

# CMPSCI 250: Introduction to Computation

---

Lecture #5: Strategies for Propositional Proofs  
David Mix Barrington  
1 February 2012

## Strategies for Propositional Proofs

---

- The Forward-Backward Method
- Transforming the Proof Goal
- Contrapositives and Indirect Proof
- Proof By Contradiction
- Hypothetical Syllogism: Two Proofs in Series
- Proof By Cases: Two Proofs in Parallel
- An Example: Exercises 1.8.3 and 1.8.4

## The Forward-Backward Method

---

- In an equational sequence or a deductive sequence proof, we begin with one compound proposition, our premise, and we want to get to another, our conclusion, by applying rules. We are in effect searching through a path in a particular space, where the points are compound propositions and the moves are those authorized by the rules.
- The **forward-backward method** (first named, AFAIK, by Daniel Solow in his *How to Read and Do Proofs*) is a way of breaking down this search. Given a search from  $P$  to  $C$ , we can look for a **forward move**, which is some compound proposition  $P'$  where we can move from  $P$  to  $P'$ . This reduces our search to getting from  $P'$  to  $C$ . A **backward move** is some  $C'$  such that we can move from  $C'$  to  $C$ . This reduces our search to getting from  $P$  to  $C'$ .
- If a forward or backward move is well chosen, it gets us to an easier search. If it is not, it gets us to a harder search. How to tell? In general there is no firm guideline, but we'd like to make the ends of the new search *more similar*.

## Transforming the Proof Goal

---

- Some of the rules we listed last time help us transform a proof goal in other ways. Again suppose we are trying to get from  $P$  to  $C$ . Suppose we can prove  $C$  without using the assumption  $P$ . In this case  $P \rightarrow C$  is true -- the tautology  $C \rightarrow (P \rightarrow C)$  is called the rule of **trivial proof**. This does actually happen -- our breakdowns of proofs sometimes leaves very easy pieces.
- Similarly we may be able to prove  $\neg P$ , and since  $\neg P \rightarrow (P \rightarrow C)$  is a tautology, called the rule of **vacuous proof**, this is good enough to prove  $P \rightarrow C$ . For example, we can prove “If this animal is a unicorn, it is green” in this way.
- An equivalence  $P \leftrightarrow C$  is often proved by *two* deductive sequence proofs rather than a single equational sequence proof. The **equivalence and implication** rule says that  $(P \leftrightarrow C) \leftrightarrow ((P \rightarrow C) \wedge (C \rightarrow P))$ . This allows us to prove an “if and only if” by “proving both directions” of the equivalence.

## Contrapositives and Indirect Proof

---

- Assuming  $P$  and using it to prove  $C$  is called a **direct proof** of  $P \rightarrow C$ . Sometimes we may find it easier to work with the terms of  $C$  than those of  $P$ . If we assume  $\neg C$  and use it to prove  $\neg P$ , we have made a direct proof of the implication  $\neg C \rightarrow \neg P$ . But this implication, called the **contrapositive** of the original  $P \rightarrow C$ , is *equivalent* to the original. So proving  $\neg P$  from  $\neg C$  is sufficient to prove  $P \rightarrow C$ , and this is called an **indirect proof**.
- Be very careful to use the contrapositive rather than other, related implications that are *not* equivalent to  $P \rightarrow C$ . Simply reversing the arrow gets you  $C \rightarrow P$ , the **converse** of  $P \rightarrow C$ , which may well be true when  $P \rightarrow C$  is false, or vice versa. Simply taking the negation of both sides gives you  $\neg P \rightarrow \neg C$ , the **inverse** of  $P \rightarrow C$ , which is not equivalent to  $P \rightarrow C$  either. (In fact the converse is the contrapositive of the inverse and vice versa, so they are equivalent to *each other*.) You need to *both* reverse the arrow *and* negate both sides to get the contrapositive.

