

Part-of-speech Tagging & Hidden Markov Model Intro

Lecture #10

Introduction to Natural Language Processing

CMPSCI 585, Fall 2007

University of Massachusetts Amherst



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Today's Main Points

- Tips for HW#4
- Summary of course feedback

- Part-of-speech tagging
 - What is it? Why useful?
- Return to recipe for NLP problems
- Hidden Markov Models
 - Definition
 - Generative Model
 - **Next time:** Dynamic programming with Viterbi algorithm

Class surveys very helpful

- **Learning something?**
 - Yes! Very edifying!
 - Yes. Lots. Statistical NLP is a lot of fun.
 - Yes! Both theory and practice.
 - Yes, I have been learning a lot. Particularly since the probability class pretty much everything is new to me.
 - Yes. I went to the Google talk on Machine Translation and mostly understood it, based entirely on experience from this class.
 - Yes. My understanding of dynamic programming has greatly increased.

Class Surveys

- **Pace and Lectures**

- I like that we cover a large breadth of material and don't doddle.
- Balance between theory and applications is great.
- The slides are really good. I also like when math is demo'ed on the whiteboard.
- Everything working well.
- I like the quizzes. Helps me know what I should be learning.
- In-class exercises very helpful. Let's have more!
- Pace: 5 just right, 3 slightly too fast, 3 slightly too slow.

- Love the in-class exercises and group discussions.
- Enthusiasm is motivating and contagious. Available after class to offer deeper insights, answer questions, etc.
- Love hearing about NLP people history lessons

Class Surveys

- **Homeworks**

- Homework assignments are fantastic, especially the open-ended aspect!
- They reinforce the learning.
- Interesting, fun, promotes creativity, very much unlike other homeworks that just “have to be done”. I like particularly that we get a choice... room for doing stuff one finds interesting.
- Fun because we get to play around; lots of freedom!
- Helpful that some of the less interesting infrastructure (file reading...) is provided.

- Initially confused about the report format. An example would help. (But comfortable with them now.)
- Make grading rubric / expectations more clear.
- Grading harsh--points off for not going above and beyond, even though the specified requirements were met. Hard to tell how much creativity is enough.

Class Surveys

- **Workload**
 - (No one complaining.)
 - “Work is fun, so it feels like less.”

Class Surveys

- Suggestions & Concerns
 - Would like more exercises and take-home quizzes.
 - Post slides sooner.
 - Make HW grading policy more clear.

HW #4 Tasks

- Naive Bayes
 - document classification (SPAM dataset provided)
 - part-of-speech tagger
- N-gram Language model
 - Train and generate language
 - look for phase changes?
 - experiment with different smoothing methods?
 - Foreign language classifier
 - Rank output of a machine translation system

HW#4 Help Evaluation

Result of running classifier on a test set:

```
filename trueclass predclass p(predclass|doc)
filename trueclass predclass p(predclass|doc)
filename trueclass predclass p(predclass|doc)
...
```

