

Data Streams & Communication Complexity

Lecture 3: Communication Complexity and Lower Bounds

Andrew McGregor, UMass Amherst

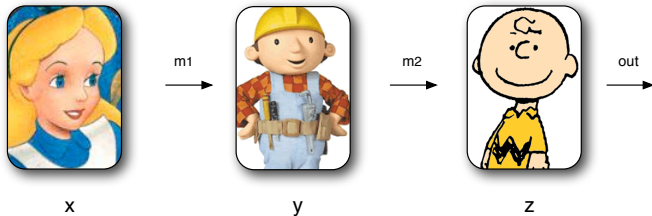


Basic Communication Complexity

- ▶ Three friends Alice, Bob, and Charlie each have some information x, y, z and Charlie wants to compute some function $P(x, y, z)$.

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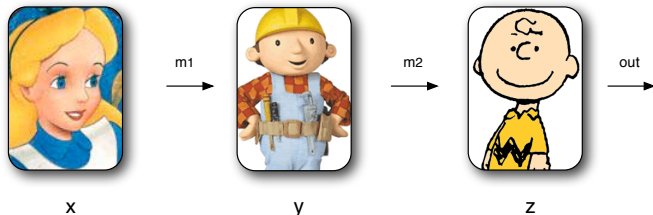
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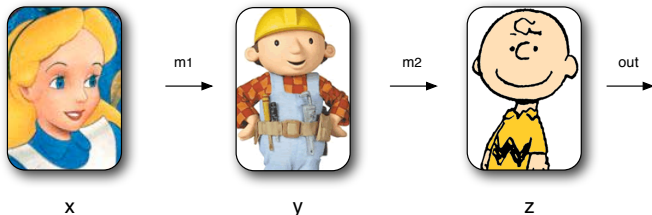
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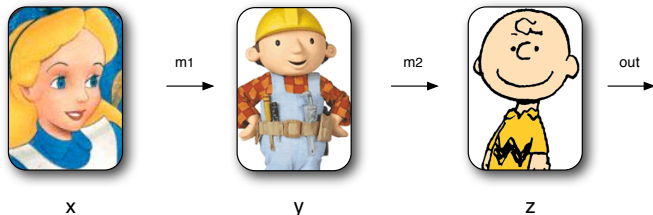
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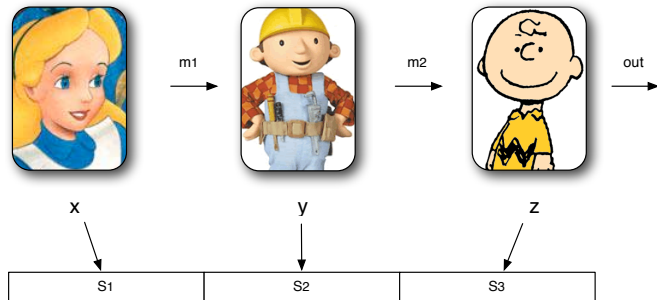


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 - ▶ **Deterministic:** $m_1(x), m_2(m_1, y), out(m_2, z) = P(x, y, z)$
 - ▶ **Random:** $m_1(x, r), m_2(m_1, y, r), out(m_2, z, r)$ where r is public random string. Require $\mathbb{P}_r[out(m_2, z, r) = P(x, y, z)] \geq 9/10$.

Stream Algorithms Yield Communication Protocols

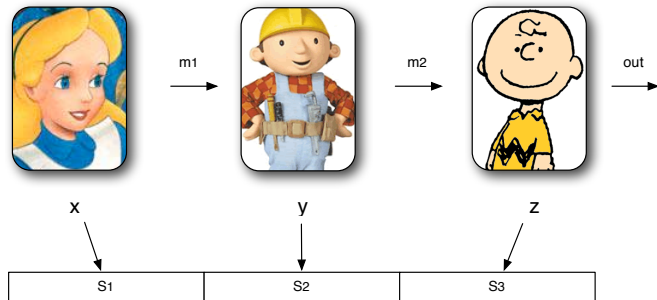
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- ▶ Let Q be some stream problem. Suppose there's a reduction $x \rightarrow S_1$, $y \rightarrow S_2$, $z \rightarrow S_3$ such that knowing $Q(S_1 \circ S_2 \circ S_3)$ solves $P(x, y, z)$.



