# CMPSCI 250: Introduction to Computation

Lecture #19: Proving the Basic Facts of Arithmetic David Mix Barrington 16 October 2013

# Proving the Facts of Arithmetic

- The Semiring of the Naturals
- The Definitions of Addition and Multiplication
- A Warmup:  $\forall x: 0 + x = x$
- Commutativity of Addition
- Associativity of Addition
- Commutativity of Multiplication
- Associativity and the Distributive Law

## The Semiring of the Naturals

- The natural numbers form an algebraic structure called a **semiring**, obeying these axioms:
  - 1. There are two binary operations called + and  $\times$ .
  - 2. Both operations are **commutative**.
  - 3. Both operations are **associative**.
  - 4. There is an **additive identity** called 0 and a **multiplicative identity** called 1.
  - 5. Multiplication **distributes** over addition, so that  $\forall u: \forall v: \forall w: u \times (v + w) = (u \times v) + (u \times w)$ .

# Details of the Semiring Axioms

- Commutativity means ∀u:∀v: (u + v) = (v + u)
   and ∀u:∀v: (u × v) = (v × u).
- Associativity means \( \psi u : \psi v : \psi w : (u + (v + w)) = ((u + v) + w) \) and \( \psi u : \psi v : \psi w : (u \times (v \times w)) = ((u \times v) \times w). \)
- Identity rules are \( \psi u : (0 + u) = (u + 0) = u, \)
   \( \psi u : (1 \times u) = (u \times 1) = u, \) and \( \psi u : (0 \times u) = (u \times 0) = 0. \)

#### Clicker Question #1

- Consider the operation of **subtraction** on the integers. Which of these statements is true?
- (a) Subtraction is commutative but not associative
- (b) Subtraction is associative but not commutative.
- (c) Subtraction is both commutative and associative.
- (d) Subtraction is neither commutative nor associative.

#### Answer #1

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#### Definition of Addition

- We defined addition recursively using the successor operation (now called "S" here to save space).
- We defined x + 0 to be x, and defined x + Sy to be S(x + y).
- This definition turned into a recursive method that always terminates because the number added, the second argument, always gets smaller.

## Definition of Multiplication

- We also defined multiplication recursively using the successor and addition operations.
- We defined x × 0 to be 0, and defined x × Sy to be (x × y) + x.
- Again there is a recursive method that always terminates because the second argument always gets smaller.

## What We May Assume

- We don't want to assume any properties of the operations that we haven't proved, and only a few of the semiring properties are true "by definition".
- Our notation can accidently make such assumptions -- when we write "(x × y) + x" we really mean plus(times(x, y), x) using the pseudo-Java methods we have defined.

#### Top-Down and Bottom-Up

- We can prove the big properties either topdown or bottom-up.
- A top-down approach identifies subproperties that we need to prove as we attack the overall problem through divideand-conquer.
- A bottom-up approach has us guess what subproperties might be useful to prove, just as we build up a library of methods in a Java class.

#### A Warmup: $\forall x: 0 + x = x$

- The property  $\forall x: 0 + x = x$  does not appear in our definition, though  $\forall x: x + 0 = x$  does.
- It would follow from commutativity of addition, but we don't have that yet.
- Let's prove it by ordinary induction on the (natural) variable x, letting P(x) be "0 + x = x".
- The base case P(0) says "0 + 0 = 0", and this does follow from the definition and so is true.

# A Warmup: $\forall x: 0 + x = x$

- For the inductive case we assume "0 + x = x" and try to prove "0 + Sx = Sx".
- We evaluate 0 + Sx as S(0 + x) by the definition, then use the IH to substitute "x" for "0 + x" and get that this is Sx.
- This finishes the inductive case and proves ∀x: P(x).

#### Clicker Question #2

- What are the correct pseudo-Java translations of the terms "0 + Sx" and "S(0 + x)"?
- (a) plus(successor(x), 0) and successor(plus(x, 0))
- (b) successor(x) and successor(x)
- (c) successor(plus(0, x)) and plus(0, successor(x))
- (d) plus(0, successor(x)) and successor(plus(0, x))

#### Answer #2

- What are the correct pseudo-Java translations of the terms "0 + Sx" and "S(0 + x)"?
- (a) plus(successor(x), 0) and successor(plus(x, 0))
- (b) successor(x) and successor(x)
- (c) successor(plus(0, x)) and plus(0, successor(x))
- (d) plus(0, successor(x)) and successor(plus(0, x))

## Commutativity of Addition

- How shall we prove  $\forall x: \forall y: x + y = y + x$ ?
- The usual technique is to let one variable be arbitrary and use induction on the other. Since addition operates by recursion on the second argument, we'll let x be arbitrary and use induction on y, letting P(y) be "x + y = y + x".
- The base case P(0) is "x + 0 = 0 + x", and after our warmup we know that both of these are equal to x, so the base case is done.

#### Commutativity of Addition

- The inductive case assumes "x + y = y + x" and wants to prove "x + Sy = Sy + x".
- The definition tells us that x + Sy = S(x + y), so we need to show that Sy + x = S(y + x) or y + Sx.
- Then we can use the IH to replace y + x by x + y.
- So we just need the **lemma**  $\forall x$ :  $\forall y$ : Sy + x = S(y + x) or y + Sx.

