# Foundations of Applied Cryptography <br> Adam O'Neill 

Based on http://cseweb.ucsd.edu/~mihir/cse207/


## Where we are

- We've seen a lower-level primitive (blockciphers) and a higher-level primitive (symmetric encryption)


## Where we are

- We've seen a lower-level primitive (blockciphers) and a higher-level primitive (symmetric encryption)
- Now let's see another lower-level primitive, hash functions


## Hash functions

- MD: MD4, MD5, MD6
- SHA2: SHA1, SHA224, SHA256, SHA384, SHA512
- SHA3: SHA3-224, SHA3-256, SHA3-384, SHA3-512

Their primary purpose is collision-resistant data compression, but they have many other purposes and properties as well ... A hash function is often treated like a magic wand ...

## Some uses:

- Certificates: How you know www.snapchat.com really is Snapchat
- Bitcoin
- Data authentication with HMAC: TLS, ...


## Collisions

A collision for a function $h: D \rightarrow\{0,1\}^{n}$ is a pair $x_{1}, x_{2} \in D$ of points such that

- $h\left(x_{1}\right)=h\left(x_{2}\right)$, and
- $x_{1} \neq x_{2}$.

If $|D|>2^{n}$ then the pigeonhole principle tells us that there must exist a collision for $h$.


We want that even though collisions exist, they are hard to find.

## The Formalism

The formalism considers a family $H$ : $\operatorname{Keys}(H) \times D \rightarrow R$ of functions, meaning for each $K \in \operatorname{Keys}(H)$ we have a map $H_{K}: D \rightarrow R$ defined by $H_{K}(x)=H(K, x)$.

| Game $\mathrm{CR}_{H}$ | procedure Finalize $\left(x_{1}, x_{2}\right)$ |
| :--- | :--- |
| procedure Initialize | If $\left(x_{1}=x_{2}\right)$ then return false |
| $K \leftarrow \operatorname{Keys}(H)$ | If $\left(x_{1} \notin D\right.$ or $\left.x_{2} \notin D\right)$ then return false |
| Return $K$ | Return $\left(H_{K}\left(x_{1}\right)=H_{K}\left(x_{2}\right)\right)$ |

Let

$$
\operatorname{Adv}_{H}^{\mathrm{cr}}(A)=\operatorname{Pr}\left[\mathrm{CR}_{H}^{A} \Rightarrow \operatorname{true}\right] .
$$

## The Formalism

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| $K \stackrel{\$}{\leftarrow}$ Keys | If $\left(x_{1} \notin D\right.$ or $\left.x_{2} \notin D\right)$ then return false |
| Return $K$ | Return $\left(H_{K}\left(x_{1}\right)=H_{K}\left(x_{2}\right)\right)$ |

The Return statement in Initialize means that the adversary $A$ gets $K$ as input. The key $K$ here is not secret!

Adversary $A$ takes $K$ and tries to output a collision $x_{1}, x_{2}$ for $H_{K}$.
A's output is the input to Finalize, and the game returns true if the collision is valid.

## Example

Let $N=2^{256}$ and define

$$
H: \underbrace{\{1, \ldots, N\}}_{\text {Keys }} \times \underbrace{\{0,1,2, \ldots\}}_{D} \rightarrow \underbrace{\{0,1, \ldots, N-1\}}_{R}
$$

by

$$
H(K, x)=(x \bmod K) .
$$

Q: Is $H$ collision resistant?

## Another example

Let $E:\{0,1\}^{k} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$ be a blockcipher. Let $H:\{0,1\}^{k} \times\{0,1\}^{2 n} \rightarrow\{0,1\}^{n}$ be defined by

Alg $H(K, x[1] \times[2])$
$y \leftarrow E_{K}\left(E_{K}(x[1]) \oplus x[2]\right) ;$ Return $y$

Let's show that $H$ is not collision-resistant by giving an efficient adversary $A$ such that $\operatorname{Adv}_{H}^{\text {cr }}(A)=1$.

## Another example

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Let's show that $H$ is not collision-resistant by giving an efficient adversary $A$ such that $\boldsymbol{A d v}_{H}^{\mathrm{cr}}(A)=1$.

