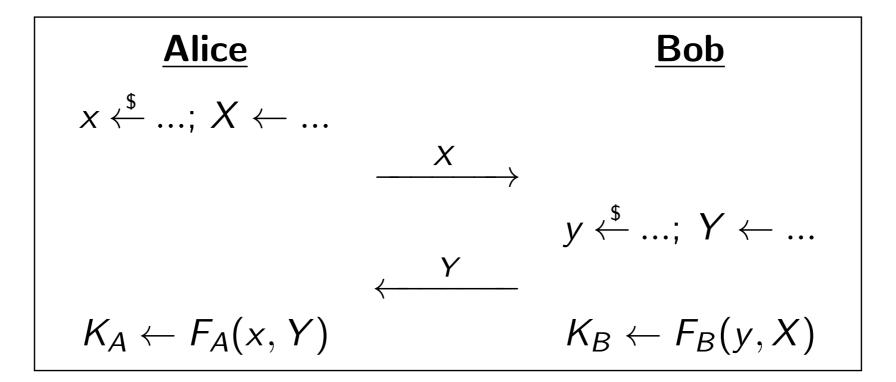
Computational Number Theory

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A Basic Problem: Key Exchange

Problem: Obtain a joint secret key via interaction over a public channel:



Desired properties of the protocol:

- $K_A = K_B$, meaning Alice and Bob agree on a key
- Adversary given X, Y can't compute K_A

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- Public-key (asymmetric) cryptography was born.
- Protocols are based on computational group theory and number theory so we first study that.

Some Notation

- $\mathbf{Z} = \{\ldots, -2, -1, 0, 1, 2, \ldots\}$
- $\mathbf{N} = \{0, 1, 2, \ldots\}$
- $\mathbf{Z}_{+} = \{1, 2, 3, \ldots\}$

For $a, N \in \mathbb{Z}$ let gcd(a, N) be the largest $d \in \mathbb{Z}_+$ such that d divides both a and N.

Modular Arithmetic

For $N \in \mathbf{Z}_+$, let

- $Z_N = \{0, 1, \dots, N-1\}$
- $\mathbf{Z}_N^* = \{ a \in \mathbf{Z}_N : \operatorname{gcd}(a, N) = 1 \}$

• $\varphi(N) = |\mathbf{Z}_N^*|$

Example: N = 12

- $\mathbf{Z}_{12} = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11\}$
- $Z_{12}^* = \{1, 5, 7, 1\}$

Division and mod

INT-DIV(a, N) returns (q, r) such that

- a = qN + r
- 0 ≤ *r* < *N*

Refer to q as the quotient and r as the remainder. Then

a mod
$$N = r \in \mathbf{Z}_N$$

is the remainder when a is divided by N.

Example: INT-DIV(17, 3) = (5, 2) and 17 mod 3 = 2.

Def: $a \equiv b \pmod{N}$ if $a \mod N = b \mod N$.

Example: $17 \equiv 14 \pmod{3}$

Groups

Let G be a non-empty set, and let \cdot be a binary operation on G. This means that for every two points $a, b \in G$, a value $a \cdot b$ is defined.

Example: $G = \mathbf{Z}_{12}^*$ and "·" is multiplication modulo 12, meaning $a \cdot b = ab \mod{12}$

Def: We say that G is a *group* if it has four properties called closure, associativity, identity and inverse that we present next.

Fact: If $N \in \mathbb{Z}_+$ then $G = \mathbb{Z}_N^*$ with $a \cdot b = ab \mod N$ is a group.

Closure

Closure: For every $a, b \in G$ we have $a \cdot b$ is also in G.

Example: $G = Z_{12}$ with $a \cdot b = ab$ does not have closure because $7 \cdot 5 = 35 \notin Z_{12}$.