	true spam	true ham
pred spam	TP	FP
pred ham	FN	TN

Accuracy = $(TP+TN) / (TP+TN+FP+FN)$

Precision = $TP / (TP+FP)$

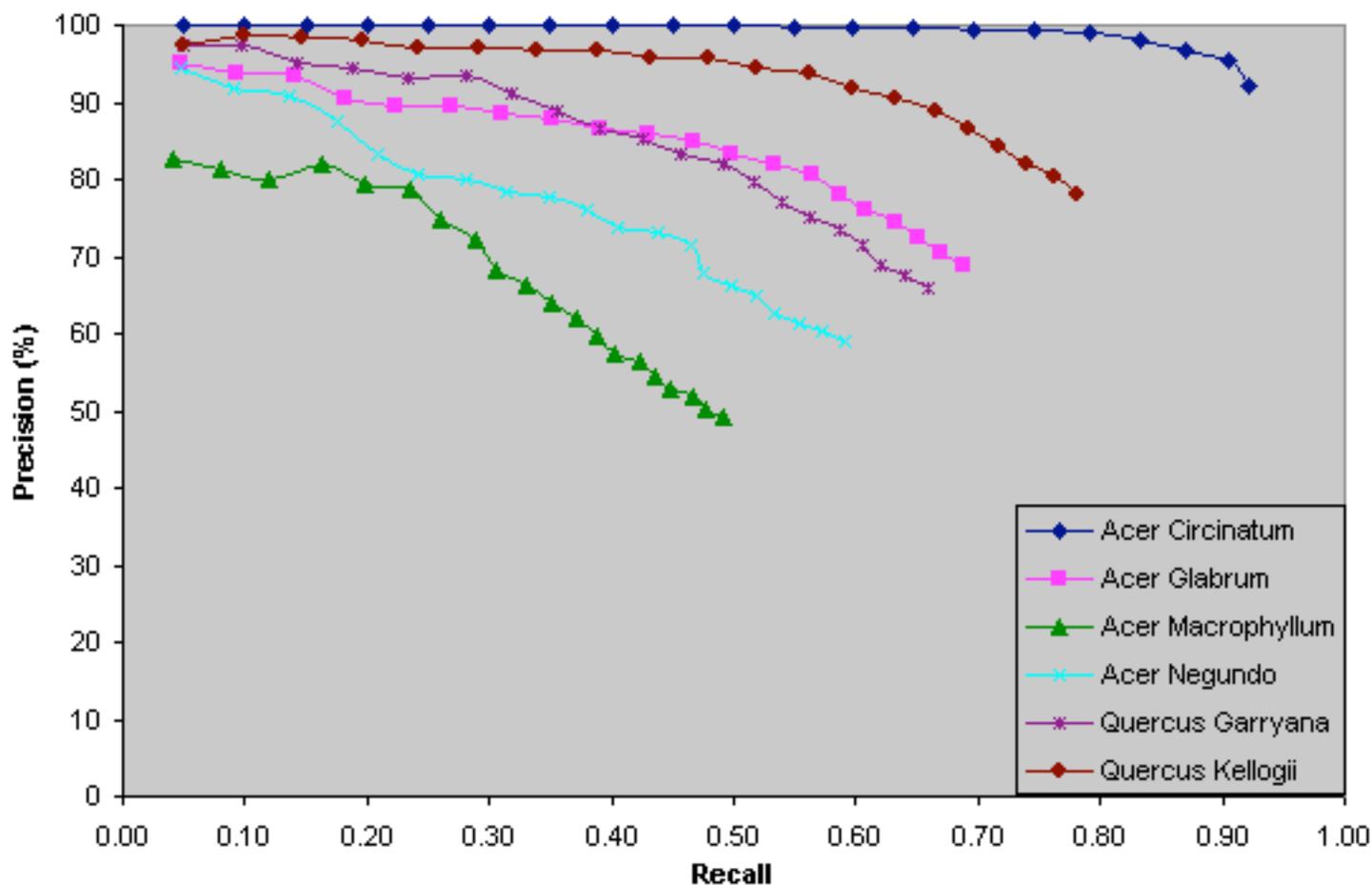
Recall = $TP / (TP+FN)$

F1 = harmonic mean of Precision & Recall

HW#4 Help

Precision-Recall Curve

Typically if $p(\text{spam}) > 0.5$, then label as spam, but can change 0.5 “threshold”
Each threshold yields a new precision/recall pair. Plot them:



HW#4 Help

Accuracy-Coverage Curve

Result of running classifier on a test set:

```
filename trueclass predclass p(predclass|doc)
filename trueclass predclass p(predclass|doc)
filename trueclass predclass p(predclass|doc)
...
```

	true spam	true ham
pred spam	TP	FP
pred ham	FN	TN

$$\text{Accuracy} = (TP+TN) / (TP+TN+FP+FN)$$

$$\text{Precision} = TP / (TP+FP)$$

$$\text{Recall} = TP / (TP+FN)$$

F1 = harmonic mean of Precision & Recall

HW#4 Help

Working with log-probabilities

$$p(c|d) \propto p(c) \prod_i p(w_i|c)$$

$$\log(p(c|d)) \propto \log(p(c)) + \sum_i \log(p(w_i|c))$$

- Getting back to $p(c|d)$
 - Subtract a constant to make all non-positive
 - $\exp()$

HW#4 Help

The importance of train / test splits

- When measuring accuracy, we want an estimate on how well a classifier will do on “future data”.
- “Testing” on the “training data” doesn’t do this.
- Split data. Train on one half. Test on the other half.

Part of Speech Tagging and Hidden Markov Models

Grammatical categories: parts-of-speech

- Nouns: people, animals, concepts, things
- Verbs: expresses action in the sentence
- Adjectives: describe properties of nouns

- The $\left\{ \begin{array}{l} \text{sad} \\ \text{intelligent} \\ \text{green} \\ \text{fat} \\ \dots \end{array} \right\}$ one is in the corner.

“Substitution test”

The Part-of-speech Tagging Task

Input: the lead paint is unsafe

Output: the/Det lead/N paint/N is/V unsafe/Adj

- Uses:
 - text-to-speech (how do we pronounce “lead”?)
 - can differentiate word senses that involve part of speech differences (what is the meaning of “interest”)
 - can write regexps like `Det Adj* N*` over the output (for filtering collocations)
 - can be used as simpler “backoff” context in various Markov models when too little is known about a particular history based on words instead.
 - preprocessing to speed up parser (but a little dangerous)
 - tagged text helps linguists find interesting syntactic constructions in texts (“ssh” used as a verb)

Tagged Data Sets

- Brown Corpus
 - Designed to be a representative sample from 1961
 - news, poetry, ...
 - 87 different tags
- Claws5 “C5”
 - 62 different tags
- Penn Treebank
 - 45 different tags
 - Most widely used currently

Part-of-speech tags, examples

<u>PART-OF-SPEECH</u>	<u>TAG</u>	<u>EXAMPLES</u>
• Adjective	JJ	happy, bad
• Adjective, comparative	JJR	happier, worse
• Adjective, cardinal number	CD	3, fifteen
• Adverb	RB	often, particularly
• Conjunction, coordination	CC	and, or
• Conjunction, subordinating	IN	although, when
• Determiner	DT	this, each, other, the, a, some
• Determiner, postdeterminer	JJ	many, same
• Noun	NN	aircraft, data
• Noun, plural	NNS	women, books
• Noun, proper, singular	NNP	London, Michael
• Noun, proper, plural	NNPS	Australians, Methodists
• Pronoun, personal	PRP	you, we, she, it
• Pronoun, question	WP	who, whoever
• Verb, base present form	VBP	take, live

Closed, Open

- Closed Set tags
 - Determiners
 - Prepositions
 - ...
- Open Set tags
 - Noun
 - Verb

Why is this such a big part of NLP?