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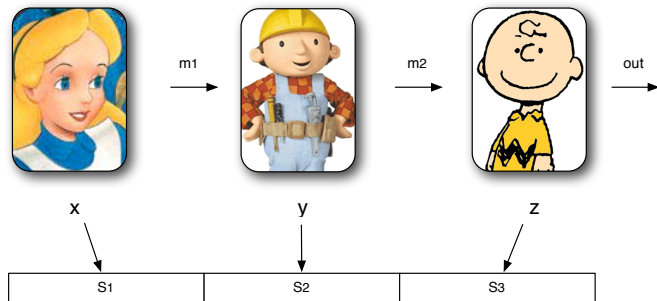
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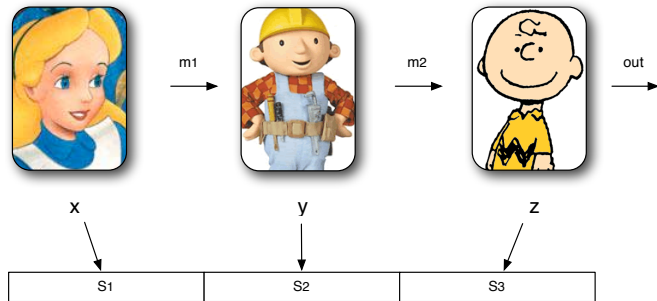
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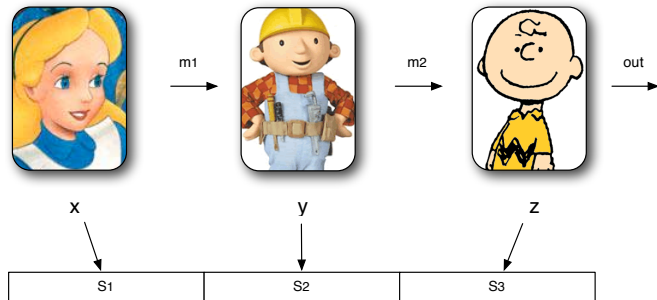
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- ▶ An s -bit stream algorithm \mathcal{A} for Q yields $2s$ -bit protocol for P : Alice runs \mathcal{A} of S_1 ; sends memory state to Bob;

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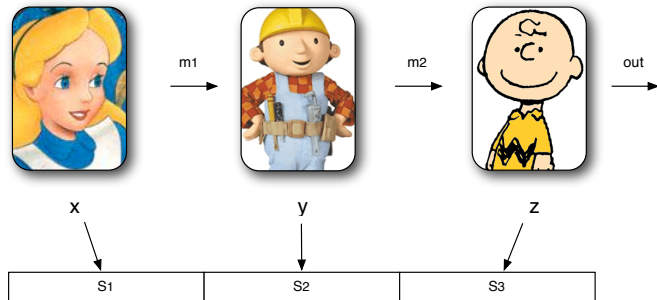
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- ▶ An s -bit stream algorithm \mathcal{A} for Q yields $2s$ -bit protocol for P : Alice runs \mathcal{A} on S_1 ; sends memory state to Bob; Bob instantiates \mathcal{A} with state and runs it on S_2 ; sends state to Charlie who finishes running \mathcal{A} on S_3 and infers $P(x, y, z)$ from $Q(S_1 \circ S_2 \circ S_3)$.

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- ▶ Had there been t players, the s -bit stream algorithm for Q would have lead to a $(t - 1)s$ bit protocol P .
- ▶ Hence, a lower bound of L on the communication required for P implies $s \geq L/(t - 1)$ bits of space are required to solve Q .

Outline of Lecture

Classic Problems and Reductions

Information Statistics Approach

Hamming Approximation

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Indexing

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$$x = (0 \ 1 \ 0 \ 1 \ 1 \ 0) \quad \text{and} \quad j = 3$$

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- ▶ How many bits need to be sent by Alice for Bob to determine $\text{INDEX}(x, j)$ with probability $9/10$? $\Omega(n)$

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$$\begin{aligned}x = (0 \quad 1 \quad 0 \quad 1 \quad 1 \quad 0) &\rightarrow \{2, 5, 6, 9, 11, 12\} \\j = 3 &\rightarrow \{0, 0, 0, 14, 14\}\end{aligned}$$

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- ▶ An s -space algorithm implies an s -bit protocol so

$$s = \Omega(n)$$

by the communication complexity of indexing.

Multi-Party Set-Disjointness

- ▶ Consider a $t \times n$ matrix where column has weight 0, 1, or t , e.g.,

$$C = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

and let $\text{DISJ}_t(C) = 1$ if there is an all 1's column and 0 otherwise.

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by the communication complexity of set-disjointness.

Hamming Approximation

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$$x = (0 \ 1 \ 0 \ 1 \ 1 \ 0)$$

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- ▶ An s -space $(1 + \epsilon)$ -approximation implies an s bit protocol so

$$s = \Omega(n) = \Omega(1/\epsilon^2)$$

by communication complexity of approximating Hamming distance.

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Classic Problems and Reductions

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- ▶ We'll first give some definitions and then run through an example.