Idea: Pick $x_{1}=x_{1}[1] x_{1}[2]$ and $x_{2}=x_{2}[1] x_{2}[2]$ so that

$$
E_{K}\left(x_{1}[1]\right) \oplus x_{1}[2]=E_{K}\left(x_{2}[1]\right) \oplus x_{2}[2]
$$

## Another example

Alg $H(K, x[1] \times[2])$
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$$
E_{K}\left(x_{1}[1]\right) \oplus x_{1}[2]=E_{K}\left(x_{2}[1]\right) \oplus x_{2}[2]
$$

adversary $A(K)$
$x_{1} \leftarrow 0^{n} 1^{n} ; x_{2}[2] \leftarrow 0^{n} ; x_{2}[1] \leftarrow E_{K}^{-1}\left(E_{K}\left(x_{1}[1]\right) \oplus x_{1}[2] \oplus x_{2}[2]\right)$
return $x_{1}, x_{2}$

Then $\operatorname{Adv}_{H}^{\mathrm{cr}}(A)=1$ and $A$ is efficient, so $H$ is not CR.
Note how we used the fact that $A$ knows $K$ and the fact that $E$ is a blockcipher!

## Keyless Hash Functions

We say that $H$ : Keys $\times D \rightarrow R$ is keyless if Keys $=\{\varepsilon\}$ consists of just one key, the empty string.

In this case we write $H(x)$ in place of $H(\varepsilon, x)$ or $H_{\varepsilon}(x)$.
Practical hash functions like the MD, SHA2 and SHA3 series are keyless.

## SHA256

The hash function SHA256: $\{0,1\}^{<2^{64}} \rightarrow\{0,1\}^{256}$ is keyless, with

- Inputs being strings $X$ of any length strictly less than $2^{64}$
- Outputs always having length 256.

Alg SHA256(X) $\quad / /|X|<2^{64}$
$M \leftarrow \operatorname{shapad}(X) \quad / /|M| \bmod 512=0$
$M^{(1)} M^{(2)} \ldots M^{(n)} \leftarrow M \quad / /$ Break $M$ into 512 bit blocks
$H_{0}^{(0)} \leftarrow 6 \mathrm{a} 09 \mathrm{e} 6677 ; H_{1}^{(0)} \leftarrow \mathrm{bb} 67 \mathrm{ae} 85 ; \cdots ; H_{7}^{(0)} \leftarrow 5 \mathrm{be} 0 \mathrm{cd19}$
$H^{(0)} \leftarrow H_{1}^{(0)} H_{2}^{(0)} \cdots H_{7}^{(0)} \quad / /\left|H_{i}^{(0)}\right|=32,\left|H^{(0)}\right|=256$
For $i=1, \ldots, n$ do $H^{(i)} \leftarrow \operatorname{sha} 256\left(M^{(i)} \| H^{(i-1)}\right)$
Return $H^{(n)}$
sha256: $\{0,1\}^{512+256} \rightarrow\{0,1\}^{256}$ is the compression function.

## Underlying blockcipher

Alg $\mathrm{E}^{\text {sha256 }}(x, v) \quad / / x$ is a 512-bit key, $v$ is a 256-bit input $x_{0} \cdots x_{15} \leftarrow x \quad / /$ Break $x$ into 32-bit words
For $t=0, \ldots, 15$ do $W_{t} \leftarrow x_{t}$
For $t=16, \ldots, 63$ do $W_{t} \leftarrow \sigma_{1}\left(W_{t-2}\right)+W_{t-7}+\sigma_{0}\left(W_{t-15}\right)+W_{t-16}$
$v_{0} \cdots v_{7} \leftarrow v \quad / /$ Break $v$ into 32-bit words
For $j=0, \ldots, 7$ do $S_{j} \leftarrow v_{j} \quad / /$ Initialize 256-bit state $S$
Fot $t=0, \ldots, 63$ do $/ / 64$ rounds
$T_{1} \leftarrow S_{7}+\gamma_{1}\left(S_{4}\right)+\operatorname{Ch}\left(S_{4}, S_{5}, S_{6}\right)+C_{t}+W_{t}$ $T_{2} \leftarrow \gamma_{0}\left(S_{0}\right)+\operatorname{Maj}\left(S_{0}, S_{1}, S_{2}\right)$
$S_{7} \leftarrow S_{6} ; S_{6} \leftarrow S_{5} ; S_{5} \leftarrow S_{4} ; S_{4} \leftarrow S_{3}+T_{1}$
$S_{3} \leftarrow S_{2} ; S_{2} \leftarrow S_{1} ; S_{1} \leftarrow S_{0} ; S_{0} \leftarrow T_{1}+T_{2}$
$S \leftarrow S_{0} \cdots S_{7}$
Return $S$ // 256-bit output