Input: the lead paint is unsafe

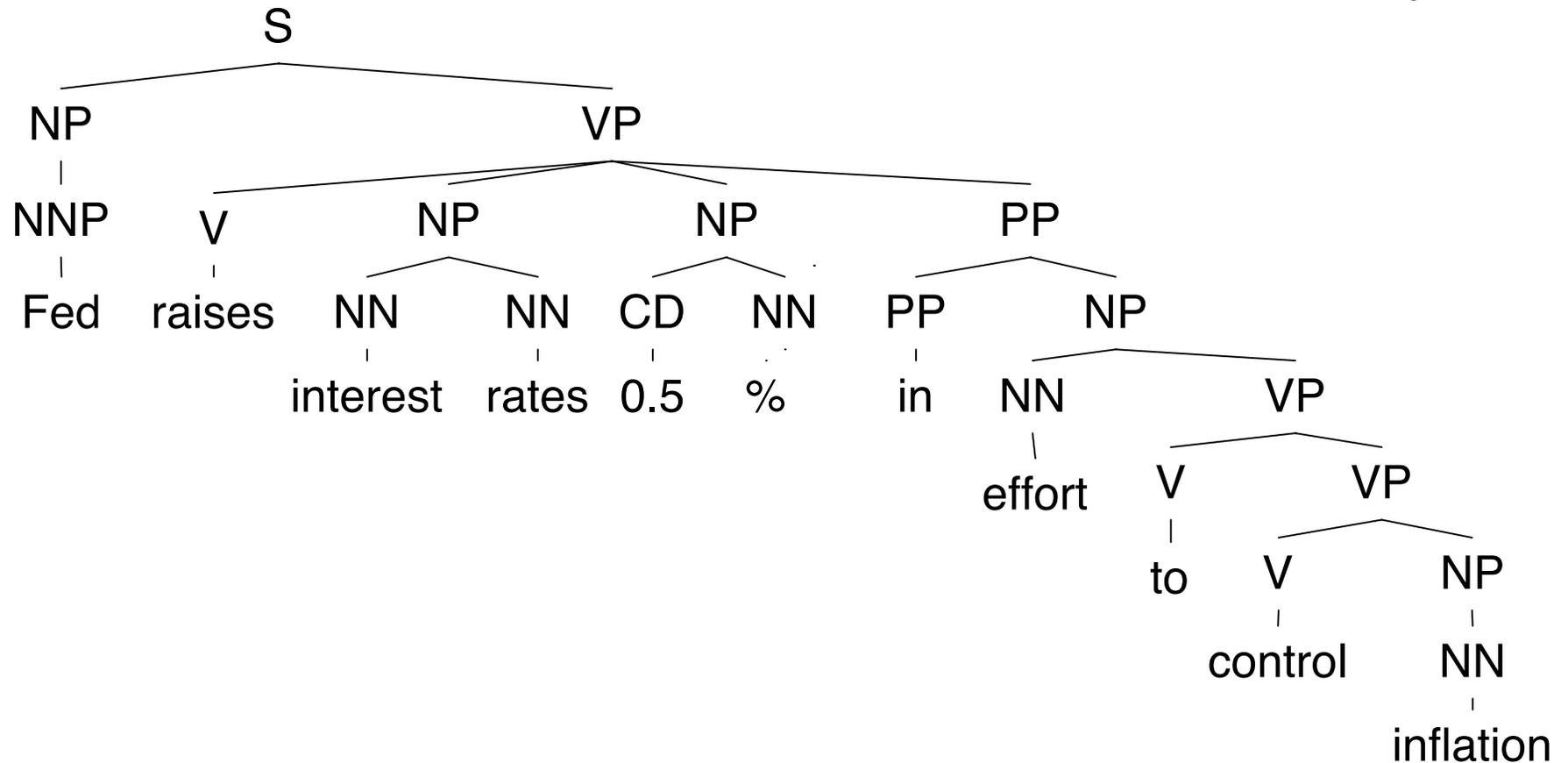
Output: the/Det lead/N paint/N is/V unsafe/Adj

- The first statistical NLP task
- Been done to death by different methods
- Easy to evaluate (how many tags are correct?)
- Canonical finite-state task
 - Can be done well with methods that look at local context
 - (Though should “really” do it by parsing!)