Fact: If $N \in \mathbb{Z}_+$ then $G = \mathbb{Z}_N^*$ with $a \cdot b = ab \mod N$ satisfies closure, meaning

gcd(a, N) = gcd(b, N) = 1 implies gcd(ab mod N, N) = 1 **Example:** Let $G = \mathbf{Z}_{12}^* = \{1, 5, 7, 11\}$. Then $5 \cdot 7 \mod 12 = 35 \mod 12 = 11 \in \mathbf{Z}_{12}^*$

Associativity

Associativity: For every $a, b, c \in G$ we have $(a \cdot b) \cdot c = a \cdot (b \cdot c)$.

Fact: If $N \in \mathbb{Z}_+$ then $G = \mathbb{Z}_N^*$ with $a \cdot b = ab \mod N$ satisfies associativity, meaning

 $((ab \mod N)c) \mod N = (a(bc \mod N)) \mod N$

Example:

 $(5 \cdot 7 \mod 12) \cdot 11 \mod 12 = (35 \mod 12) \cdot 11 \mod 12$ = $11 \cdot 11 \mod 12 = 1$ $5 \cdot (7 \cdot 11 \mod 12) \mod 12 = 5 \cdot (77 \mod 12) \mod 12$ = $5 \cdot 5 \mod 12 = 1$

Exercise: Given an example of a set G and a natural operation $a, b \mapsto a \cdot b$ on G that satisfies closure but *not* associativity.

Identity Element

Identity element: There exists an element $\mathbf{1} \in G$ such that $a \cdot \mathbf{1} = \mathbf{1} \cdot a = a$ for all $a \in G$.

Fact: If $N \in \mathbb{Z}_+$ and $G = \mathbb{Z}_N^*$ with $a \cdot b = ab \mod N$ then 1 is the identity element because $a \cdot 1 \mod N = 1 \cdot a \mod N = a$ for all a.

Inverses

Inverses: For every $a \in G$ there exists a unique $b \in G$ such that $a \cdot b = b \cdot a = 1$.

This b is called the inverse of a and is denoted a^{-1} if G is understood.

Fact: If $N \in \mathbb{Z}_+$ and $G = \mathbb{Z}_N^*$ with $a \cdot b = ab \mod N$ then $\forall a \in \mathbb{Z}_N^*$ $\exists b \in \mathbb{Z}_N^*$ such that $a \cdot b \mod N = 1$.

We denote this unique inverse b by $a^{-1} \mod N$.

Example: $5^{-1} \mod 12$ is the $b \in \mathbf{Z}_{12}^*$ satisfying $5b \mod 12 = 1$, so b =

Exercises

Let $N \in \mathbb{Z}_+$ and let $G = \mathbb{Z}_N$. Prove that G is a group under the operation $a \cdot b = (a + b) \mod N$.

Let $n \in \mathbb{Z}_+$ and let $G = \{0, 1\}^n$. Prove that G is a group under the operation $a \cdot b = a \oplus b$.

Let $n \in \mathbb{Z}_+$ and let $G = \{0, 1\}^n$. Prove that G is *not* a group under the operation $a \cdot b = a \wedge b$. (This is bit-wise AND, for example $0110 \wedge 1101 = 0100$.)

Computational Shortcuts

What is $5 \cdot 8 \cdot 10 \cdot 16 \mod 21$?

Exponentiation

Let G be a group and $a \in G$. We let $a^0 = \mathbf{1}$ be the identity element and for $n \geq 1$, we let

$$a^n = \underbrace{a \cdot a \cdots a}_n.$$

Also we let

$$a^{-n} = \underbrace{a^{-1} \cdot a^{-1} \cdots a^{-1}}_{n}.$$

This ensures that for all $i, j \in \mathbf{Z}$,

- $a^{i+j} = a^i \cdot a^j$
- $a^{ij} = (a^i)^j = (a^j)^i$
- $a^{-i} = (a^i)^{-1} = (a^{-1})^i$

Meaning we can manipulate exponents "as usual".

Order

The order of a group G is its size |G|, meaning the number of elements in it.

Example: The order of \mathbf{Z}_{21}^* is 12 because

 $\bm{Z}_{21}^* = \{1, 2, 4, 5, 8, 10, 11, 13, 16, 17, 19, 20\}$

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Fact: Let G be a group of order m and $a \in G$. Then $a^m = \mathbf{1}$.

