# CMPSCI 250: Introduction to Computation

Lecture #5: Strategies for Propositional Proofs David Mix Barrington 31 January 2014

# Strategies for PropCalc Proofs

- The Forward-Backward Method
- Transforming the Proof Goal
- Contrapositives and Indirect Proof
- Proof By Contradiction
- Hypothetical Syllogism: Two Proofs in Series
- Proof By Cases:Two Proofs in Parallel
- An Example: Exercises 1.8.3 and 1.8.4

# Some Implication Rules

- The two **Joining Rules** give us x v y and y v x from x.
- The two **Separation Rules** give us either x or y from x ∧ y.
- We can derive x → y from either ¬x
   (Vacuous Proof) or y (Trivial Proof).
- From  $\neg x \rightarrow 0$  we can derive x by **Contradiction**.

# More Implication Rules

- From  $x \to y$  and  $y \to z$  we can derive  $x \to z$  by **Hypothetical Syllogism**.
- From  $(x \land y) \rightarrow z$  and  $(x \land \neg y) \rightarrow z$  we can derive  $x \rightarrow z$  by **Proof By Cases**.
- Of course all these rules may be verified by truth tables.

# The Setting for PropCalc Proofs

- In an equational sequence or a deductive sequence proof, we begin with one compound proposition, our premise, and we want to get to another, our conclusion, by applying rules.
- We are in effect searching through a path in a particular space, where the points are compound propositions and the moves are those authorized by the rules.

#### The Forward-Backward Method

- The **forward-backward method** (first named, AFAIK, by Daniel Solow in his *How to Read and Do Proofs*) is a way of organizing this search.
- Given a search from P to C, we can look for a forward move, which is some compound proposition P' where we can move from P to P'.
- This reduces our search problem to finding a way from P' to C.

#### The Forward-Backward Method

- A **backward move** is some C' such that we can move from C' to C. This reduces our search to getting from P to C'.
- If a forward or backward move is well chosen, it gets us to an easier search. If it is not, it gets us to a harder search. How to tell? In general there is no firm guideline, but we'd like to make the ends of the new search more similar to one another.

# Transforming the Proof Goal

- Some of the rules we listed last time help us transform a proof goal in other ways. Again suppose we are trying to get from P to C.
   Suppose we are able to prove C without using the assumption P at all.
- In this case P → C is true -- the tautology C
   → (P → C) is called the rule of **trivial proof**. This does actually happen -- our breakdowns of proofs sometimes leaves very easy pieces.

#### More Transformations

- Similarly we may be able to prove ¬P, and since ¬P → (P → C) is a tautology, called the rule of vacuous proof, this is good enough to prove P → C. For example, we can prove "If this animal is a unicorn, it is green" in this way.
- An equivalence P ↔ C is often proved by two
  deductive sequence proofs rather than a single
  equational sequence proof. The equivalence
  and implication rule says that (P ↔ C) ↔

 $((P \rightarrow C) \land (C \rightarrow P))$ . This allows us to prove an "if and only if" by "proving both directions".

#### Indirect Proof

- Assuming P and using it to prove C is called a
   direct proof of P → C. Sometimes we
   may find it easier to work with the terms of
   C than those of P. If we assume ¬C and use it
   to prove ¬P, we have made a direct proof of
   the implication ¬C → ¬P.
- But this implication, called the
   contrapositive of the original P → C, is
   equivalent to the original. So proving ¬P
   from ¬C is sufficient to prove P → C, and
   this is called an indirect proof.

## Clicker Question #1

- How would you carry out an *indirect proof* of the implication "If you don't eat your meat, you can't have any pudding"?
- (a) Assume you don't eat your meat, prove you can't have pudding.
- (b) Assume you eat meat, prove you can have pudding.
- (c) Assume you have pudding, prove you eat meat.
- (d) Assume you can't have pudding, prove you don't eat meat.

### Answer #1

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#### **Bad Indirect Proofs**

- Be careful to use the contrapositive rather than other, related implications that are not equivalent to  $P \rightarrow C$ .
- Simply reversing the arrow gets you C → P, the converse of P → C, which may well be true when P → C is false, or vice versa.
- Simply taking the negation of both sides gives you ¬P → ¬C, the **inverse** of P → C, which is not equivalent to P → C either. (In fact the converse is the contrapositive of the inverse and vice versa, so they are equivalent to each other.)

# **Proof By Contradiction**

- In Discussion #I we saw an example of proof by contradiction, when we assumed that some natural number was neither even nor odd.
- We wound up using this assumption to prove that there was a "neither number" that was smaller than the smallest "neither number", which is impossible.

