## CMPSCI 250: Introduction to Computation

Lecture \#5: Strategies for Propositional Proofs David Mix Barrington
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## Strategies for PropCalc Proofs

- The Forward-Backward Method
- Transforming the Proof Goal
- Contrapositives and Indirect Proof
- Proof By Contradiction
- Hypothetical Syllogism:Two Proofs in Series
- Proof By Cases:Two Proofs in Parallel
- An Example: Exercises I.8.3 and I.8.4


## Some Implication Rules

- The two Joining Rules give us $x \vee y$ and $y$ $\vee \mathrm{x}$ from x .
- The two Separation Rules give us either $x$ or $y$ from $x \wedge y$.
- We can derive $x \rightarrow y$ from either $\neg x$ (Vacuous Proof) or y (Trivial Proof).
- From $\neg x \rightarrow 0$ we can derive $x$ by Contradiction.


## More Implication Rules

- From $x \rightarrow y$ and $y \rightarrow z$ we can derive $x \rightarrow z$ by Hypothetical Syllogism.
- From $(x \wedge y) \rightarrow z$ and $(x \wedge \neg y) \rightarrow z$ we can derive $x \rightarrow z$ by Proof By Cases.
- Of course all these rules may be verified by truth tables.


## The Setting for PropCalc Proofs

- In an equational sequence or a deductive sequence proof, we begin with one compound proposition, our premise, and we want to get to another, our conclusion, by applying rules.
- We are in effect searching through a path in a particular space, where the points are compound propositions and the moves are those authorized by the rules.


## The Forward-Backward Method

- The forward-backward method (first named, AFAIK, by Daniel Solow in his How to Read and Do Proofs) is a way of organizing this search.
- Given a search from $P$ to $C$, we can look for a forward move, which is some compound proposition P' where we can move from $P$ to P'.
- This reduces our search problem to finding a way from $P$ ' to $C$.


## The Forward-Backward Method

- A backward move is some C' such that we can move from C' to $C$. This reduces our search to getting from $P$ to $C^{\prime}$.
- If a forward or backward move is well chosen, it gets us to an easier search. If it is not, it gets us to a harder search. How to tell? In general there is no firm guideline, but we'd like to make the ends of the new search more similar to one another.


## Transforming the Proof Goal

- Some of the rules we listed last time help us transform a proof goal in other ways. Again suppose we are trying to get from $P$ to $C$. Suppose we are able to prove C without using the assumption P at all.
- In this case $P \rightarrow C$ is true -- the tautology $C$ $\rightarrow(P \rightarrow C)$ is called the rule of trivial proof. This does actually happen -- our breakdowns of proofs sometimes leaves very easy pieces.


## More Transformations

- Similarly we may be able to prove $\neg P$, and since $\neg P \rightarrow(P \rightarrow C)$ is a tautology, called the rule of vacuous proof, this is good enough to prove $P$
$\rightarrow C$. For example, we can prove "If this animal is a unicorn, it is green" in this way.
- An equivalence $P \leftrightarrow C$ is often proved by two deductive sequence proofs rather than a single equational sequence proof. The equivalence and implication rule says that $(P \leftrightarrow C) \leftrightarrow$ $((P \rightarrow C) \wedge(C \rightarrow P))$. This allows us to prove an "if and only if" by "proving both directions".


## Indirect Proof

- Assuming $P$ and using it to prove $C$ is called a direct proof of $P \rightarrow C$. Sometimes we may find it easier to work with the terms of $C$ than those of $P$. If we assume $\neg C$ and use it to prove $\neg \mathrm{P}$, we have made a direct proof of the implication $\neg \mathrm{C} \rightarrow \neg \mathrm{P}$.
- But this implication, called the contrapositive of the original $P \rightarrow C$, is equivalent to the original. So proving $\neg P$ from $\neg C$ is sufficient to prove $P \rightarrow C$, and this is called an indirect proof.


## Clicker Question \#I

- How would you carry out an indirect proof of the implication "If you don't eat your meat, you can't have any pudding"?
- (a) Assume you don't eat your meat, prove you can't have pudding.
- (b) Assume you eat meat, prove you can have pudding.
- (c) Assume you have pudding, prove you eat meat.
- (d) Assume you can't have pudding, prove you don't eat meat.


## Answer \#I

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## Bad Indirect Proofs

- Be careful to use the contrapositive rather than other, related implications that are not equivalent to $\mathrm{P} \rightarrow \mathrm{C}$.
- Simply reversing the arrow gets you $C \rightarrow P$, the converse of $P \rightarrow C$, which may well be true when $P \rightarrow C$ is false, or vice versa.
- Simply taking the negation of both sides gives you $\neg P \rightarrow \neg C$, the inverse of $P \rightarrow C$, which is not equivalent to $P \rightarrow C$ either. (In fact the converse is the contrapositive of the inverse and vice versa, so they are equivalent to each other.)


## Proof By Contradiction

- In Discussion \#I we saw an example of proof by contradiction, when we assumed that some natural number was neither even nor odd.
- We wound up using this assumption to prove that there was a "neither number" that was smaller than the smallest "neither number", which is impossible.


## Proof By Contradiction

- The negation of the implication $P \rightarrow C$ is $P \wedge$ $\neg C$, because the only way the implication can be false is if the premise is true and the conclusion false.
- If we can assume $P \wedge \neg C$ and prove 0 , the always false proposition, we have made a direct proof of the implication $(P \wedge \neg C) \rightarrow 0$, and one of our rules says that $(P \rightarrow C) \leftrightarrow((P$ $\wedge \neg C) \rightarrow 0$ ) is a tautology.


## Proof By Contradiction

- The reason we might want to do this is that the more assumptions we have, the more possible steps we have available. Trying proof by contradiction is often a good way to get started.
- But it's important to keep track of what the assumption was, so we know exactly what we are proving to be false. And of course any error in a proof can cause a contradiction.


