## CMPSCI 250: Introduction to Computation

Lecture \#I 9: Proving the Basic Facts of Arithmetic David Mix Barrington 16 October 2013

## Proving the Facts of Arithmetic

- The Semiring of the Naturals
- The Definitions of Addition and Multiplication
- A Warmup: $\forall x: 0+x=x$
- Commutativity of Addition
- Associativity of Addition
- Commutativity of Multiplication
- Associativity and the Distributive Law


## The Semiring of the Naturals

- The natural numbers form an algebraic structure called a semiring, obeying these axioms:

1. There are two binary operations called + and $\times$.
2. Both operations are commutative.
3. Both operations are associative.
4. There is an additive identity called 0 and a multiplicative identity called 1.
5. Multiplication distributes over addition, so that $\forall u: \forall v: \forall w: u \times(v+w)=(u \times v)+(u \times w)$.

## Details of the Semiring Axioms

- Commutativity means $\forall \mathrm{u}: \forall \mathrm{v}:(\mathrm{u}+\mathrm{v})=(\mathrm{v}+\mathrm{u})$ and $\forall \mathrm{u}: \forall \mathrm{v}:(\mathrm{u} \times \mathrm{v})=(\mathrm{v} \times \mathrm{u})$.
- Associativity means $\forall \mathrm{u}: \forall \mathrm{v}: \forall \mathrm{w}:(\mathrm{u}+(\mathrm{v}+\mathrm{w}))=$ $((u+v)+w)$ and $\forall u: \forall v: \forall w:(u \times(v \times w))=$ $((u \times v) \times w)$.
- Identity rules are $\forall \mathrm{u}:(0+\mathrm{u})=(\mathrm{u}+0)=\mathrm{u}$, $\forall \mathrm{u}:(\mathrm{I} \times \mathrm{u})=(\mathrm{u} \times \mathrm{I})=\mathrm{u}$, and $\forall \mathrm{u}:(0 \times \mathrm{u})=(\mathrm{u}$ $\times 0)=0$.


## Clicker Question \#|

- Consider the operation of subtraction on the integers. Which of these statements is true?
- (a) Subtraction is commutative but not associative
- (b) Subtraction is associative but not commutative.
- (c) Subtraction is both commutative and associative.
- (d) Subtraction is neither commutative nor associative.


## Answer \#I

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## Definition of Addition

- We defined addition recursively using the successor operation (now called " $S$ " here to save space).
- We defined $x+0$ to be $x$, and defined $x+S y$ to be $S(x+y)$.
- This definition turned into a recursive method that always terminates because the number added, the second argument, always gets smaller.


## Definition of Multiplication

- We also defined multiplication recursively using the successor and addition operations.
- We defined $x \times 0$ to be 0 , and defined $x \times$ Sy to be $(x \times y)+x$.
- Again there is a recursive method that always terminates because the second argument always gets smaller.


## What We May Assume

- We don't want to assume any properties of the operations that we haven't proved, and only a few of the semiring properties are true "by definition".
- Our notation can accidently make such assumptions -- when we write " $(x \times y)+x$ " we really mean plus (times ( $x, y$ ), $x$ ) using the pseudo-Java methods we have defined.


## Top-Down and Bottom-Up

- We can prove the big properties either topdown or bottom-up.
- A top-down approach identifies subproperties that we need to prove as we attack the overall problem through divide-and-conquer.
- A bottom-up approach has us guess what subproperties might be useful to prove, just as we build up a library of methods in a Java class.


## A Warmup: $\forall x: 0+x=x$

- The property $\forall x: 0+x=x$ does not appear in our definition, though $\forall x: x+0=x$ does.
- It would follow from commutativity of addition, but we don't have that yet.
- Let's prove it by ordinary induction on the (natural) variable $x$, letting $P(x)$ be " $0+x=x$ ".
- The base case $P(0)$ says " $0+0=0$ ", and this does follow from the definition and so is true.


## A Warmup: $\forall x: 0+x=x$

- For the inductive case we assume " $0+\mathrm{x}=\mathrm{x}$ " and try to prove " $0+S x=S x$ ".
- We evaluate $0+S x$ as $S(0+x)$ by the definition, then use the IH to substitute " $x$ " for " $0+x$ " and get that this is Sx .
- This finishes the inductive case and proves $\forall x: P(x)$.