Examples: Modulo 21 we have

• $5^{12} \equiv (5^3)^4 \equiv 20^4 \equiv (-1)^4 \equiv 1$

•
$$8^{12} \equiv (8^2)^6 \equiv (1)^6 \equiv 1$$

Simplifying Exponentiation

Corollary: Let G be a group of order m and $a \in G$. Then for any $i \in \mathbf{Z}$,

$$a^i = a^{i \mod m}$$
.

Example: What is 5⁷⁴ mod 21?

Running Time

In an algorithms course, the cost of arithmetic is often assumed to be $\mathcal{O}(1)$, because numbers are small. In cryptography numbers are

very, very BIG!

Typical sizes are 2⁵¹², 2¹⁰²⁴, 2²⁰⁴⁸.

Numbers are provided to algorithms in binary. The length of a, denoted |a|, is the number of bits in the binary encoding of a.

Example: |7| = 3 because 7 is 111 in binary.

Running time is measured as a function of the lengths of the inputs.

Algorithms on Numbers

Algorithm	Input	Output	Time
ADD	a, b	a + b	linear
MULT	a, b	ab	quadratic
INT-DIV	a, N	- p q,r	quadratic
MOD	a, N	a mod N	quadratic
EXT-GCD	a, N 🥌	\rightarrow (d, a', N')	quadratic
MOD-INV	$a\in {f Z}_N^*$, N	$a^{-1} \mod N$	quadratic
MOD-EXP	a, n, N	a ⁿ mod N	cubic
EXP _G	a, n	$a^n \in G$	$\mathcal{O}(n)$ G-ops

Extended gcd

EXT-GCD
$$(a, N) \mapsto (d, a', N')$$
 such that
 $d = \gcd(a, N) = a \cdot a' + N \cdot N'$.
Example: EXT-GCD $(12, 20) =$

Extended gcd

EXT-GCD $(a, N) \mapsto (d, a', N')$ such that

$$d = \gcd(a, N) = a \cdot a' + N \cdot N'$$
.

Lemma: Let (q, r) = INT-DIV(a, N). Then, gcd(a, N) = gcd(N, r)

$$\begin{array}{ll} \textbf{Alg EXT-GCD}(a, N) & //(a, N) \neq (0, 0) \\ \text{if } N = 0 \text{ then return } (a, 1, 0) \\ \text{else} \\ & (q, r) \leftarrow \text{INT-DIV}(a, N); \ (d, x, y) \leftarrow \text{EXT-GCD}(N, r) \\ & a' \leftarrow y; \ N' \leftarrow x - qy \\ & \text{return } (d, a', N') \end{array}$$

Running time analysis is non-trivial (worst case is Fibonacci numbers) and shows that the time is $O(|a| \cdot |N|)$. So the extended gcd can be computed in quadratic time.

Modular Inverse

For a, N such that gcd(a, N) = 1, we want to compute $a^{-1} \mod N$, meaning the unique $a' \in \mathbb{Z}_N^*$ satisfying $aa' \equiv 1 \pmod{N}$.

But if we let $(d, a', N') \leftarrow \text{EXT-GCD}(a, N)$ then

$$d = 1 = \gcd(a, N) = a \cdot a' + N \cdot N'$$

But $N \cdot N' \equiv 0 \pmod{N}$ so $aa' \equiv 1 \pmod{N}$

Alg MOD-INV(a, N) (d, a', N') \leftarrow EXT-GCD(a, N) return $a' \mod N$

Modular inverse can be computed in quadratic time.



