## **Transparency and Topic Models**

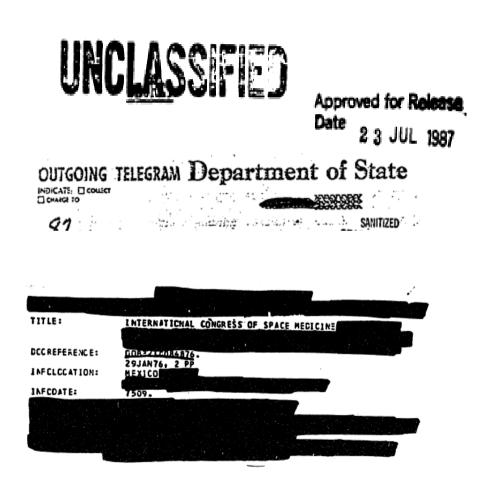
#### Hanna Wallach

(AKA "Logistic Aggression")

wallach@cs.umass.edu http://www.cs.umass.edu/~wallach/

## Transparency in the US

[ISOO, 2011]



- 52.8 million pages reviewed for declassification
- 26.7 million pages declassified
- \$11.36 billion spent on administration of the US government classification system

### **Declassified Documents**

[Gale, 2012]

#### NO FOREIGN DISCEM

CENTRAL INTELLIGENCE AGENCY WASHINGTON, D.C. 20505

29 January 1968

MEMORANDUM FOR: The Honorable Walt W. Rostow

Special Assistant to the President

The White House

SUBJECT

: Coal and Electric Power Shortages in Communist China

1. (Al Jenkins asked that we prepare the attached memorandum on shortages of coal and electric power in Communist China for your information. We have also included excerpts from individual reports of shortages to give you some feeling for the information available.

2. While there is no question that the shortages are widespread, it is extremely difficult to quantify the decline in industrial output caused by these shortages or by other effects of the Cultural Revolution.

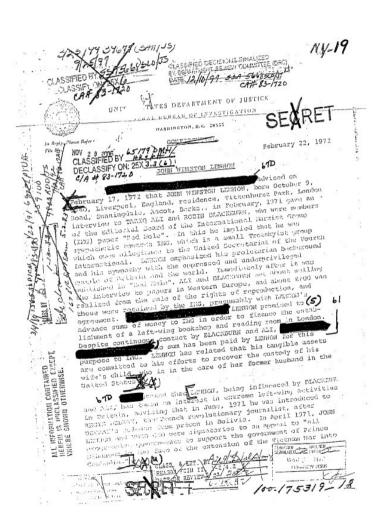
> EDWARD W. PROCTOR Acting Deputy Director for Intelligence

Attachment: Subject Report

DECLASSIFIED E.O. 12958, Sec. 3.6 NLI 92-193 By Cb , NARA Date 10-31-97

- Date issued
- Date declassified
- Document type
- Source institution
- Classification level
- Document text

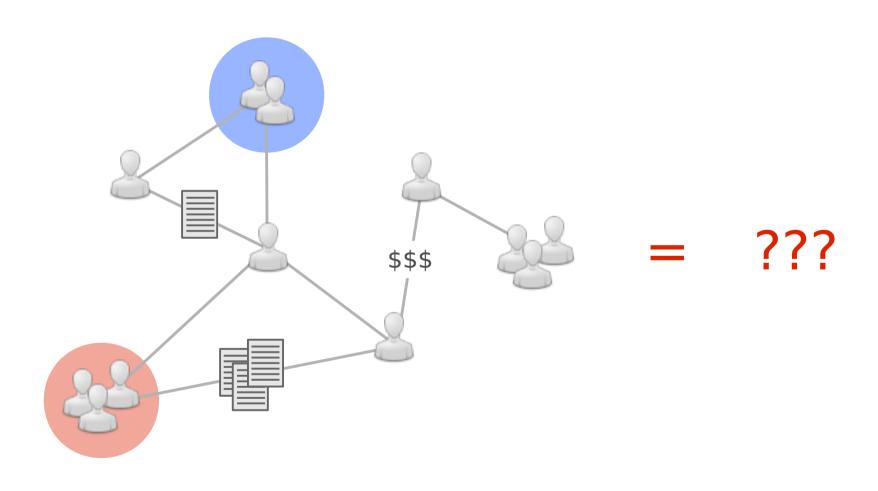
### **Text Tells All**



"The FBI has released a new cache of material on John Lennon. The file contains little, if any, new information about Lennon, though it does present some bizarre details, like a description of an antiwar activist trying to train a parrot to speak profanities.."

— NYT, 25 September 2007

## **Exploratory Analysis**



## **Topics and Words**

probability

gene
genome
dna
genetic
genes
sequence
human
protein
rna
genomic
...

ncbi
national
information
technology
database
molecular
biology
genbank
pubmed
references

computer
modeling
data
algorithm
analyses
method
model
information
efficient
complexity

patent
patenting
claims
intellectual
property
rights
ip
innovation
claim
claiming

- - -

## **Documents and Topics**

## **POLICY FORUM**

INTELLECTUAL PROPERTY

# Intellectual Property Landscape of the Human Genome

Kyle Jensen and Fiona Murray\*

ene patents are the subject of considerable debate and yet, like the term "gene" itself, the definition of what constitutes a gene patent is fuzzy (1). Nonetheless, gene patents that seem to cause the most controversy are those claiming human proteinencoding nucleotide sequences. This category is the subject of our analysis of the patent landscape of the human genome (2).