## Proof by Contradiction

---

- In last Friday's discussion we saw an example of **proof by contradiction**, when we assumed that some natural number was neither even nor odd. We wound up using this assumption to prove that there was a "neither number" that was smaller than the smallest "neither number", which is impossible.
- The negation of the implication  $P \rightarrow C$  is  $P \wedge \neg C$ , because the only way the implication can be false is if the premise is true and the conclusion false. If we can assume  $P \wedge \neg C$  and prove  $0$ , the always false proposition, we have made a direct proof of the implication  $(P \wedge \neg C) \rightarrow 0$ , and one of our rules says that  $(P \rightarrow C) \leftrightarrow ((P \wedge \neg C) \rightarrow 0)$  is a tautology.
- The reason we might want to do this is that the more assumptions we have, the more possible steps we have available. Trying proof by contradiction is often a good way to get started. But it's important to keep track of what the assumption was, so we know exactly what we are proving to be false.

## Hypothetical Syllogism: Two Proofs in Series

---

- Our use of an arrow for implication certainly suggests that implication is **transitive** -- that if we can get from P to Q and we can get from Q to C, then we can get from P to C. And in fact  $((P \rightarrow Q) \wedge (Q \rightarrow C)) \rightarrow (P \rightarrow C)$  is a tautology, called the rule of **Hypothetical Syllogism**.
- This means that we can pick an intermediate goal for our proof -- if we pick a useful Q, it may be easier to figure out how to get from P to Q and how to get from Q to C than to figure out how to get from P to C all at once.
- But a bad choice of intermediate goal could make things worse -- the two subgoals might be harder to find or even impossible. The rule of hypothetical syllogism is an implication, not an equivalence. It is possible for  $P \rightarrow C$  to be true and for one or both of  $P \rightarrow Q$  or  $Q \rightarrow C$  to be false.

## Proof by Cases: Two Proofs in Parallel

---

- Another way to break up a proof problem into smaller problems is **case analysis**. If  $R$  is any proposition at all, and  $P \rightarrow C$  is true, then the two implications  $(P \wedge R) \rightarrow C$  and  $(P \wedge \neg R) \rightarrow C$  are both true. Furthermore, if we can prove both of these propositions, the **Proof by Cases** rule tells us that  $((P \wedge R) \rightarrow C) \wedge ((P \wedge \neg R) \rightarrow C) \rightarrow (P \rightarrow C)$  is a tautology.
- The way this works in practice is that you just say “assume  $R$ ” in the middle of your proof, and carry on to get  $C$ . But now you have assumed  $P \wedge R$  rather than just  $P$ , so you have proved only  $(P \wedge R) \rightarrow C$ . You need to start over and this time “assume  $\neg R$ ”, completing a separate proof of  $(P \wedge \neg R) \rightarrow C$ .
- You can break cases into subcases, and subsubcases, and so on. Of course the ultimate case breakdown is into  $2^k$  subcases, one for each setting of the  $k$  atomic variables. This is just a truth table proof!



## An Example: Exercises 1.8.3 and 1.8.4

---

- Let  $P$  be the compound proposition  $p \wedge q$  and let  $C$  be  $p \vee q$ . Of course we could verify  $(p \wedge q) \rightarrow (p \vee q)$  by truth tables, but let's look at how to approach the problem using our various strategies.
- Neither trivial nor vacuous proof will work. Let's try Hypothetical Syllogism. If we pick  $p$  as our intermediate goal, we can get from  $p \wedge q$  to  $p$  by Left Separation, and from  $p$  to  $p \vee q$  by Right Joining.
- Let's try Proof by Cases, with  $p$  as the intermediate proposition. If we assume that  $p$  is true, we can prove  $p \vee q$  by Right Joining, and this gives us a trivial proof of the original implication. If we assume that  $p$  is false, then it's easy to show that  $p \wedge q$  is false, giving us a vacuous proof of the original.
- Using Proof by Contradiction, we assume both  $p \wedge q$  and  $\neg(p \vee q)$ . The second assumption turns to  $\neg p \wedge \neg q$  by DeMorgan, and we can get 0 out of  $p \wedge q \wedge \neg p \wedge \neg q$  by associativity, commutativity, Excluded Middle, and 0 rules.