Modular Exponentiation

Let G be a group and $a \in G$. For $n \in \mathbb{N}$, we want to compute $a^n \in G$. We know that

$$a^{n} = \underbrace{a \cdot a \cdots a}_{n}$$

m

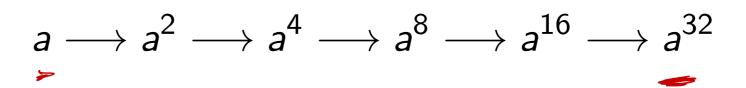
Consider:

 $y \leftarrow 1$ for i = 1, ..., n do $y \leftarrow y \cdot a$ return y

Question: Is this a good algorithm?

Fast Exponentiation Idea

We can compute



in just 5 steps by repeated squaring. So we can compute a^n in *i* steps when $n = 2^i$.

But what if *n* is not a power of 2?

Square-and-Multiply

Suppose the binary length of *n* is 5, meaning the binary representation of *n* has the form $b_4b_3b_2b_1b_0$. Then

$$n = 2^{4}b_{4} + 2^{3}b_{3} + 2^{2}b_{2} + 2^{1}b_{1} + 2^{0}b_{0}$$

= $16b_{4} + 8b_{3} + 4b_{2} + 2b_{1} + b_{0}$.

We want to compute a^n . Our exponentiation algorithm will proceed to compute the values $y_5, y_4, y_3, y_2, y_1, y_0$ in turn, as follows:

$$y_{5} = \mathbf{1}$$

$$y_{4} = y_{5}^{2} \cdot a^{b_{4}} = a^{b_{4}}$$

$$y_{3} = y_{4}^{2} \cdot a^{b_{3}} = a^{2b_{4}+b_{3}}$$

$$y_{2} = y_{3}^{2} \cdot a^{b_{2}} = a^{4b_{4}+2b_{3}+b_{2}}$$

$$y_{1} = y_{2}^{2} \cdot a^{b_{1}} = a^{8b_{4}+4b_{3}+2b_{2}+b_{1}}$$

$$y_{0} = y_{1}^{2} \cdot a^{b_{0}} = a^{16b_{4}+8b_{3}+4b_{2}+2b_{1}+b_{0}}$$

Square-and-Multiply

Let $bin(n) = b_{k-1} \dots b_0$ be the binary representation of n, meaning

$$n=\sum_{i=0}^{k-1}b_i2^i$$

 $\begin{array}{ll} \textbf{Alg EXP}_G(a,n) & /\!/ a \in G, n \geq 1 \\ b_{k-1} \dots b_0 \leftarrow \min(n) \\ y \leftarrow 1 \\ \text{for } i = k - 1 \text{ downto } 0 \text{ do } y \leftarrow y^2 \cdot a^{b_i} \\ \text{return } y \end{array}$

The running time is $\mathcal{O}(|n|)$ group operations.

MOD-EXP(a, n, N) returns $a^n \mod N$ in time $O(|n| \cdot |N|^2)$, meaning is cubic time.

Let G be a group of order m and let $g \in G$. We let

$$\langle \underline{g} \rangle = \{ \underline{g}^i : i \in \mathbf{Z} \}$$
$$i \in \mathbf{Z}_m \}$$

Fact: $\langle g \rangle = \{ g^i : i \in \mathbf{Z}_m \}$

Exercise: Prove the above Fact.

Fact: The size $|\langle g \rangle|$ of the set $\langle g \rangle$ is a divisor of *m*

Note: $|\langle g \rangle|$ need not equal m!

Definition: $g \in G$ is a generator (or primitive element) of G if $\langle g \rangle = G$, meaning $|\langle g \rangle| = m$.

Definition: G is cyclic if it has a generator, meaning there exists $g \in G$ such that g is a generator of G.

$\mathcal{T}_{m}^{*} = \frac{1}{2} i \epsilon \mathcal{T}_{n} st (v_{i}n) = 1 \frac{1}{2} und multiphication multiphication$

Let $G = \mathbf{Z}_{11}^* = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$, which has order m = 10.

i	0	1	2	3	4	5	6	7	8	9	10	F A
2 ⁱ mod 11	1	2	4	8	5	10	9	7	3	6	1	4-
5 ⁱ mod 11	1	5	3	4	9	1	5	3	4	9	1	4

SO



- $\begin{array}{lll} \langle 2 \rangle & = & \{1,2,3,4,5,6,7,8,9,10\} \\ \langle 5 \rangle & = & \{1,3,4,5,9\} \end{array}$
- 2 a generator because $\langle 2 \rangle = \mathbf{Z}_{11}^*$.
- 5 is not a generator because $\langle 5 \rangle \neq \mathbf{Z}_{11}^*$.
- **Z**^{*}₁₁ is cyclic because it has a generator.

