

## Lexical Acquisition

Lecture #9

Introduction to Natural Language Processing  
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## Words and their meaning

### Three lectures:

- Collocations
  - multiple words together, different meaning than the sum of its parts
- Word disambiguation
  - one word, multiple meanings
- *This time*: Lexical Acquisition
  - verb subcategorization
  - attachment ambiguity
  - selectional preference
  - semantic similarity
    - multiple words, "same" meaning

## Today's Main Points

- What is Lexical Acquisition and why is it useful.
- Verb subcategorization.
- Attachment ambiguity
- Selectional preference
- Clustering words into semantically similar classes.

## Lexical Acquisition

- Acquiring the properties of words
- Practical: filling holes in dictionaries
  - Lots of useful information isn't in dictionaries anyway
  - e.g. "associated with" versus "associated to"
- Claim: most knowledge of language is encoded in words and their properties.
- Acquiring collocations and word sense disambiguation are examples of lexical acquisition, but there are many other types.

## Why Lexical Acquisition

- Language evolves. i.e., new words and new uses of old words are constantly invented.
- Traditional Dictionaries were written for the needs of human users. Lexicons are dictionaries formatted for computers.
- In addition to the format, lexicons can be useful if they contain quantitative information. Lexical acquisition can provide such information.

## Verb Phrase and Subcategorization

- Verb phrase consists of
  - Verb
  - a number of constituents
- Examples
  - VP → V disappear
  - VP → V NP prefer a morning flight
  - VP → V NP PP leave Boston in the morning
  - VP → V PP leave on Thursday
  - VP → V S said you had a \$200 fare
    - Sentential complement

## Different verbs, different constituents

- A verb phrase can have many possible kinds of constituents, but
- Not every verb is compatible with every verb phrase
- Examples
  - “want” VP → V NP “I want a flight”
  - “want” VP → V VPto “I want to fly to...”
  - “find” VP → V NP “I found a flight”
  - “find” VP → V VPto \* “I found to fly to...”
- **Transitive**, take a direct object
  - “find” “I found a flight”
- **Intransitive**, do not take a direct object
  - “disappear” \* “I disappeared a flight”
- Transitive and Intransitive are simple examples of verb **subcategorization**.

## Verb Subcategorization

- Verbs express their semantic arguments with different syntactic means.
- “frame” = slots for arguments of the verb
- “category” = verbs that take the same semantic args  
e.g. verbs with semantic arguments theme and recipient
- “subcategory” = verbs that use the same syntactic means to express these semantic arguments.

- Additional examples:

subcategory #1: prepositional phrase  
“He donated a large sum of money to the church.”

subcategory #2: double-object  
“He gave the church a large sum of money.”

## Examples of subcategorization frames

- **Intransitive verb**
  - NP[subject]
  - “The woman walked.”
- **Transitive verb**
  - NP[subject] NP[object]
  - “John loves Mary.”
- **Ditransitive verb**
  - NP[subject], NP[direct object], NP[indirect object]
  - “Mary gave Peter flowers.”
- **Intransitive with PP**
  - NP[subject], PP
  - “I rent in Northampton.”
- **Sentential complement**
  - NP[subject], clause
  - “I know (that) she likes you.”
- **Transitive with sentential complement**
  - NP[subject], NP[object], clause
  - “She told me that Gary is coming.”

## One verb, multiple subcategorizations

- One verb can take different subcategorization frames

- Example: “find”

– VP → V NP ...find a flight  
– VP → V NP NP ...find me a flight

## Subcategorization needed for parsing

- *She told the man where Peter grew up.*
- *She found the place where Peter grew up.*
- *She told [the man] [where Peter grew up].*
- *She found [the place [where Peter grew up]].*

Helps us get attachment right.

- Unfortunately most dictionaries don't contain subcategorization frames, and those that do are horribly incomplete.

## Learning subcategorization frames [Brent 1993]

- Does some particular verb take direct object frame VP → V NP?
- **Cues for frames**  
e.g. assume that pattern  
“verb (pronoun | capitalized word) punctuation”  
identifies *direct object frame* with error rate  $e=0.1$
- **Count occurrences**  
 $n$  = number of occurrences of verb in question  
 $m$  = number of occurrences of cue with verb
- Hypothesis testing,  $H_0$  = verb does not take frame

$$P(H_0 | \text{cue count} \geq m) = \sum_{r=m}^n \binom{n}{r} e^r (1-e)^{n-r}$$

### Learning subcategorization frames [Brent 1993] [Manning 1993]

- Brent's system does well at precision, but not well at recall.
- (Manning, 93)'s system addresses this problem by using a tagger and running the cue detection on the output of the tagger.
  - e.g. say "find/V DET NP" indicates direct object frame
- Manning's method can learn a large number of subcategorization frames, even those that have only low-reliability cues.