Ambiguity in Language

Fed raises interest rates 0.5%
in effort to control inflation

NY Times headline 17 May 2000



Part of speech ambiguities

Part-of-speech ambiguities

		VB				
	VBZ	VBZ	VBZ			
NNP	NNS	NNS	NNS	CD	NN	
Fed	raises	interest	rates	0.5	%	in effort to control inflation

Degree of Supervision

- **Supervised**: Training corpus is tagged by humans
- **Unsupervised**: Training corpus isn't tagged
- **Partly supervised**: E.g. Training corpus isn't tagged, but you have a dictionary giving possible tags for each word
- We'll start with the supervised case (in later classes we may move to lower levels of supervision).

Current Performance

Input: the lead paint is unsafe

Output: the/Det lead/N paint/N is/V unsafe/Adj

- Using state-of-the-art automated method, how many tags are correct?
 - About 97% currently
 - But baseline is already 90%
 - Baseline is performance of simplest possible method:
 - Tag every word with its most frequent tag
 - Tag unknown words as nouns

Recipe for solving an NLP task

Input: the lead paint is unsafe Observations

Output: the/Det lead/N paint/N is/V unsafe/Adj Tags

- 1) **Data:** Notation, representation
- 2) **Problem:** Write down the problem in notation
- 3) **Model:** Make some assumptions, define a parametric model (often generative model of the data)
- 4) **Inference:** How to search through possible answers to find the best one
- 5) **Learning:** How to estimate parameters
- 6) **Implementation:** Engineering considerations for an efficient implementation

**Work out several alternatives
on the board...**

(Hidden) Markov model tagger

- View sequence of tags as a Markov chain.

Assumptions:

- Limited horizon $P(x_{t+1}|x_1, \dots, x_t) = P(x_{t+1}|x_t)$
- Time invariant (stationary) $P(x_{t+1}|x_t) = P(x_2|x_1)$
- We assume that a word's tag only depends on the previous tag (limited horizon) and that his dependency does not change over time (time invariance)
- A state (part of speech) generates a word. We assume it depends only on the state.