Exercise

Let G be the group \mathbf{Z}_{10}^* under the operation of multiplication modulo 10.

- **1.** List the elements of *G*
- **2.** What is the order of *G*?
- **3.** Determine the set $\langle 3 \rangle$
- **4.** Determine the set $\langle 9 \rangle$
- **5.** Is *G* cyclic? Why or why not?

Discrete log

If $G = \langle g \rangle$ is a cyclic group of order *m* then for every $a \in G$ there is a unique exponent $i \in \mathbb{Z}_m$ such that $g^i = a$. We call *i* the discrete logarithm of *a* to base *g* and denote it by

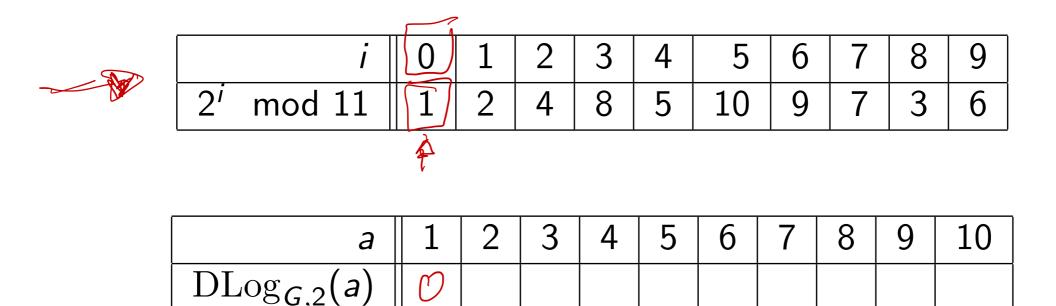
$$\mathrm{DLog}_{G,g}(a)$$

The discrete log function is the inverse of the exponentiation function:

$$DLog_{G,g}(g^i) = i$$
 for all $i \in \mathbb{Z}_m$
 $g^{DLog_{G,g}(a)} = a$ for all $a \in G$.

Discrete log

Let $G = \mathbf{Z}_{11}^* = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$, which is a cyclic group of order m = 10. We know that 2 is a generator, so $DLog_{G,2}(a)$ is the exponent $i \in \mathbf{Z}_{10}$ such that $2^i \mod 11 = a$.



rings fields Finding cyclic groups

Fact 1: Let p be a prime. Then \mathbf{Z}_p^* is cyclic.

Fact 2: Let G be any group whose order m = |G| is a prime number. Then G is cyclic.

Note: $|\mathbf{Z}_{p}^{*}| = p - 1$ is not prime, so Fact 2 doesn't imply Fact 1!

Fact 3: If F is a finite field then $F \setminus \{0\}$ is a cyclic group under the multiplicative operation of F.

Computing discrete logs

Let $G = \langle g \rangle$ be a cyclic group of order *m* with generator $g \in G$.

Input: $X \in G$ Desired Output: $DLog_{G,g}(X)$

That is, we want x such that $g^{x} = X$.

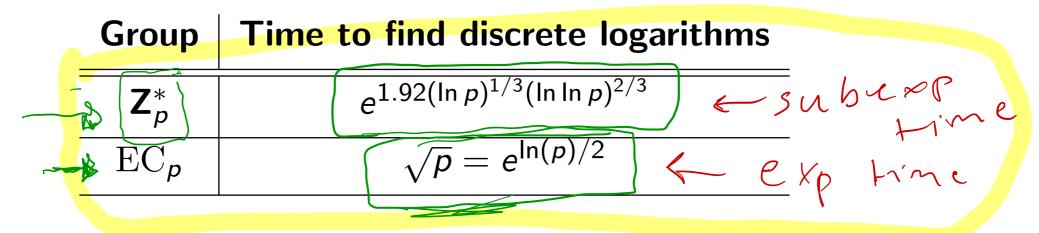
for $x = 0, \dots, m-1$ do if $g^x = X$ then return x

Is this a good algorithm? It is

- Correct (always returns the right answer), but
- SLOW!