### Learned subcategorization frames [Manning 1993]

| Verb    | Correct | Incorrect | Oxford AL Dictionary |
|---------|---------|-----------|----------------------|
| bridge  | 1       | 1         | 1                    |
| burden  | 2       |           | 2                    |
| depict  | 2       |           | 3                    |
| emanate | 1       |           | 1                    |
| leak    | 1       |           | 5                    |
| occupy  | 1       |           | 3                    |
| remark  | 1       | 1         | 4                    |
| retire  | 2       | 1         | 5                    |

Error in remark: attributed intransitive frame, probably due to  
 "And here we are 10 years later with the same problems,"  
 Mr. Smith remarked.

### Attachment Ambiguity

- Where to attach a phrase in the parse tree?
- *"I saw the man with the telescope."*
  - What does "with a telescope" modify?
  - Is the problem AI complete? Yes, but...
- Proposed simple structural factors
  - Right association [Kimball 1973]  
 'low' or 'near' attachment = 'early closure' of NP
  - Minimal attachment [Frazier 1978]  
 (depends on grammar) = 'high' or 'distant' attachment  
 = 'late closure' (of NP)

### Attachment Ambiguity

- Such simple structural factors dominated in early psycholinguistics, and are still widely invoked.
- In the V NP PP context, right attachment gets right 55-76% of the cases...
- But this means that it gets wrong 33-45% of the cases!

### Attachment Ambiguity

- "The children ate the cake with a spoon."
- "The children ate the cake with frosting."
- "Joe included the package for Susan."
- "Joe carried the package for Susan."
- Ford, Bresnan and Kaplan (1982):  
*"It is quite evident, then, that the closure effects in these sentences are induced in some way by the choice of the lexical items."*

### Simple model

- (Log) likelihood ratio
  - A common and good way of comparing between two exclusive alternatives
  - Same idea as a naïve Bayes classifier

$$\log \frac{P(\text{preposition}|\text{verb})}{P(\text{preposition}|\text{noun})}$$

- if >0, attach to verb, if <0 attach to noun
- For example,  
 $P(\text{with a spoon} | \text{ate}) > P(\text{with a spoon} | \text{cake})$

## Attachment, Problematic Example

- “Chrysler confirmed that it would **end** its troubled **venture** *with Maserati*.”

| w       | C(w) | C(w, with) |
|---------|------|------------|
| end     | 5156 | 607        |
| venture | 1442 | 155        |

- Get wrong answer:  
 $P(\text{with}|\text{end}) = (607/5156) = 0.118$   
 $P(\text{with}|\text{venture}) = (155/1442) = 0.107$
- Should also express preference for attaching ‘low’.

## Attachment Method

[Hindle & Rooth 1993]

- Event space: all V NP PP\* sequences but PP must modify V or first N
- Don’t directly decide whether PP modifies V or N
- Rather look at binary random variables
  - $VA_p$ : Is there a PP headed by p which attaches to v
  - $NA_p$ : Is there a PP headed by p which attaches to n
- Both can be 1:  
 “He put the book on World War II on the table.”

## Attachment Method

[Hindle & Rooth 1993]

- Independence assumptions  
 $P(VA_p, NA_p | v, n) = P(VA_p | v, n) P(NA_p | v, n)$   
 $= P(VA_p | v) P(NA_p | n)$
- Decision space: first PP after NP. [NB!]
- $P(\text{Attach}(p)=n|v,n) = P(VA_p=0 \vee VA_p=1|v) P(NA_p=1|n)$   
 $= 1.0 P(NA_p=1|n)$   
 $= P(NA_p=1|n)$
- It doesn’t matter what  $VA_p$  is! If both are true, the first PP after the NP must modify the noun (in phrase structure trees, lines don’t cross).

## Attachment Method

[Hindle & Rooth 1993]

- But conversely, in order for the first PP headed by the preposition p to attach to the verb, both  $VA_p=1$  and  $NA_p=0$  must hold.
- $P(\text{Attach}(p)=v|v,n) = P(VA_p=1, NA_p=0|v,n)$   
 $= P(VA_p=1|v) P(NA_p=0|n)$
- We assess which is more likely by a (log) likelihood ratio:
 
$$\lambda(v, n, p) = \log_2 \frac{P(\text{Attach}(p) = v|v, n)}{P(\text{Attach}(p) = n|v, n)}$$

$$= \log_2 \frac{P(VA_p = 1|v)P(NA_p = 0|n)}{P(NA_p = 1|n)}$$
- If large positive, decide verb attachment; if large negative, decide noun attachment.