## Clicker Question \#2

- What are the correct pseudo-Java translations of the terms " $0+S x$ " and " $\mathrm{S}(0+\mathrm{x})$ ".
- (a) plus(successor(x), 0) and successor(plus(x, 0))
- (b) successor(x) and successor(x)
- (c) successor (plus ( $0, \mathrm{x}$ )) and plus(0, successor(x))
- (d) plus(0, successor(x)) and successor(plus(0, x))


## Answer \#2

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- (c) successor (plus ( $0, \mathrm{x})$ ) and plus(0, successor(x))
- (d) plus(0, successor(x)) and successor(plus(0, x))


## Commutativity of Addition

- How shall we prove $\forall x: \forall y: x+y=y+x$ ?
- The usual technique is to let one variable be arbitrary and use induction on the other. Since addition operates by recursion on the second argument, we'll let $x$ be arbitrary and use induction on $y$, letting $P(y)$ be " $x+y=y+x$ ".
- The base case $P(0)$ is " $x+0=0+x$ ", and after our warmup we know that both of these are equal to $x$, so the base case is done.


## Commutativity of Addition

- The inductive case assumes " $x+y=y+x$ " and wants to prove " $x+S y=S y+x$ ".
- The definition tells us that $x+S y=S(x+y)$, so we need to show that $S y+x=S(y+x)$ or $y+S x$.
- Then we can use the IH to replace $y+x$ by $x$ $+y$.
- So we just need the Iemma $\forall x: \forall y: S y+x=$ $S(y+x)$ or $y+S x$.


## Proving the Lemma

- For the lemma $\forall x: \forall y: S y+x=y+S x$, we’d prefer to let $y$ be arbitrary and use induction on $x$ (we can switch the two $\forall$ quantifiers).
- The $P(x)$ for this induction is thus " $S y+x=y+S x$ ".
- The base case is "Sy $+0=y+S O$ ", which follows from the definition.
- For the inductive case, we compute $S y+S x$ as $S(S y$ $+x)$ which is $S(y+S x)$ by the IH , which is $y+S S x$, the RHS of $P(S x)$.


## Associativity of Addition

- To prove $\forall x: \forall y: \forall z: x+(y+z)=(x+y)+z$, we let $x$ and $y$ be arbitrary and use ordinary induction on z .
- The base case $P(0)$ is " $x+(y+0)=(x+y)+$ 0 ", which follows by using the base case of the definition once on each side.
- So we assume $P(z)$, which is " $x+(y+z)=(x$ $+y)+z$ ", and try to prove $P(S z)$, which is " $x+$ $(y+S z)=(x+y)+S z "$.


## Associativity of Addition

- Working with the LHS, $x+(y+S z)=x+$ $S(y+z)=S(x+(y+z))$, using the definition of addition each time.
- This is $S((x+y)+z)$ by the IH.
- Using the definition of addition one more time, $S((x+y)+z)$ is equal to $(x+y)+S z$, which completes the inductive step and thus the proof.


## Clicker Question \#3

- Which of these facts is part of the definition of multiplication?
- (a) $\forall \mathrm{u}: \mathrm{u} \times \mathrm{SO}=\mathrm{u}$
- (b) $\forall \mathrm{u}: \forall \mathrm{v}: \mathrm{u} \times \mathrm{Sv}=(\mathrm{u} \times \mathrm{v})+\mathrm{u}$
- (c) $\forall \mathrm{u}: 0 \times \mathrm{u}=0$
- (d) $\forall \mathrm{u}: \forall \mathrm{v}: \mathrm{u} \times \mathrm{v}=\mathrm{v} \times \mathrm{u}$


## Answer \#3

- Which of these facts is part of the definition of multiplication?
- (a) $\forall \mathrm{u}: \mathrm{u} \times \mathrm{SO}=\mathrm{u}$
- (b) $\forall u: \forall v: u \times S v=(u \times v)+u$
- (c) $\forall \mathrm{u}: 0 \times \mathrm{u}=0$
- (d) $\forall \mathrm{u}: \forall \mathrm{v}: \mathrm{u} \times \mathrm{v}=\mathrm{v} \times \mathrm{u}$


## Notes on Associativity

- Note that we didn't need commutativity to prove associativity here, though with multiplication the order of our proofs will matter.
- Also note that during this proof we need to be sure not to assume associativity by our use of notation, by writing things like " $x+y+z$ ".
- Once we have associativity, we can omit parentheses in such cases as we have done.