Run time is O(m) exponentiations, which for $G = \mathbf{Z}_p^*$ is O(p), which is exponential time and prohibitive for large p.

Computing discrete logs



Here p is a prime and EC_p represents an elliptic curve group of order p.

Note: In the first case the actual running time is $e^{1.92(\ln q)^{1/3}(\ln \ln q)^{2/3}}$ where q is the largest prime factor of p-1.

In neither case is a polynomial-time algorithm known.

This (apparent, conjectured) computational intractability of the discrete log problem makes it the basis for cryptographic schemes in which breaking the scheme requires discrete log computation.

Computing discrete logs

In \mathbf{Z}_p^* :

p in bits	When
431	2005
530	2007
596	2014

For elliptic curves, current record seems to be for |p| around 113.

Zh

[p] = 1024

Elliptic curve groups

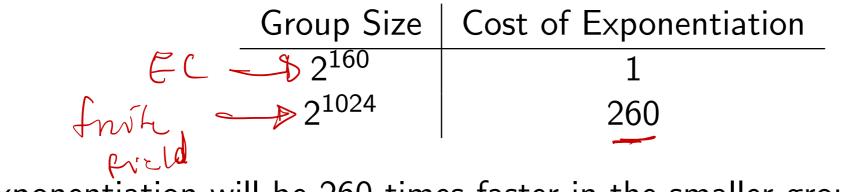
Say we want 80-bits of security, meaning discrete log computation by the best known algorithm should take time 2^{80} . Then

- If we work in \mathbf{Z}_p^* (p a prime) we need to set $|\mathbf{Z}_p^*| = p 1 \approx 2^{1024}$
- But if we work on an elliptic curve group of prime order p then it suffices to set $p \approx 2^{160}$.

Why? Because

$$e^{1.92(\ln 2^{1024})^{1/3}(\ln \ln 2^{1024})^{2/3}} \approx \sqrt{2^{160}} \neq 2^{80}$$

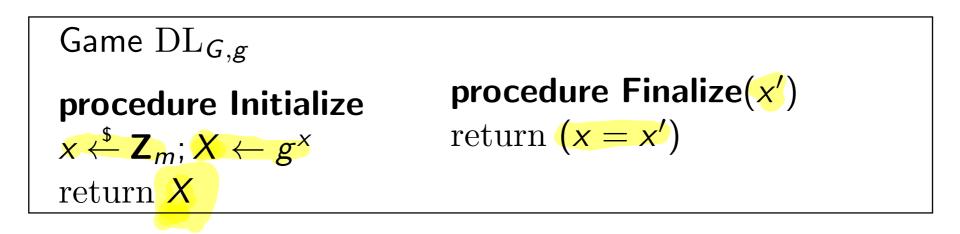
But now:



Exponentiation will be 260 times faster in the smaller group!

Discrete log game

Let $G = \langle g \rangle$ be a cyclic group of order *m*, and *A* an adversary.



The dl-advantage of A is

$$\mathsf{Adv}^{\mathrm{dl}}_{G,g}(A) = \mathsf{Pr}\left[\mathrm{DL}^{A}_{G,g} \Rightarrow \mathsf{true}\right]$$

Computational Diffie-Hellman

Let $G = \langle g \rangle$ be a cyclic group of order *m* with generator $g \in G$. The CDH problem is:

Input: $X = g^{x} \in G$ and $Y = g^{y} \in G$ Desired Output: $g^{xy} \in G$

This underlies security of the DH Secret Key Exchange Protocol.