#### Proving the Lemma

- For the lemma  $\forall x$ :  $\forall y$ : Sy + x = y + Sx, we'd prefer to let y be arbitrary and use induction on x (we can switch the two  $\forall$  quantifiers).
- The P(x) for this induction is thus "Sy + x = y + Sx".
- The base case is "Sy + 0 = y + S0", which follows from the definition.
- For the inductive case, we compute Sy + Sx as S(Sy + x) which is S(y + Sx) by the IH, which is y + SSx, the RHS of P(Sx).

## Associativity of Addition

- To prove ∀x: ∀y: ∀z: x + (y + z) = (x + y) + z, we let x and y be arbitrary and use ordinary induction on z.
- The base case P(0) is "x + (y + 0) = (x + y) + 0", which follows by using the base case of the definition once on each side.
- So we assume P(z), which is "x + (y + z) = (x + y) + z", and try to prove P(Sz), which is "x + (y + Sz) = (x + y) + Sz".

#### Associativity of Addition

- Working with the LHS, x + (y + Sz) = x + S(y + z) = S(x + (y + z)), using the definition of addition each time.
- This is S((x + y) + z) by the IH.
- Using the definition of addition one more time, S((x + y) + z) is equal to (x + y) + Sz, which completes the inductive step and thus the proof.

# Clicker Question #3

- Which of these facts is part of the definition of multiplication?
- (a) ∀u: u × S0 = u
- (b)  $\forall u$ :  $\forall v$ :  $u \times Sv = (u \times v) + u$
- (c) ∀u: 0 × u = 0
- (d)  $\forall u: \forall v: u \times v = v \times u$

#### Answer #3

- Which of these facts is part of the definition of multiplication?
- (a) ∀u: u × S0 = u
- (b)  $\forall u: \forall v: u \times Sv = (u \times v) + u$
- (c) ∀u: 0 × u = 0
- (d)  $\forall u: \forall v: u \times v = v \times u$

## Notes on Associativity

- Note that we didn't need commutativity to prove associativity here, though with multiplication the order of our proofs will matter.
- Also note that during this proof we need to be sure not to assume associativity by our use of notation, by writing things like "x + y + z".
- Once we have associativity, we can omit parentheses in such cases as we have done.

#### Commutativity of Multiplication

- Now we want to prove ∀u: ∀v: u × v = v × u, and we will work bottom-up.
- Our first lemma is ∀u: u × 0 = 0 × u. We let u be arbitrary and note that u × 0 = 0 by the definition.
   We need induction to prove ∀u: 0 × u = 0.
- We let P(u) be "0 × u = 0", note that P(0) follows from the definition, assume P(u), and prove P(Su) or "0 × Su = 0" by applying the definition to 0 × Su to get  $(0 \times u) + 0$ , which is 0 + 0 by the IH and 0 by the definition of addition.

## Commutativity of Multiplication

- Our second lemma is ∀u: ∀v: Su × v = (u × v)
  + v. We let u be arbitrary and use induction on v, so that P(v) is "Su × v = (u × v) + v".
- The base case P(0) is "Su × 0 = (u × 0) + 0" and is easy to verify. We assume Su × v = (u × v) + v and try to prove "Su × Sv = (u × Sv) + Sv".

# Commutativity of Multiplication

- Working the LHS, Su × Sv = (Su × v) + Su, which is ((u × v) + v) + Su by the IH, and then (u × v) + (v + Su) by associativity of addition.
- This is (u × v) + (Su + v) by commutativity of addition, (u × v) + (u + Sv) by a lemma above, ((u × v) + u) + Sv by associativity of addition again, and finally (u × Sv) + Sv by the definition of multiplication.

# Finishing Commutativity of ×

- We want to prove ∀u: ∀v: (u × v) = (v × u),
   so we let u be arbitrary and use induction on v. Our statement P(v) is "(u × v) = (v × u)".
- The base case P(0) is " $(u \times 0) = (0 \times u)$ ", and this is exactly the conclusion of our first lemma.
- For the inductive step, our IH is P(v) or "(u × v) = (v × u)".

## Finishing Commutativity of ×

- We want to prove P(Sv), which is "(u × Sv) = (Sv × u)".
- The left-hand side is (u × v) + u by the definition of multiplication.
- The right-hand side is (v × u) + u by the second lemma, reversing the roles of u and v. (We use Specification on the result.)
- Our IH now tells us that this form of the LHS is equal to this form of the RHS, completing the inductive step and thus completing the proof.

## Associativity and Distributivity

- As in the textbook, we'll start proving the associative law for multiplication, which is ∀u:
   ∀v: ∀w: u × (v × w) = (u × v) × w.
- We let u and v be arbitrary, and use induction on w with P(w) as "u × (v × w) = (u × v) × w". The base case P(0) is "u × (v × 0) = (u × v) × 0", which reduces to "0 = 0" by known rules.
- We assume P(w) and try to prove P(Sw) which is "u × (v × Sw) = (u × v) × Sw".

## Associativity and Distributivity

- The LHS reduces to u × ((v × w) + v) by the definition, which is (u × (v × w)) + (u × v) by distributivity, which unfortunately we haven't proved yet.
- If we had done distributivity first, we could finish by using the IH to get ((u × v) × w) + (u × v), and then the definition of multiplication to get (u × v) × Sw, the desired right-hand side.
- This makes proving the Distributive Law a rather important exercise!