## Internals

On the previous slide:

- $\sigma_{0}, \sigma_{1}, \gamma_{0}, \gamma_{1}, \mathrm{Ch}$, Maj are functions not detailed here.
- $C_{1}=428 \mathrm{a} 2 \mathrm{f} 98, C_{2}=71374491, \ldots, C_{63}=\mathrm{c} 67178 \mathrm{f} 2$ are constants, where $C_{i}$ is the first 32 bits of the fractional part of the cube root of the $i$-th prime.


## Usage

Uses include hashing the data before signing in creation of certificates, data authentication with HMAC, key-derivation, Bitcoin, ...

These will have to wait, so we illustrate another use, the hashing of passwords.

## Password authentication

- Client $A$ has a password $P W$ that is also stored by server $B$
- $A$ authenticates itself by sending $P W$ to $B$ over a secure channel (TS)

$$
A^{P W} \xrightarrow{P W} B^{P W}
$$

Problem: The password will be found by an attacker who compromises the server.

These types of server compromises are common and often in the news:
Yahoo, Equifax, ...

## 

- Client $A$ has a password $P W$ and server stores $\overline{P W}=H(P W)$.
- $A$ sends $P W$ to $B$ (over a secure channel) and $B$ checks that $H(P W)=\overline{P W}$

$$
A^{P W} \xrightarrow{P W} B^{\overline{P W}}
$$

Server compromise results in attacker getting $\overline{P W}$ which should not reveal $P W$ as long as $H$ is one-way, which is a consequence of collision-resistance.

But we will revisit this when we consider dictionary attacks!
This is how client authentication is done on the Internet, for example login to gmail.com.

## Birthday attack

Let $H:\{0,1\}^{k} \times D \rightarrow\{0,1\}^{n}$ be a family of functions with $|D|>2^{n}$. The $q$-trial birthday attack is the following adversary $A_{q}$ for game $\mathrm{CR}_{H}$ :
adversary $A_{q}(K)$
for $i=1, \ldots, q$ do $x_{i}{ }^{\S} D ; y_{i} \leftarrow H_{K}\left(x_{i}\right)$
if $\exists i, j\left(i \neq j\right.$ and $y_{i}=y_{j}$ and $\left.x_{i} \neq x_{j}\right)$ then return $x_{i}, x_{j}$
else return $\perp$
Interestingly, the analysis of this via the birthday problem is not trivial, but it shows that

$$
\operatorname{Adv}_{H}^{\mathrm{cr}}\left(A_{q}\right) \geq 0.3 \cdot \frac{q(q-1)}{2^{n}}
$$

So a collision can usually be found in about $q=\sqrt{2^{n}}$ trials.

## 

| Function | $n$ | $T_{B}$ |
| :--- | :--- | :--- |
| MD4 | 128 | $2^{64}$ |
| MD5 | 128 | $2^{64}$ |
| SHA1 | 160 | $2^{80}$ |
| SHA256 | 256 | $2^{128}$ |
| SHA512 | 512 | $2^{256}$ |
| SHA3-256 | 256 | $2^{128}$ |
| SHA3-512 | 512 | $2^{256}$ |

$T_{B}$ is the number of trials to find collisions via a birthday attack.
Design of hash functions aims to make the birthday attack the best collision-finding attack, meaning it is desired that there be no attack succeeding in time much less than $T_{B}$.