## Attachment Method

[Hindle & Rooth 1993]

- How do we learn probabilities?  
 From (smoothed) MLEs:  
 $P(VA_p=1|v) = C(v,p) / C(v)$   
 $P(NA_p=1|n) = C(n,p) / C(n)$
- How do we get estimates from unlabeled corpus?  
 Use partial parser, and look for unambiguous cases:  
 – “The road to London is long and winding.”  
 – “She sent him to the nursery to gather up his toys.”

## Attachment Method

[Hindle & Rooth 1993]

- Hindle and Rooth heuristically determine  $C(v,p)$ ,  $C(n,p)$  and  $C(n,0)$  from unlabeled data:
  1. Build an initial model by counting all unambiguous cases.
  2. Apply initial model to all ambiguous cases and assign them to the appropriate count if l exceeds a threshold (2/-2).
  3. Divide the remaining ambiguous cases evenly between the counts (increase  $C(v,p)$  and  $C(n,p)$  by 0.5 for each).

### Attachment Method Example [Hindle & Rooth 1993]

- “Moscow sent more than 100,000 soldiers into Afghanistan...”

### Other attachment issues

- There are attachment questions other than prepositional phrases
  - adverbial, participial, noun compounds
  - Examples
    - door bell manufacturer
    - [door bell] manufacturer
    - Unix system administrator
    - Unix [system administrator]
  - Data sparseness is a bigger problem with many of these
- In general, indeterminacy is quite common
  - “We have not **signed** a settlement **agreement** with them.”
  - Either reading seems equally plausible.

### Lexical acquisition, semantic similarity

- Previous models give same estimate to all unseen events.
- Unrealistic - could hope to refine that based on semantic classes of words
- Examples
  - “Susan had never eaten a fresh durian before.”
  - Although never seen “eating pineapple” should be more likely than “eating holograms” because pineapple is similar to apples, and we have seen “eating apples”.

### An application: selectional preferences

- Most verbs prefer arguments of a particular type. Such regularities are called *selectional preferences* or *selectional restrictions*.
- “Bill drove a...” Mustang, car, truck, jeep
- Selectional preference strength: how strongly does a verb constrain direct objects
- “see” versus “unknotted”

### Measuring selectional preference strength

- Assume we are given a clustering of (direct object) nouns. Resnick (1993) uses WordNet.

$$S(v) = D(P(C|v)||P(C)) = \sum_c P(c|v) \log \frac{P(c|v)}{P(c)}$$

- Selectional association between a verb and a class

$$A(v, c) = \frac{P(c|v) \log \frac{P(c|v)}{P(c)}}{S(v)}$$

Proportion that its summand contributes to preference strength.

- For nouns in multiple classes, disambiguate as most likely sense:  $A(v, n) = \max_{c \in \text{classes}(n)} A(v, c)$

### Selection preference strength (made up data)

| Noun class c    | P(c) | P(c eat)    | P(c see)    | P(c find)   |
|-----------------|------|-------------|-------------|-------------|
| people          | 0.25 | 0.01        | 0.25        | 0.33        |
| furniture       | 0.25 | 0.01        | 0.25        | 0.33        |
| food            | 0.25 | 0.97        | 0.25        | 0.33        |
| action          | 0.25 | 0.01        | 0.25        | 0.01        |
| <b>SPS S(v)</b> |      | <b>1.76</b> | <b>0.00</b> | <b>0.35</b> |

A(eat, food) = 1.08  
A(find, action) = -0.13

### Selectional Preference Strength example (Resnick, Brown corpus)

| Verb v   | Noun n  | A(v, n) | Class         | Noun n  | A(v, n) | Class               |
|----------|---------|---------|---------------|---------|---------|---------------------|
| answer   | request | 4.49    | speech act    | tragedy | 3.88    | communication       |
| find     | label   | 1.10    | abstraction   | fever   | 0.22    | psych. feature      |
| hear     | story   | 1.89    | communication | issue   | 1.89    | communication       |
| remember | reply   | 1.31    | statement     | smoke   | 0.20    | article of commerce |
| repeat   | comment | 1.23    | communication | journal | 1.23    | communication       |
| read     | article | 6.80    | writing       | fashion | -0.20   | activity            |
| see      | friend  | 5.79    | entity        | method  | -0.01   | method              |
| write    | letter  | 7.26    | writing       | market  | 0.00    | commerce            |

### But how might we measure word similarity for word classes?

- Vector spaces

A document-by-word matrix A.

|       | cosmonaut | astronaut | moon | car | truck |
|-------|-----------|-----------|------|-----|-------|
| $d_1$ | 1         | 0         | 1    | 1   | 0     |
| $d_2$ | 0         | 1         | 1    | 0   | 0     |
| $d_3$ | 1         | 0         | 0    | 0   | 0     |
| $d_4$ | 0         | 0         | 0    | 1   | 1     |
| $d_5$ | 0         | 0         | 0    | 1   | 0     |
| $d_6$ | 0         | 0         | 0    | 0   | 1     |