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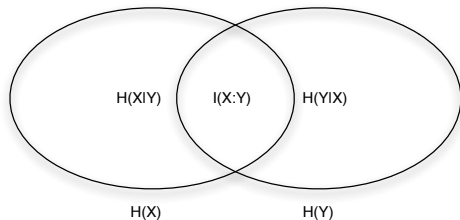
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- ▶ **Conditional Entropy:** $H(X|Y) := \mathbb{E}_{y \sim Y}[H(X|Y = y)] \leq H(X)$
- ▶ **Mutual Information:** $I(X : Y) = H(X) - H(X|Y)$

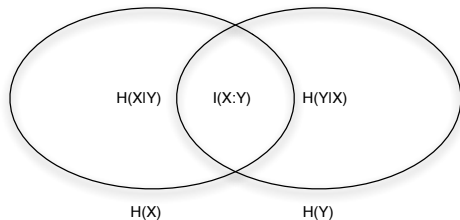
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- ▶ Let X and Y be random variables.
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- ▶ **Conditional Entropy:** $H(X|Y) := \mathbb{E}_{y \sim Y}[H(X|Y = y)] \leq H(X)$
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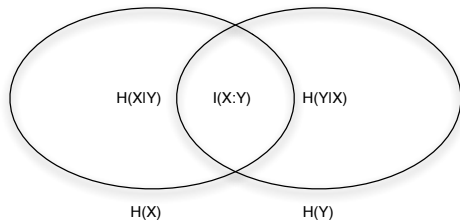
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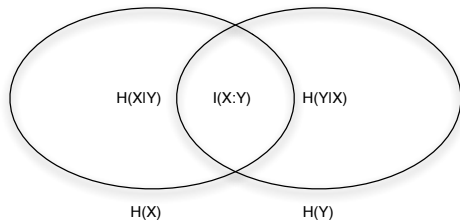
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▶ Useful Facts:

- ▶ If X takes at most 2^ℓ values, then $H(X) \leq \ell$.
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- ▶ Hence, $\text{cost}(\Pi_{\text{INDEX}}) \geq (1 - H_2(\delta))n$.

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- ▶ Express DISJ_t in terms of AND_t where $\text{AND}_t(x_1, \dots, x_t) = \prod_i x_i$:

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- ▶ Result follows by showing $\text{icost}(\Pi_{\text{AND}_t, i}|D) = \Omega(1/t)$.

Outline

Classic Problems and Reductions

Information Statistics Approach

Hamming Approximation

Hamming Approximation Lower Bound

Some communication results can be proved via a reduction from other communication results.

Theorem

If Alice and Bob have $x, y \in \{0, 1\}^n$ and Bob wants to determine $\Delta(x, y)$ up to $\pm\sqrt{n}$ with probability $9/10$, then Alice must send $\Omega(n)$ bits.

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- ▶ Repeat $n = 25t/c^2$ times to construct

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- ▶ Hence, a $\pm\sqrt{n}$ approx. of $\Delta(x, y)$ determines z_j with prob. $> 9/10$.

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Let A be the event $A = \{\text{sign}(r \cdot z) = r_j\}$. For some constant $c > 0$,

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- ▶ $\mathbb{P}[A|s \neq 0] = 1/2$ since $s \neq 0 \Rightarrow s = \{\dots, -4, -2, 2, 4, \dots\}$. Hence, $\text{sign}(r.z) = \text{sign}(s)$ which is independent of r_j .

Proof of Lemma

Claim

Let A be the event $A = \{\text{sign}(r.z) = r_j\}$. For some constant $c > 0$,

$$\mathbb{P}[A] = \begin{cases} 1/2 & \text{if } z_j = 0 \\ 1/2 + c/\sqrt{t} & \text{if } z_j = 1 \end{cases}$$

- ▶ If $z_j = 0$: $\text{sign}(r.z)$ and r_j are independent so $\mathbb{P}[A] = 1/2$.
- ▶ If $z_j = 1$: Let $s = r.z - r_j$, the sum of an even number ($\ell = t/2 - 1$) of independent ± 1 values. Then,

$$\mathbb{P}[A] = \mathbb{P}[A|s = 0] \mathbb{P}[s = 0] + \mathbb{P}[A|s \neq 0] \mathbb{P}[s \neq 0]$$

- ▶ $\mathbb{P}[s = 0] = \binom{\ell}{\ell/2} / 2^\ell = 2c/\sqrt{t}$ for some constant $c > 0$.
- ▶ $\mathbb{P}[A|s = 0] = 1$ since $s = 0 \Rightarrow r.z = r_j \Rightarrow A$.
- ▶ $\mathbb{P}[A|s \neq 0] = 1/2$ since $s \neq 0 \Rightarrow s = \{\dots, -4, -2, 2, 4, \dots\}$. Hence, $\text{sign}(r.z) = \text{sign}(s)$ which is independent of r_j .
- ▶ So $\mathbb{P}[A] = \mathbb{P}[s = 0] + \frac{\mathbb{P}[s \neq 0]}{2} = \frac{1}{2} + \frac{\mathbb{P}[s=0]}{2} = \frac{1}{2} + \frac{c}{\sqrt{t}}$.