# **Proof By Contradiction**

- The negation of the implication P → C is P ∧
   ¬C, because the only way the implication can
   be false is if the premise is true and the
   conclusion false.
- If we can assume P ∧ ¬C and prove 0, the always false proposition, we have made a direct proof of the implication (P ∧ ¬C) → 0, and one of our rules says that (P → C) ↔ ((P ∧ ¬C) → 0) is a tautology.

## **Proof By Contradiction**

- The reason we might want to do this is that the more assumptions we have, the more possible steps we have available. Trying proof by contradiction is often a good way to get started.
- But it's important to keep track of what the assumption was, so we know exactly what we are proving to be false. And of course any error in a proof can cause a contradiction.

## Clicker Question #2

- Consider the following argument: "If there is any natural that is neither even nor odd, then there is a least such number x. Because 0 is even, x ≠ 0. So x has a predecessor y that is either even or odd. But if y is odd then x is even, and if y is even then x is odd." What do we conclude from this argument?
- (a) No natural is neither even nor odd
- (b) y cannot be either even or odd
- (c) x must be both even and odd
- (d) Every number is both even and odd

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# Hypothetical Syllogism

- Our use of an arrow for implication certainly suggests that implication is **transitive**. This means that if we can get from P to Q and we can get from Q to C, then we can get from P to C.
- And in fact ((P → Q) ∧ (Q → C)) → (P →
   C) is a tautology, called the rule of
   Hypothetical Syllogism.

## Hypothetical Syllogism

- This means that we can pick an intermediate goal for our proof -- if we pick a useful Q, it may be easier to figure out how to get from P to Q and how to get from Q to C than to figure out how to get from P to C all at once.
- But a bad choice of intermediate goal could make things worse -- the two subgoals might be harder to find or even impossible. The rule of hypothetical syllogism is an implication, not an equivalence. It is possible for P → C to be true and for one or both of P → Q or Q → C to be false.

# **Proof By Cases**

- Another way to break up a proof problem into smaller problems is case analysis. If R is any proposition at all, and P → C is true, then the two implications (P ∧ R) → C and (P ∧ ¬R) → C are both true.
- Furthermore, if we can prove both of these propositions, the **Proof by Cases** rule tells us that  $(((P \land R) \rightarrow C) \land ((P \land \neg R) \rightarrow C)) \rightarrow (P \rightarrow C)$  is a tautology.

# **Proof By Cases**

- The way this works in practice is that you just say "assume R" in the middle of your proof, and carry on to get C. But now you have assumed P  $\land$  R rather than just P, so you have proved only  $(P \land R) \rightarrow C$ . You need to start over and this time "assume  $\neg R$ ", completing a separate proof of  $(P \land \neg R) \rightarrow C$ .
- You can break cases into subcases, and subsubcases, and so on. Of course the ultimate case breakdown is into 2<sup>k</sup> subcases, one for each setting of the k atomic variables. This is just a truth table proof!

## Clicker Question #3

- I'm trying to prove P → C. I assume Q ∧ R, and prove (P ∧ Q ∧ R) → C. Then I start over with ¬Q ∨ ¬R, proving (P ∧ (¬Q ∨ ¬R)) → C. What do I still need to prove to reach my goal of P → C?
- (a) There is nothing left to prove, I am done.
- (b)  $(P \land \neg Q \land \neg R) \rightarrow C$
- (c)  $(\neg Q \land \neg R) \rightarrow C$
- (d) ¬C → ¬P

### Answer #3

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- (c)  $(\neg Q \land \neg R) \rightarrow C$
- (d) ¬C → ¬P

# An Example: Exercises 1.8.3-4

- Let P be the compound proposition p ∧ q and let C be p ∨ q. Of course we could verify (p ∧ q) → (p ∨ q) by truth tables, but let's look at how to approach the problem using our various strategies.
- Neither trivial nor vacuous proof will work.
  Let's try Hypothetical Syllogism. If we pick p
  as our intermediate goal, we can get from p ∧
  q to p by Left Separation, and from p to p ∨ q
  by Right Joining.

# **Example: Proof By Cases**

- Let's try Proof by Cases, with p as the intermediate proposition. If we assume that p is true, we can prove p ∨ q by Right Joining, and this gives us a trivial proof of the original implication.
- On the other hand, if we assume that p is false, then its easy to show that p ∧ q is false, giving us a vacuous proof of the original.

## Example: Proof by Contradiction

- Using Proof by Contradiction, we assume both  $p \land q$  and  $\neg(p \lor q)$ . The second assumption turns to  $\neg p \land \neg q$  by DeMorgan.
- Once we have "p  $\land$  q  $\land$  ¬p  $\land$  ¬q", it's pretty straightforward to get 0. We use associativity and commutativity to get (p  $\land$  ¬p)  $\land$  q  $\land$  ¬q. We have p  $\land$  ¬p  $\leftrightarrow$  0 by Excluded Middle, and our 0 rules say that 0  $\land$  x  $\leftrightarrow$  0 for any x.