### But how might we measure word similarity for word classes?

- Vector spaces
- word-by-word matrix B**

|           | cosmonaut | astronaut | moon | car | truck |
|-----------|-----------|-----------|------|-----|-------|
| cosmonaut | 2         | 0         | 1    | 1   | 0     |
| astronaut | 0         | 1         | 1    | 0   | 0     |
| moon      | 1         | 1         | 2    | 1   | 0     |
| car       | 1         | 0         | 1    | 3   | 1     |
| truck     | 0         | 0         | 0    | 1   | 2     |

**A modifier-by-head matrix C**

|              | cosmonaut | astronaut | moon | car | truck |
|--------------|-----------|-----------|------|-----|-------|
| Soviet       | 1         | 0         | 0    | 1   | 1     |
| American     | 0         | 1         | 0    | 1   | 1     |
| spacewalking | 1         | 1         | 0    | 0   | 0     |
| red          | 0         | 0         | 0    | 1   | 1     |
| full         | 0         | 0         | 1    | 0   | 0     |
| old          | 0         | 0         | 0    | 1   | 1     |

### Similarity measures for binary vectors

Similarity measure      Definition

matching coefficient       $|X \cap Y|$

Dice coefficient               $\frac{2|X \cap Y|}{|X| + |Y|}$

Jaccard coefficient           $\frac{|X \cap Y|}{|X \cup Y|}$

Overlap coefficient           $\frac{|X \cap Y|}{\min(|X|, |Y|)}$

cosine                           $\frac{|X \cap Y|}{\sqrt{|X| \times |Y|}}$

### Cosine measure

$$\cos(\vec{x}, \vec{y}) = \frac{\vec{x} \cdot \vec{y}}{|\vec{x}| |\vec{y}|} = \frac{\sum_{i=1}^n x_i y_i}{\sqrt{\sum_{i=1}^n x_i^2} \sqrt{\sum_{i=1}^n y_i^2}}$$

maps vectors onto unit circle by dividing through by lengths:

$$|\vec{x}| = \sqrt{\sum_{i=1}^n x_i^2}$$

### Example of cosine measure on word-by-word matrix on NYT

| Focus word | Nearest neighbors |      |         |      |          |      |        |      |
|------------|-------------------|------|---------|------|----------|------|--------|------|
| garlic     | sauce             | .732 | pepper  | .728 | salt     | .726 | cup    | .726 |
| fallen     | fell              | .932 | decline | .931 | rise     | .930 | drop   | .929 |
| engineered | genetically       | .758 | drugs   | .688 | research | .687 | drug   | .685 |
| Alfred     | named             | .814 | Robert  | .809 | William  | .808 | W      | .808 |
| simple     | something         | .964 | things  | .963 | You      | .963 | always | .962 |

## Probabilistic measures

| (Dis-)similarity measure  | Definition   |
|---------------------------|--|
| KL divergence             | $D(p\ q) = \sum_i p_i \log \frac{p_i}{q_i}$            |
| Skew                      | $D(q\ \alpha r + (1 - \alpha)q)$                       |
| Jensen-Shannon (was IRad) | $\frac{1}{2}D(p\ \frac{p+q}{2}) + D(q\ \frac{p+q}{2})$ |
| $L_1$ norm (Manhattan)    | $\sum_i  p_i - q_i $                                   |

## Neighbors of word "company" [Lee]

| Skew ( $\alpha = 0.99$ ) | J.-S.    | Euclidean    |
|--------------------------|----------|--------------|
| airline                  | business | city         |
| business                 | airline  | airline      |
| bank                     | firm     | industry     |
| agency                   | bank     | program      |
| firm                     | state    | organization |
| department               | agency   | bank         |
| manufacturer             | group    | system       |
| network                  | govt.    | today        |
| industry                 | city     | series       |
| govt.                    | industry | portion      |

## Examples of Verb Subcategorization

| Frame     | Functions                   | Verb  | Example   |
|-----------|-----------------------------|-------|---|
| NP NP     | subject, object             | greet | <u>She</u> greeted <u>me</u> .                    |
| NP S      | subject, clause             | hope  | <u>She</u> hopes <u>he will attend</u> .          |
| NP INF    | subject, infinitive         | hope  | <u>She</u> hopes <u>to attend</u> .               |
| NP NP S   | subject, object, clause     | tell  | <u>She</u> told <u>me</u> <u>he will attend</u> . |
| NP NP INF | subject, object, infinitive | tell  | <u>She</u> told <u>him</u> <u>to attend</u> .     |