## Commutativity of Multiplication

- Now we want to prove $\forall \mathrm{u}: \forall \mathrm{v}: \mathrm{u} \times \mathrm{v}=\mathrm{v} \times \mathrm{u}$, and we will work bottom-up.
- Our first lemma is $\forall \mathrm{u}: \mathrm{u} \times 0=0 \times \mathrm{u}$. We let u be arbitrary and note that $u \times 0=0$ by the definition. We need induction to prove $\forall \mathrm{u}: 0 \times \mathrm{u}=0$.
- We let $P(u)$ be " $0 \times u=0$ ", note that $P(0)$ follows from the definition, assume $\mathrm{P}(\mathrm{u})$, and prove $\mathrm{P}(\mathrm{Su})$ or " $0 \times \mathrm{Su}=0$ " by applying the definition to $0 \times \mathrm{Su}$ to get $(0 \times \mathrm{u})+0$, which is $0+0$ by the IH and 0 by the definition of addition.


## Commutativity of Multiplication

- Our second lemma is $\forall \mathrm{u}: \forall \mathrm{v}: \mathrm{Su} \times \mathrm{v}=(\mathrm{u} \times \mathrm{v})$ $+v$. We let $u$ be arbitrary and use induction on $v$, so that $P(v)$ is " $S u \times v=(u \times v)+v$ ".
- The base case $\mathrm{P}(0)$ is " $\mathrm{Su} \times 0=(\mathrm{u} \times 0)+0$ " and is easy to verify. We assume $\mathrm{Su} \times \mathrm{v}=(\mathrm{u}$ $\times v)+v$ and try to prove "Su $\times S v=(u \times S v)$ +Sv ".


## Commutativity of Multiplication

- Working the LHS, Su $\times S v=(S u \times v)+S u$, which is $((u \times v)+v)+$ Su by the IH, and then $(u \times v)+(v+S u)$ by associativity of addition.
- This is $(u \times v)+(S u+v)$ by commutativity of addition, $(u \times v)+(u+S v)$ by a lemma above, $((u \times v)+u)+S v$ by associativity of addition again, and finally ( $u \times S v$ ) $+S v$ by the definition of multiplication.


## Finishing Commutativity of $x$

- We want to prove $\forall \mathrm{u}: \forall \mathrm{v}:(\mathrm{u} \times \mathrm{v})=(\mathrm{v} \times \mathrm{u})$, so we let $u$ be arbitrary and use induction on $v$. Our statement $P(v)$ is " $(u \times v)=(v \times u)$ ".
- The base case $P(0)$ is " $(u \times 0)=(0 \times u)$ ", and this is exactly the conclusion of our first lemma.
- For the inductive step, our IH is $\mathrm{P}(\mathrm{v})$ or " $(\mathrm{u} \times$ $v)=(v \times u)$ ".


## Finishing Commutativity of $x$

- We want to prove $P(S v)$, which is " $(u \times S v)=$ (Sv $\times \mathrm{u}$ )".
- The left-hand side is $(u \times v)+u$ by the definition of multiplication.
- The right-hand side is $(v \times u)+u$ by the second lemma, reversing the roles of $u$ and $v$. (We use Specification on the result.)
- Our IH now tells us that this form of the LHS is equal to this form of the RHS, completing the inductive step and thus completing the proof.


## Associativity and Distributivity

- As in the textbook, we'll start proving the associative law for multiplication, which is $\forall \mathrm{u}$ : $\forall \mathrm{v}: \forall \mathrm{w}: \mathrm{u} \times(\mathrm{v} \times \mathrm{w})=(\mathrm{u} \times \mathrm{v}) \times \mathrm{w}$.
- We let $u$ and $v$ be arbitrary, and use induction on $w$ with $P(w)$ as " $u \times(v \times w)=(u \times v) \times$ $w$ ". The base case $P(0)$ is " $u \times(v \times 0)=(u \times$ v) $\times 0$ ", which reduces to " $0=0$ " by known rules.
- We assume $P(w)$ and try to prove $P(S w)$ which is "u $\times(v \times S w)=(u \times v) \times S w$ ".


## Associativity and Distributivity

- The LHS reduces to $u \times((v \times w)+v)$ by the definition, which is $(u \times(v \times w))+(u \times v)$ by distributivity, which unfortunately we haven't proved yet.
- If we had done distributivity first, we could finish by using the IH to get $((u \times v) \times w)+(u$ $x v$ ), and then the definition of multiplication to get $(u \times v) \times S w$, the desired right-hand side.
- This makes proving the Distributive Law a rather important exercise!