$$P(o_t|x_1, \dots, x_T, o_1, \dots, o_{t-1}) = P(o_t|x_t)$$

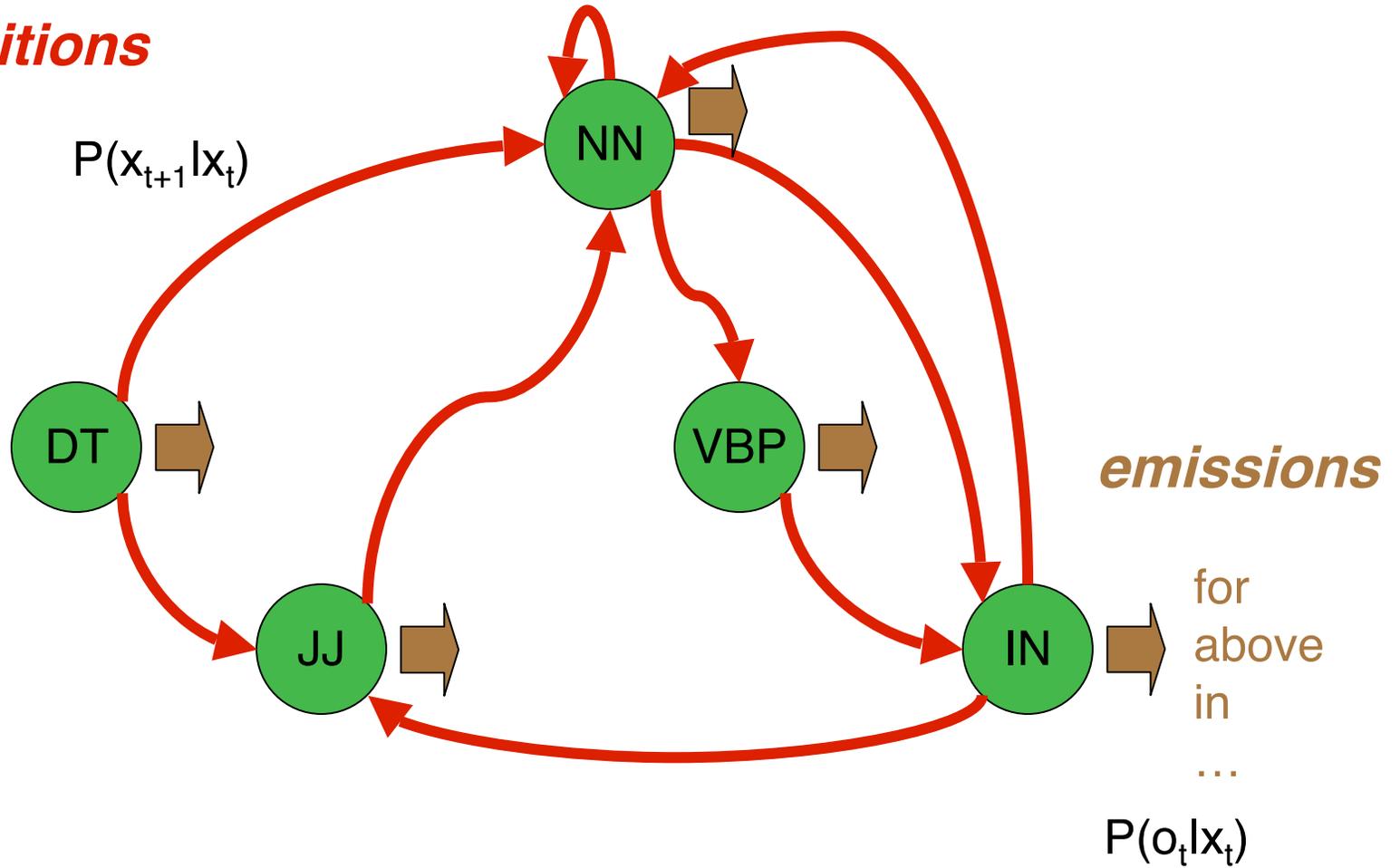
The Markov Property

- A stochastic process has the **Markov property** if the conditional probability distribution of future states of the process, given the current state, depends only upon the current state, and conditionally independent of the past states (the *path* of the process) given the current state.
- A process with the Markov property is usually called a **Markov process**, and may be described as *Markovian*.

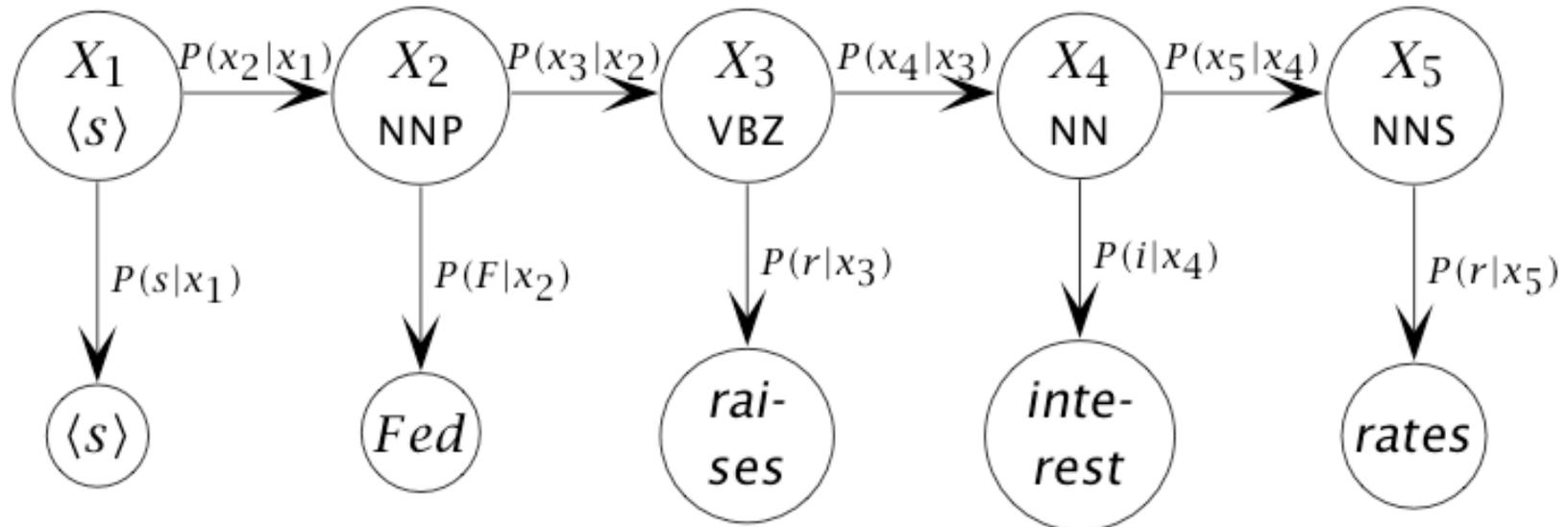
$$\Pr[X(t+h) = y \mid X(s) = x(s), s \leq t] = \Pr[X(t+h) = y \mid X(t) = x(t)], \quad \forall h > 0.$$

HMM as Finite State Machine

transitions



HMM as Bayesian Network



- Top row is unobserved states, interpreted as POS tags
- Bottom row is observed output observations (words)

Applications of HMMs

- NLP
 - Part-of-speech tagging
 - Word segmentation
 - Information extraction
 - Optical Character Recognition (OCR)
- Speech recognition
 - Modeling acoustics
- Computer Vision
 - gesture recognition
- Biology
 - Gene finding
 - Protein structure prediction
- Economics, Climatology, Communications, Robotics...