## Compression Functions

A compression function is a family $h:\{0,1\}^{k} \times\{0,1\}^{[b+n]} \rightarrow\{0,1\}^{n}$ of hash functions whose inputs are of a fixed size $b+n$, where $b$ is called the block size.
E.g. $b=512$ and $n=160$, in which case

$$
\left.h:\{0,1\}^{k} \times\{0,1\}\right\}^{[672} \rightarrow\{0,1\}^{160}
$$



## MD Transform

Let $h:\{0,1\}^{k} \times\{0,1\}^{b+n} \rightarrow\{0,1\}^{n}$ be a compression function with block length $b$. Let $D$ be the set of all strings of at most $2^{b}-1$ blocks.

The MD transform builds from $h$ a family of functions

$$
H:\{0,1\}^{k} \times D \rightarrow\{0,1\}^{n}
$$

such that: If $h$ is CR , then so is $H$.
The problem of hashing long inputs has been reduced to the problem of hashing fixed-length inputs.

There is no need to try to attack $H$. You won't find a weakness in it unless $h$ has one. That is, $H$ is guaranteed to be secure assuming $h$ is secure.

For this reason, MD is the design used in many hash functions, including the MD and SHA2 series. SHA3 uses a different paradigm.

## MD Setup

Given: Compression function $h:\{0,1\}^{k} \times\{0,1\}^{b+n} \rightarrow\{0,1\}^{n}$.
Build: Hash function $H:\{0,1\}^{k} \times D \rightarrow\{0,1\}^{n}$.
Since $M \in D$, its length $\ell=|M|$ is a multiple of the block length $b$. We let $\|M\|_{b}=|M| / b$ be the number of $b$-bit blocks in $M$, and parse as

$$
M[1] \ldots M[\ell] \leftarrow M
$$

Let $\langle\ell\rangle$ denote the $b$-bit binary representation of $\ell \in\left\{0, \ldots, 2^{b}-1\right\}$.

## The Transform

Given: Compression function $h:\{0,1\}^{k} \times\{0,1\}^{b+n} \rightarrow\{0,1\}^{n}$.
Build: Hash function $H:\{0,1\}^{k} \times D \rightarrow\{0,1\}^{n}$.
Algorithm $H_{K}(M)$
$m \leftarrow\|M\|_{b} ; M[m+1] \leftarrow\langle m\rangle ; V[0] \leftarrow 0^{n}$
For $i=1, \ldots, m+1$ do $v[i] \leftarrow h_{K}(M[i]| | V[i-1])$
Return $V[m+1]$


## MD preserves CR

Theorem: Let $h:\{0,1\}^{k} \times\{0,1\}^{b+n} \rightarrow\{0,1\}^{n}$ be a family of functions and let $H:\{0,1\}^{k} \times D \rightarrow\{0,1\}^{n}$ be obtained from $h$ via the MD transform. Given a cr-adversary $A_{H}$ we can build a cr-adversary $A_{h}$ such that

$$
\mathbf{A d v} \mathbf{v}_{H}^{\mathrm{cr}}\left(A_{H}\right) \leq \mathbf{A d v}_{h}^{\mathrm{cr}}\left(A_{h}\right)
$$

and the running time of $A_{h}$ is that of $A_{H}$ plus the time for computing $h$ on the outputs of $A_{H}$.

Implication:

$$
\begin{aligned}
h \mathrm{CR} & \Rightarrow \boldsymbol{A d v}_{h}^{\mathrm{cr}}\left(A_{h}\right) \text { small } \\
& \Rightarrow \boldsymbol{\operatorname { A d v }}_{H}^{\mathrm{cr}}\left(A_{H}\right) \text { small } \\
& \Rightarrow H \mathrm{CR}
\end{aligned}
$$

## Wanzed: CF from block cipher Compression functions

Let $E:\{0,1\}^{b} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$ be a block cipher. Let us define keyless compression function $h:\{0,1\}^{b+n} \rightarrow\{0,1\}^{n}$ by

$$
h(x \| v)=E_{x}(v)
$$

Question: Is $h$ collision resistant?
We seek an adversary that outputs distinct $x_{1}\left\|v_{1}, x_{2}\right\| v_{2}$ satisfying

$$
E_{x_{1}}\left(v_{1}\right)=E_{x_{2}}\left(v_{2}\right) .
$$

## Compression functions

Let $E:\{0,1\}^{b} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$ be a block cipher. Let us define keyless compression function $h:\{0,1\}^{b+n} \rightarrow\{0,1\}^{n}$ by