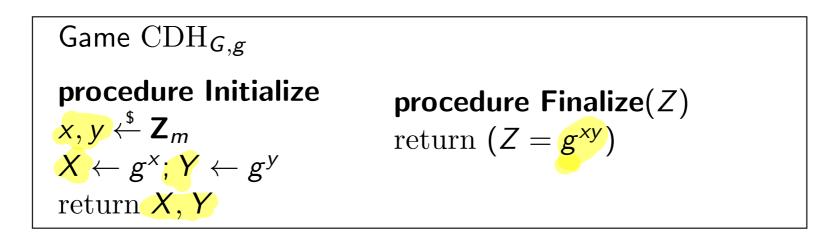
Obvious algorithm: $x \leftarrow \text{DLog}_{G,g}(X)$; Return Y^x .

So if one can compute discrete logarithms then one can solve the CDH problem.

The converse is an open question. Potentially, there is a way to quickly solve CDH that avoids computing discrete logarithms. But no such way is known.

CDH Game

Let $G = \langle g \rangle$ be a cyclic group of order *m*, and *A* an adversary.



The cdh-advantage of A is

$$\mathsf{Adv}_{G,g}^{\mathrm{cdh}}(A) = \Pr\left[\mathrm{CDH}_{G,g}^A \Rightarrow \mathsf{true}\right]$$

• Need large groups over which schemes can work

- Need large groups over which schemes can work
- We need generators in these groups

- Need large groups over which schemes can work
- We need generators in these groups
- How to do this efficiently?

To find a suitable prime p and generator g of Z_p^* :

- Pick numbers *p* at random until *p* is a prime of the desired form
- Pick elements g from \mathbf{Z}_{p}^{*} at random until g is a generator

For this to work we need to know

- How to test if *p* is prime
- How many numbers in a given range are primes of the desired form
- How to test if g is a generator of \mathbf{Z}_p^* when p is prime
- How many elements of \mathbf{Z}_{p}^{*} are generators

Finding primes

Desired: An efficient algorithm that given an integer k returns a prime $p \in \{2^{k-1}, \ldots, 2^k - 1\}$ such that q = (p - 1)/2 is also prime.

Alg Findprime(k) do $p \stackrel{\$}{\leftarrow} \{2^{k-1}, \dots, 2^k - 1\}$ until (p is prime and (p - 1)/2 is prime) return p

- How do we test primality?
- How many iterations do we need to succeed?

Primality testing

Given: integer NOutput: TRUE if N is prime, FALSE otherwise.

for $i = 2, ..., \lceil \sqrt{N} \rceil$ do if $N \mod i = 0$ then return false return true

Density of primes

Let $\pi(N)$ be the number of primes in the range $1, \ldots, N$. So if $p \leftarrow \{1, \ldots, N\}$ then

$$\Pr[p \text{ is a prime}] = \frac{\pi(N)}{N}$$

Fact:
$$\pi(N) \sim \frac{N}{\ln(N)}$$

So
 $\Pr[p \text{ is a prime}] \sim \frac{1}{\ln(N)}$

If $N = 2^{1024}$ this is about 0.001488 $\approx 1/1000$.

So the number of iterations taken by our algorithm to find a prime is not too big.

DH Secret Key Exchange

The following are assumed to be public: A large prime p and a generator g of \mathbf{Z}_{p}^{*} .

•
$$Y^{x} = (g^{y})^{x} = g^{xy} = (g^{x})^{y} = X^{y}$$
 modulo *p*, so $K_{A} = K_{B}$

Adversary is faced with the CDH problem.

DH Secret Key Exchange

- How do we pick a large prime *p*, and how large is large enough?
- What does it mean for g to be a generator modulo p?
- How do we find a generator modulo *p*?
- How can Alice quickly compute $x \mapsto g^x \mod p$?
- How can Bob quickly compute $y \mapsto g^y \mod p$?
- Why is it hard to compute $(g^x \mod p, g^y \mod p) \mapsto g^{xy} \mod p$?
- . . .