(One) Standard HMM formalism

- (X, O, x_s, A, B) are all variables. Model $\mu = (A, B)$
- X is state sequence of length T ; O is observation seq.
- x_s is a designated start state (with no incoming transitions). (Can also be separated into π as in book.)
- A is matrix of transition probabilities (each row is a conditional probability table (CPT))
- B is matrix of output probabilities (vertical CPTs)

$$P(X, O | \mu) = \prod_{t=1}^T a[x_t | x_{t-1}] b[o_t | x_t]$$

- HMM is a probabilistic (nondeterministic) finite state automaton, with probabilistic outputs (from vertices, not arcs, in the simple case)

Probabilistic Inference in an HMM

Three fundamental questions for an HMM:

- 1) Compute the probability of a given observation sequence, when tag sequence is hidden (**language modeling**)
- 2) Given an observation sequence, find the most likely hidden state sequence (**tagging**) **DO THIS NEXT**
- 3) Given observation sequence(s) and a set of states, find the parameters that would make the observations most likely (**parameter estimation**)

Most likely hidden state sequence

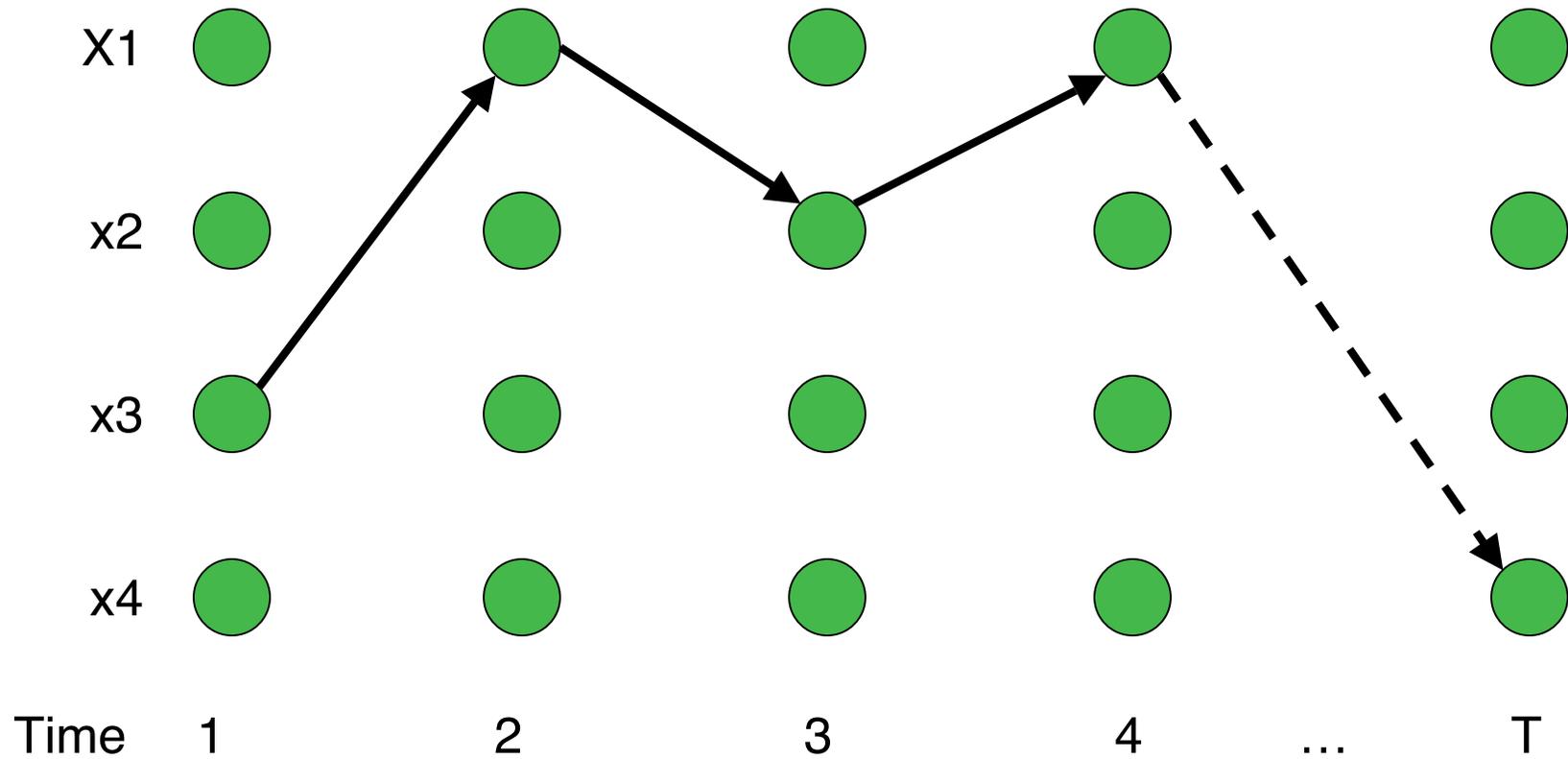
- Given $O = (o_1, \dots, o_T)$ and model $\mu = (A, B)$
- We want to find

$$\arg \max_X P(X|O, \mu) = \arg \max_X \frac{P(X, O|\mu)}{P(O|\mu)} = \arg \max_X P(X, O|\mu)$$

- $P(O, X| \mu) = P(O|X, \mu) P(X| \mu)$
- $P(O|X, \mu) = b[x_1|o_1] b[x_2|o_2] \dots b[x_T|o_T]$
- $P(X| \mu) = a[x_1|x_2] a[x_2|x_3] \dots a[x_{T-1}|x_T]$
- $\arg \max_X P(O, X| \mu) = \arg \max x_1, x_2, \dots, x_T$
- Problem: arg max is exponential in sequence length!

