as part of their group in Gradescope.
- You may talk to members of other groups at a high level about the problems but **not work through the solutions in detail together**.
- You must show your work/derive any answers as part of the solutions to receive full credit.

## **1. Randomized Triangle Coloring (6 points)**

A graph is  $k$ -colorable if there is an assignment of each node to one of  $k$  colors such that no two nodes with the same color are connected by an edge.

1. (2 points) Show that if a graph is 3-colorable then there is a coloring of the graph using just 2 colors such that no triangle is monochromatic. I.e., for any three nodes  $u, v, w$  such that  $(u, v), (v, w),$  and  $(u, w)$  are all edges, we do not have  $u, v, w$  all assigned to the same color.
2. (4 points) Consider the following algorithm for coloring a 3-colorable graph with 2 colors so that no triangle is monochromatic. Start with an arbitrary 2-coloring (some edges may be monochromatic, so it's not necessarily a valid coloring). While there are any monochromatic triangles, pick one arbitrarily and change the color of a randomly chosen vertex in that triangle. Give an upper bound on the expected number of steps of this process before a valid 2-coloring with all non-monochromatic triangles is found.

**Hint:** Shoot for a polynomial, not an exponential number of steps here. Use the fact that part (1) actually implies the existence of *many* 2-colorings with non-monochromatic triangles.

## **2. Move to Top Shuffling (8 points)**

Consider shuffling a deck of  $n$  unique cards by randomly picking a card and moving it to the top of the deck. Observe that with probability  $1/n$ , the top card is picked and so the order does not change from one step to the next.

1. (2 points) Prove that this Markov chain is irreducible and aperiodic.
2. (2 points) Prove that the chain converges to the the uniform distribution over all  $n!$  possible permutations of the cards.

3. (2 points) In class, we argued that after  $t = n \log(n/\epsilon)$  steps, the distribution of states  $q^t$  in this Markov chain satisfies  $\|q^t - \pi\|_{TV} \leq \epsilon$ . Say you are a casino, and you offer a game of pure chance where the customer must wager \$1. The game uses the shuffled deck of cards to determine a pay out somewhere between \$0 and \$1000. You have calculated that, when the deck is ordered according to a uniform random permutation (i.e., according to  $\pi$ ), your expected winnings per game are \$0.1. How small must you set  $\epsilon$  to ensure that your expected winnings are at least \$.09?
4. (2 points) Argue that our mixing time bound is essentially tight. In particular, show that if we run the Markov chain for  $t \leq cn \log n$  steps for small enough constant  $c$ , then  $\|q^t - \pi\|_{TV} \geq 99/100$ . I.e., we are very far from a uniformly random permutation.

**Hint:** Start by arguing that if  $t \leq cn \log n$  for small enough  $c$ , with high probability there are  $\sqrt{n}$  cards which are never swapped in the shuffle. Use the coupon collector analysis from Lecture 2. Then consider the probability that we have  $\sqrt{n}$  consecutive cards in order after a uniform random shuffle, vs. after this shuffle starting from an ordered deck.

### 3. Random Walks and Leverage Scores (8 points)

Consider a random walk on a connected, undirected graph. Let  $h_{u,v}$  be the expected number of steps required to reach node  $v$  when starting from node  $u$ . Define  $h_{u,u} = 0$  for all  $u$ . We will prove that the effective resistance of edge  $u, v$ ,  $\tau_{u,v} = b_{u,v}^T L^+ b_{u,v}$  is exactly  $\tau_{u,v} = \frac{h_{u,v} + h_{v,u}}{2m}$ , where  $m$  is the number of edges in the graph.

1. (2 points) Let  $\mathcal{N}(u)$  be the neighborhood of node  $u$  in the graph, and  $d_u = |\mathcal{N}(u)|$  be the degree. Fix some node  $v$  and argue that for any  $u \neq v$ ,

$$h_{u,v} = 1 + \frac{1}{d_u} \cdot \sum_{w \in \mathcal{N}(u)} h_{w,v}.$$

This gives  $n - 1$  linear equations (one for each  $u \neq v$ ) that the  $h_{u,v}$  values must satisfy. Argue that the values of  $h_{u,v}$  are the unique solutions to this set of linear equations.

2. (2 points) View the graph as a resistor network with unit resistance on each edge. For any vertex  $v$ , consider an electrical flow in which  $d_u$  units of current are introduced at each vertex  $u$ , and all  $\sum_{u \in V} d_u = 2m$  units of current are removed at vertex  $v$ . I.e., letting  $B \in \mathbb{R}^{m \times n}$  be the vertex-edge incidence matrix and  $f^e \in \mathbb{R}^m$  be the flow, we have  $B^T f^e = \chi_v$  where  $\chi_v(v) = d_v - 2m$  and  $\chi_v(u) = d_u$  for  $u \neq v$ . Prove that in this flow, letting  $\phi(u)$  be the voltage of vertex  $u$ ,

$$d_u = d_u \cdot [\phi(u) - \phi(v)] - \sum_{w \in \mathcal{N}(u)} [\phi(w) - \phi(v)].$$

3. (2 points) Use the above to conclude that  $h_{u,v} = \phi(u) - \phi(v)$ , where  $\phi(\cdot)$  is the voltage function as in part (2) for the electrical flow  $f^e$  with  $B^T f^e = \chi_v$ .
4. (2 points) Complete the proof, showing that  $\tau_{u,v} = \frac{h_{u,v} + h_{v,u}}{2m}$ . **Hint:** Given two electrical flows  $f_1 = B^T x_1$  and  $f_2 = B^T x_2$ , what is the electrical flow with  $f = B^T(x_1 - x_2)$ ?