Critics describe the growth in gene sequence patents as an intellectual property (IP) "land grab" over a finite number of human genes (3, 4). They suggest that overly broad patents might block follow-on research (5). Alternatively, gene IP rights may become highly fragmented and cause an anticommons effect, imposing high costs on future innovators and underuse of genomic resources (6). Both situations, critics argue, would increase the costs of genetic diagnostics, slow the development of new medicines, stifle academic research,

tinguishing patents on the human genome from those on other species (23).

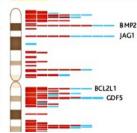
Our detailed map was developed using bioinformatics methods to compare nucleotide sequences claimed in U.S. patents to the human genome. Specifically, this map is based on a BLAST (24) homology search linking nucleotide sequences disclosed and claimed in granted U.S. utility patents to the set of protein-encoding messenger RNA transcripts contained in the National Center for Biotechnology Information (NCBI) RefSeq (25) and Gene (26) databases. This

method allows us to map gene-oriented IP rights to specific physical loci on the human genome (27) (see figure, right). Our approach is highly specific in its identification of patents that actually claim human nucleotide sequences. However, by limiting the search to patents using the canoni-

California, Isis Pharmaceuticals, the former SmithKline Beecham, and Human Genome Sciences. The top patent assignee is Incyte Pharmaceuticals/Incyte Genomics, whose IP rights cover 2000 human genes, mainly for use as probes on DNA microarrays.

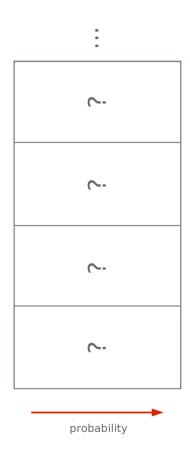
Although large expanses of the genome are unpatented, some genes have up to 20 patents asserting rights to various gene uses and manifestations including diagnostic uses, single nucleotide polymorphisms (SNPs), cell lines, and constructs containing the gene. The distribution of gene patents was nonuniform (see figure, page 240, top right): Specific regions of the genome are "hot spots" of heavy patent activity, usually with a one-gene-many-patents scenario (see figure, below). Although less common, there were cases in which a single patent claims many genes, typically as complementary DNA probes used on a microarray (see figure, p. 240, bottom).

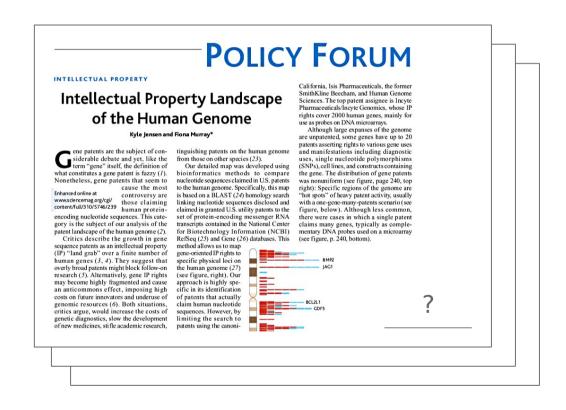




### **Latent Dirichlet Allocation**

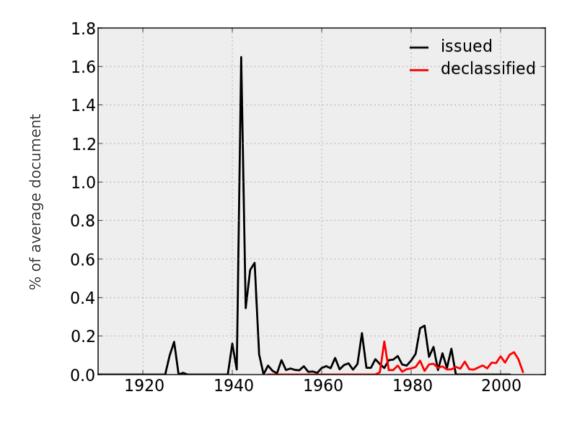
[Blei, Ng & Jordan, '03]





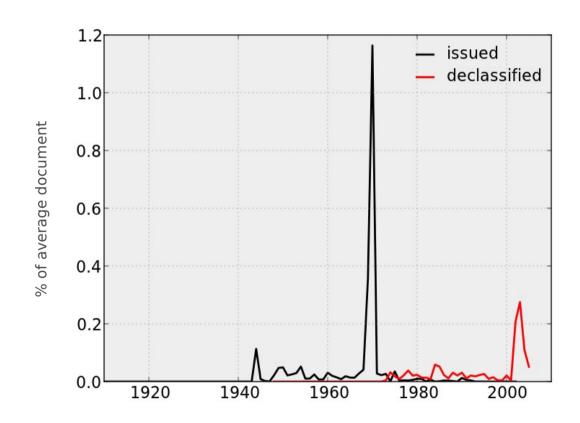
## **Inferred Topics**

church
catholic
pope
vatican
religious
bishop
cardinal
archbishop
priests
paul
...