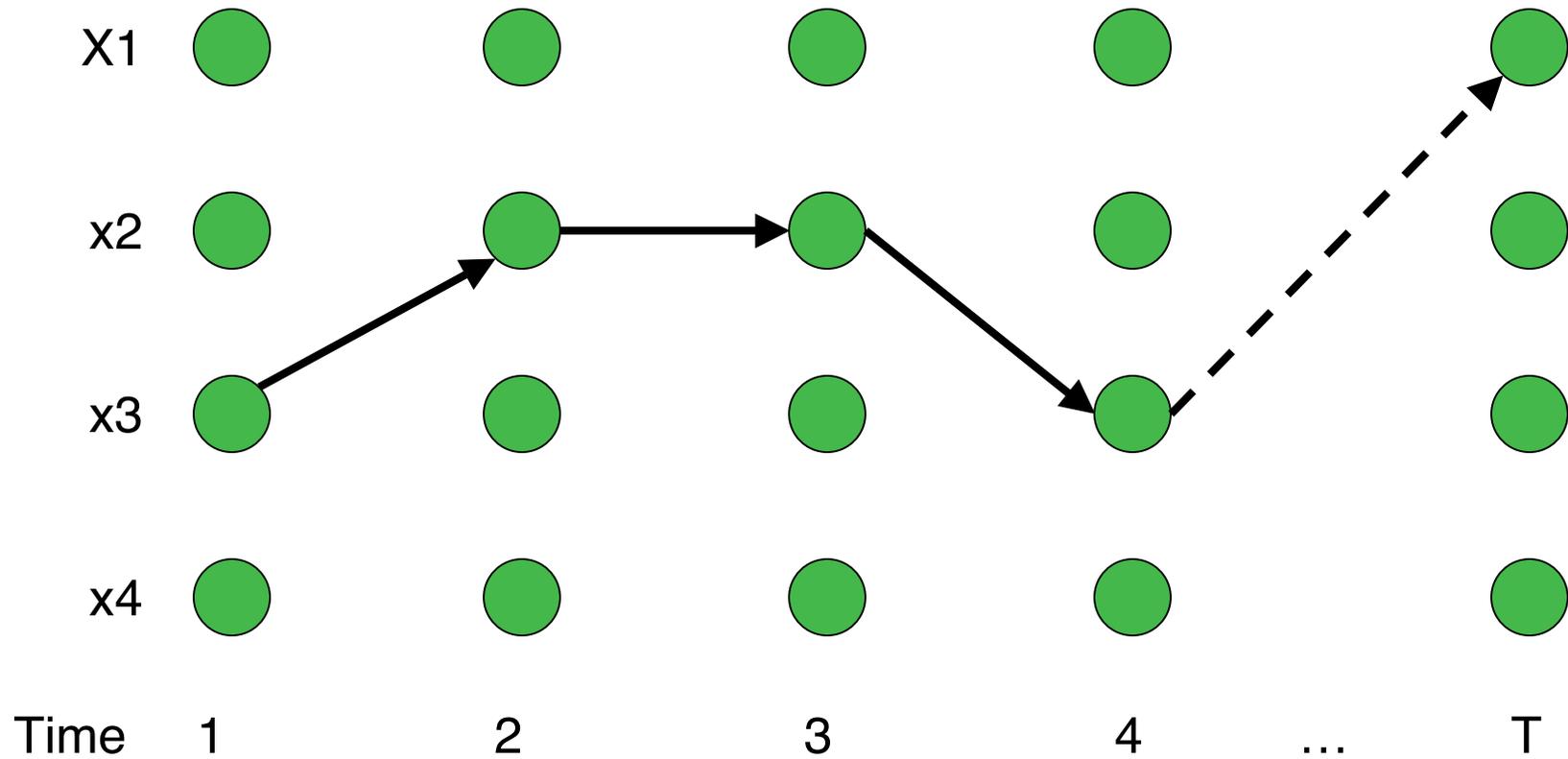
Representation for Paths: Trellis

States



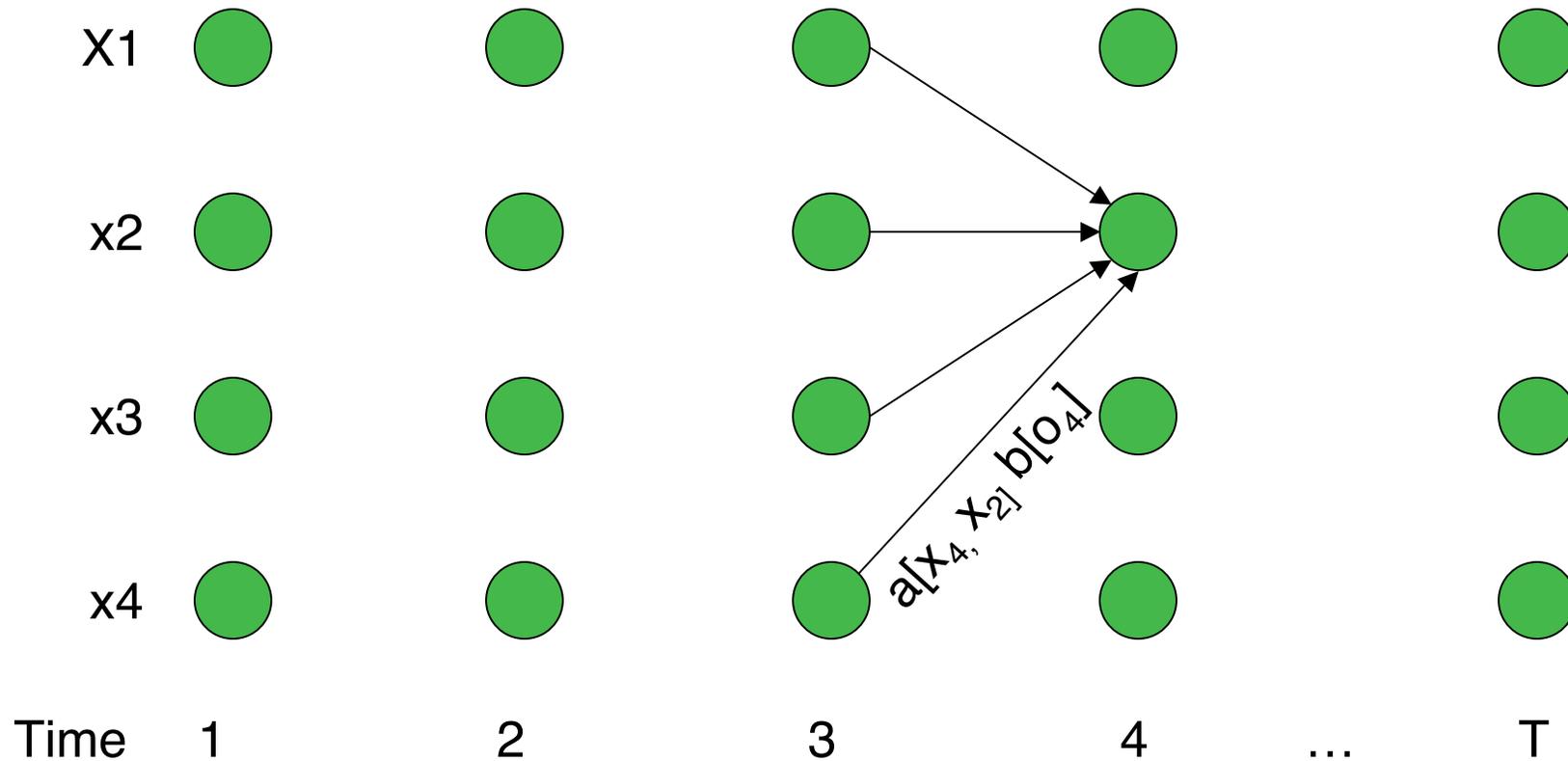
Representation for Paths: Trellis

States



Representation for Paths: Trellis

States



$\delta_i(t)$ = Probability of most likely path that ends at state i at time t .

Finding Probability of Most Likely Path using Dynamic Programming

- Efficient computation of max over all states
- Intuition: Probability of the first t observations is the same for all possible $t+1$ length sequences.

- Define forward score:

$$\delta_i(t) = \max_{x_1 \dots x_{t-1}} P(o_1 o_2 \dots o_t, x_1 \dots x_{t-1}, x_t = i | \mu)$$

$$\delta_j(t+1) = \max_{i=1..N} \delta_i(t) a[x_j | x_i] b[o_{t+1} | x_j]$$

- Compute it recursively from the beginning
- (Then must remember best paths to get arg max.)

Finding the Most Likely State Path with the Viterbi Algorithm

[Viterbi 1967]