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$$
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$$

Answer: NO, $h$ is NOT collision-resistant, because the following adversary $A$ has $\operatorname{Adv}_{h}^{\mathrm{cr}}(A)=1$ :
adversary $A$
$x_{1} \leftarrow 0^{b} ; x_{2} \leftarrow 1^{b} ; v_{1} \leftarrow 0^{n} ; y \leftarrow E_{x_{1}}\left(v_{1}\right) ; v_{2} \leftarrow E_{x_{2}}^{-1}(y)$
Return $x_{1}\left\|v_{1}, x_{2}\right\| v_{2}$

## Compression functions

Let $E:\{0,1\}^{b} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$ be a block cipher. Let us define keyless compression function $h:\{0,1\}^{b+n} \rightarrow\{0,1\}^{n}$ by

$$
h(x \| v)=E_{x}(v) \oplus v .
$$

Question: Is $h$ collision resistant?


## Compression functions

Let $E:\{0,1\}^{b} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$ be a block cipher. Let us define keyless compression function $h:\{0,1\}^{b+n} \rightarrow\{0,1\}^{n}$ by

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h(x \| v)=E_{x}(v) \oplus v .
$$

Question: Is $h$ collision resistant?

We seek an adversary that outputs distinct $x_{1}\left\|v_{1}, x_{2}\right\| v_{2}$ satisfying

$$
E_{x_{1}}\left(v_{1}\right) \oplus v_{1}=E_{x_{2}}\left(v_{2}\right) \oplus v_{2} .
$$

Answer: Unclear how to solve this equation, even though we can pick all four variables.

## Davies-Meyer

Let $E:\{0,1\}^{b} \times\{0,1\}^{n} \rightarrow\{0,1\}^{n}$ be a block cipher. Let us define keyless compression function $h:\{0,1\}^{b+n} \rightarrow\{0,1\}^{n}$ by

$$
h(x \| v)=E_{x}(v) \oplus v
$$

This is called the Davies-Meyer method and is used in the MD and SHA2 series of hash functions, modulo that the $\oplus$ may be replaced by addition.

In particular the compression function sha256 of SHA256 is underlain in this way by the block cipher $E^{\text {sha } 256}:\{0,1\}^{512} \times\{0,1\}^{256} \rightarrow\{0,1\}^{256}$ that we saw earlier, with the $\oplus$ being replaced by component-wise addition modulo $2^{32}$.

## Cryptanalytic attacks

So far we have looked at attacks that do not attempt to exploit the structure of $h$.

Can we get better attacks if we do exploit the structure?
Ideally not, but hash functions have fallen short!

## Cryptanalytic Attacks

| When | Against | Time | Who |
| :--- | :--- | :--- | :--- |
| 1993,1996 | md5 | $2^{16}$ | $[$ dBBo,Do] |
| 2004 | MD5 | 1 hour | [WaFeLaYu] |
| 2005,2006 | MD5 | 1 minute | [LeWadW,KI] |
| 2005 | SHA1 | $2^{69}$ | $[$ WaYiYu $]$ |
| 2017 | SHA1 | $2^{63.1}$ | [SBKAM] |

Collisions found in compression function md5 of MD5 did not yield collisions for MD5, but collisions for MD5 are now easy.
https://shattered.io/.
2017: Google, Microsoft and Mozilla browsers stop accepting SHA1-based certificates.

The SHA256 and SHA512 hash functions are still viewed as secure, meaning the best known attack is the birthday attack.

## SHA1



We have broken SHA-1 in practice.
This industry cryptographic hash function standard is used for digital signatures and file integrity verification, and protects a wide spectrum of digital assets, including credit card transactions, electronic documents, open-source software repositories and software updates.

It is now practically possible to craft two colliding PDF files and obtain a SHA-1 digital signature on the first PDF file which can also be abused as a valid signature on the second PDF file.
For example, by crafting the two colliding PDF files

## Collision attack: same hashes



Good doc

$3713 . .42$
-


## Flame exploited MD5

## Crypto breakthrough shows Flame was designed by world-class scientists

The spy malware achieved an attack unlike any cryptographers have seen before.
DAN GOODIN - 6/7/2012, 11:20 AM


Enlarge / An overview of a chosen-prefix collision. A similar technique was used by the Flame espionage malware that targeted Iran. The scientific novelty of the malware underscored the sophistication of malware sponsored by wealthy nation states.