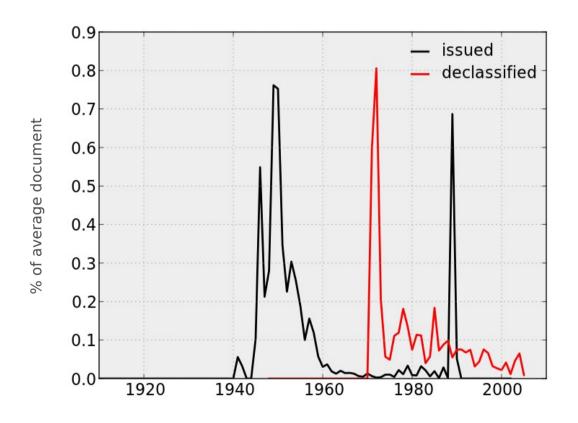
## **Inferred Topics**

draft
service
manpower
volunteer
selective
age
calls
volunteers
deferments
pay
...

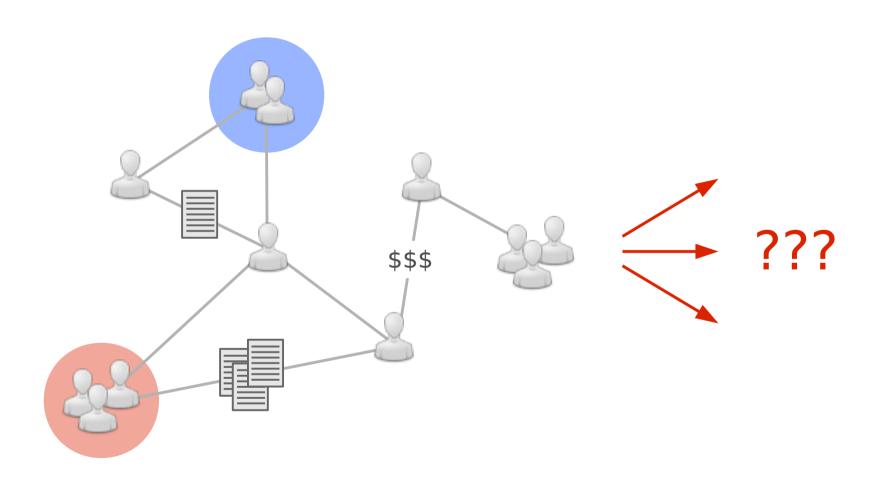


## **Inferred Topics**

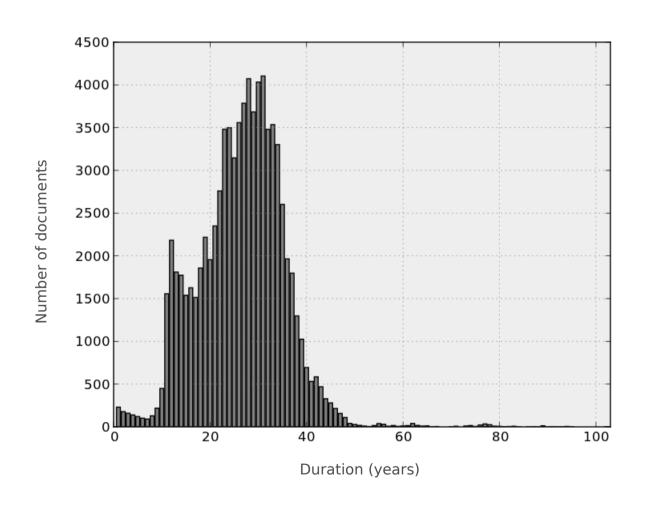
atomic
weapon
bombs
bombs
weapon
energy
thermonuclear
development
hydrogen
stockpile
...



## **Predictive Analysis**



## **Classification Durations**



## **Duration and Content**

HIS APPROACH WAS, "WELL, OF COURSE, WE KNOW THERE ISN'T ANYTHING TO THIS ALLEGED PHENOMENON (FLYING SAUCERS), BUT ON THE OTHER HAND". DURING HIS TALK SHKLOVSKIY AND CTHEF SCVIETS JOKED AND LAUGHED AND COVIOUSLY DID NOT TAKE THE SPEAKER'S REMARKS SERIOUSLY.



14 years

57 years



CENTRAL INTELLIGENCE GROUP

SOVIET CAPABILITIES FOR THE DEVELOPMENT AND PRODUCTION OF CERTAIN TYPES OF WEAPONS AND EQUIPMENT

1. Herein is presented an estimate of Soviet capabilities in the development and production, during the next ten years, of certain weapons and equipment, as follows:

### Thanks!

Acknowledgements: Bruce Desmarais, Rachel Shorey, Mr. Sprinkles