- Used to efficiently find the state sequence that gives the highest probability to the observed outputs
- Maintains two dynamic programming tables:
 - The probability of the best path (max)

$$\delta_j(t+1) = \max_{i=1..N} \delta_i(t) a[x_j|x_i] b[o_{t+1}|x_j]$$

- The state transitions of the best path (arg)

$$\psi_j(t+1) = \arg \max_{i=1..N} \delta_i(t) a[x_j|x_i] b[o_{t+1}|x_j]$$

- Note that this is different from finding the most likely tag for each time t !

Viterbi Recipe

- Initialization

$$\delta_j(0) = 1 \text{ if } x_j = x_s. \quad \delta_j(0) = 0 \text{ otherwise.}$$

- Induction

$$\delta_j(t+1) = \max_{i=1..N} \delta_i(t) a[x_j|x_i] b[o_{t+1}|x_j]$$

Store backtrace

$$\psi_j(t+1) = \arg \max_{i=1..N} \delta_i(t) a[x_j|x_i] b[o_{t+1}|x_j]$$

- Termination and path readout

$$\hat{x}_T = \arg \max_{i=1..N} \delta_i(T)$$

$$\hat{x}_t = \psi_{\hat{x}_{t+1}}(t+1)$$

Probability of entire best seq.

$$P(\hat{X}) = \max_{i=1..N} \delta_i(T)$$