## Clicker Question \#2

- Consider the following argument: "If there is any natural that is neither even nor odd, then there is a least such number $x$. Because 0 is even, $x \neq 0$. So $x$ has a predecessor $y$ that is either even or odd. But if $y$ is odd then $x$ is even, and if $y$ is even then $x$ is odd." What do we conclude from this argument?
- (a) No natural is neither even nor odd
- (b) y cannot be either even or odd
- (c) $x$ must be both even and odd
- (d) Every number is both even and odd


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## Hypothetical Syllogism

- Our use of an arrow for implication certainly suggests that implication is transitive. This means that if we can get from $P$ to $Q$ and we can get from $Q$ to $C$, then we can get from $P$ to C .
- And in fact $((\mathrm{P} \rightarrow \mathrm{Q}) \wedge(\mathrm{Q} \rightarrow \mathrm{C})) \rightarrow(\mathrm{P} \rightarrow$ C) is a tautology, called the rule of Hypothetical Syllogism.


## Hypothetical Syllogism

- This means that we can pick an intermediate goal for our proof -- if we pick a useful $Q$, it may be easier to figure out how to get from $P$ to $Q$ and how to get from $Q$ to $C$ than to figure out how to get from $P$ to $C$ all at once.
- But a bad choice of intermediate goal could make things worse -- the two subgoals might be harder to find or even impossible. The rule of hypothetical syllogism is an implication, not an equivalence. It is possible for $P \rightarrow C$ to be true and for one or both of $P \rightarrow Q$ or $Q \rightarrow C$ to be false.


## Proof By Cases

- Another way to break up a proof problem into smaller problems is case analysis. If $R$ is any proposition at all, and $P \rightarrow C$ is true, then the two implications $(P \wedge R) \rightarrow C$ and $(P$ $\wedge \neg R) \rightarrow C$ are both true.
- Furthermore, if we can prove both of these propositions, the Proof by Cases rule tells us that $\left(\left(\left(P^{\wedge} R\right) \rightarrow C\right) \wedge((P \wedge \neg R) \rightarrow C)\right) \rightarrow$ $(P \rightarrow C)$ is a tautology.


## Proof By Cases

- The way this works in practice is that you just say "assume R" in the middle of your proof, and carry on to get $C$. But now you have assumed $P$ $\wedge R$ rather than just $P$, so you have proved only $(P \wedge R) \rightarrow C$. You need to start over and this time "assume $\neg R$ ", completing a separate proof of $(\mathrm{P} \wedge \neg \mathrm{R}) \rightarrow \mathrm{C}$.
- You can break cases into subcases, and subsubcases, and so on. Of course the ultimate case breakdown is into $2^{\mathrm{k}}$ subcases, one for each setting of the $k$ atomic variables. This is just a truth table proof!


## Clicker Question \#3

- I'm trying to prove $P \rightarrow C$. I assume $Q \wedge R$, and prove $(P \wedge Q \wedge R) \rightarrow C$. Then I start over with $\neg \mathrm{Q} \vee \neg \mathrm{R}$, proving $(\mathrm{P} \wedge(\neg \mathrm{Q} \vee \neg \mathrm{R})) \rightarrow \mathrm{C}$. What do I still need to prove to reach my goal of $P \rightarrow C$ ?
- (a) There is nothing left to prove, I am done.
- (b) $(P \wedge \neg Q \wedge \neg R) \rightarrow C$
- (c) $(\neg Q \wedge \neg R) \rightarrow C$
- (d) $\neg C \rightarrow \neg P$


## Answer \#3

- I'm trying to prove $P \rightarrow C$. I assume $Q \wedge R$, and prove $(P \wedge Q \wedge R) \rightarrow C$. Then I start over with $\neg Q \vee \neg R$, proving $(P \wedge(\neg Q \vee \neg R)) \rightarrow C$. What do I still need to prove to reach my goal of $P \rightarrow C$ ?
- (a) There is nothing left to prove, I am done.
- (b) $(P \wedge \neg Q \wedge \neg R) \rightarrow C$
- (c) $(\neg Q \wedge \neg R) \rightarrow C$
- (d) $\neg C \rightarrow \neg P$


## An Example: Exercises I.8.3-4

- Let $P$ be the compound proposition $p \wedge q$ and let $C$ be $p \vee q$. Of course we could verify $(p \wedge q) \rightarrow(p \vee q)$ by truth tables, but let's look at how to approach the problem using our various strategies.
- Neither trivial nor vacuous proof will work. Let's try Hypothetical Syllogism. If we pick p as our intermediate goal, we can get from $p \wedge$ $q$ to $p$ by Left Separation, and from $p$ to $p \vee q$ by Right Joining.


## Example: Proof By Cases

- Let's try Proof by Cases, with p as the intermediate proposition. If we assume that $p$ is true, we can prove $p \vee q$ by Right Joining, and this gives us a trivial proof of the original implication.
- On the other hand, if we assume that $p$ is false, then its easy to show that $p \wedge q$ is false, giving us a vacuous proof of the original.


## Example: Proof by Contradiction

- Using Proof by Contradiction, we assume both $p \wedge q$ and $\neg(p \vee q)$. The second assumption turns to $\neg p \wedge \neg q$ by DeMorgan.
- Once we have " $p \wedge q \wedge \neg p \wedge \neg q$ ", it's pretty straightforward to get 0 . We use associativity and commutativity to get $(p \wedge \neg p) \wedge q \wedge \neg q$. We have $p \wedge \neg p \leftrightarrow 0$ by Excluded Middle, and our 0 rules say that $0 \wedge x \leftrightarrow 0$ for any $x$.