The Flame espionage malware that infected computers in Iran achieved mathematic breakthroughs that could only have been accomplished by world-class cryptographers, two of the world's foremost cryptography experts said.

"We have confirmed that Flame uses a yet unknown MD5 chosenprefix collision attack," Marc Stevens wrote in an e-mail posted to a cryptography discussion group earlier this week. "The collision attack itself is very interesting from a scientific viewpoint, and there are already some practical implications." Benne de Weger, a Stevens colleague and another expert in cryptographic collision

Flame
Revealed: Stuxnet "beta's" devious alternate attack on Iran nuke program
Massive espionage malware Massive espionage malw
targeting governments targeting governments
undetected for 5 years

Iranian computers targeted by new malicious data wiper program

New in-the-wild malware

## The tightrope

Why don't cryptographers build secure hash functions?
Cryptographers seem perfectly capable of building secure hash functions.
The difficulty is that they strive for VERY HIGH SPEED.
SHA256 can run at 3.5 cycles/byte (eBACS: 2018 Intel Core i3-8121U, https://bench.cr.yp.to/results-hash.html) or 0.6 ns per byte, and hardware will make it even faster.

It is AMAZING that one gets ANY security at such low cost.
If you allow cryptographers a 10x slowdown, they can up rounds by 10x and designs seem almost impossible to break.

## SHA3

National Institute for Standards and Technology (NIST) held a world-wide competition to develop a new hash function standard.

Contest webpage:
http://csrc.nist.gov/groups/ST/hash/index.html
Requested parameters:

- Design: Family of functions with 224, 256, 384, 512 bit output sizes
- Security: CR, one-wayness, near-collision resistance, others...
- Efficiency: as fast or faster than SHA2-256


## SHA3

Submissions: 64
Round 1: 51
Round 2: 14: BLAKE, Blue Midnight Wish, CubeHash, ECHO, Fugue, Grostl, Hamsi, JH, Keccak, Luffa, Shabal, SHAvite-3, SIMD, Skein.

Finalists: 5: BLAKE, Grostl, JH, Keccak, Skein.
SHA3: 1: Keccak

## 


$f:\{0,1\}^{r+c} \rightarrow\{0,1\}^{r+c}$ is a (public, invertible!) permutation.
$d$ is the number of output bits, and $c=2 d$.
SHA3 does not use the MD paradigm used by the MD and SHA2 series.
Shake( $M, d$ )—Extendable-output function, returning any given number $d$ of bits.

A Hierarchy

- Universal hash functions
- Target collion-resistant hash functions
- Collision -resistant hash functions

Universal- Adversom does not get the cay

$$
\begin{array}{lll}
(x, y) \leftrightarrows H_{i} & k & H_{k}(x)= \\
k=x \in H_{p} & p(x) & H_{k}(y)
\end{array}
$$

## Constructions of UHF

We start with a UHF construction using polynomials modulo a prime. Let $\ell$ be a (poly-bounded) length parameter and let $p$ be a prime. We define a hash function $H_{\text {poly }}$ that hashes a message $m \in \mathbb{Z}_{p}^{\leq \ell}$ to a single element $t \in \mathbb{Z}_{p}$. The key space is $\mathcal{K}:=\mathbb{Z}_{p}$.

Let $m$ be a message, so $m=\left(a_{1}, a_{2}, \ldots, a_{v}\right) \in \mathbb{Z}_{p}^{\leq \ell}$ for some $0 \leq v \leq \ell$. Let $k \in \mathbb{Z}_{p}$ be a key. The hash function $H_{\text {poly }}(k, m)$ is defined as follows:

$$
\begin{equation*}
H_{\mathrm{poly}}\left(k,\left(a_{1}, \ldots, a_{v}\right)\right):=k^{v}+a_{1} k^{v-1}+a_{2} k^{v-2}+\cdots+a_{v-1} k+a_{v} \in \mathbb{Z}_{p} \tag{7.3}
\end{equation*}
$$

