# Machine Translation 

## Lecture \#17

## Computational Linguistics CMPSCI 591N, Spring 2006



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## The challenges of Machine Translation

## Lexical Ambiguity

## Example 1:

book the flight $\Rightarrow$ reservar
read the book $\Rightarrow$ libro

## Example 2:

the box was in the pen
the pen was on the table

## Example 3:

kill a man $\Rightarrow$ matar
kill a process $\Rightarrow$ acabar

## Differing Word Orders

- English word order is subject - verb - object
- Japanese word order is subject-object - verb

$$
\begin{array}{ll}
\text { English: } & \text { IBM bought Lotus } \\
\text { Japanese: } & \text { IBM Lotus bought }
\end{array}
$$

English: Sources said that IBM bought Lotus yesterday Japanese: Sources yesterday IBM Lotus bought that said

## Syntactic Structure is not Preserved Across Translations

The bottle floated into the cave


La botella entro a la cuerva flotando (the bottle entered the cave floating)

## Syntactic Ambiguity Causes Problems

## John hit the dog with the stick

John golpeo el perro con el palo/que tenia el palo

## Pronoun Resolution

The computer outputs the data; it is fast.

$$
\Downarrow
$$

La computadora imprime los datos; es rapida

The computer outputs the data; it is stored in ascii.


La computadora imprime los datos; estan almacendos en ascii

## Differing Treatments of Tense

## From Dorr et. al 1998:

Mary went to Mexico. During her stay she learned Spanish.
Went $\Rightarrow$ iba (simple past/preterit)

Mary went to Mexico. When she returned she started to speak Spanish.
Went $\Rightarrow$ fue (ongoing past/imperfect)

## The Best Translation May not be 1-1

## (From Manning and Schuetze):

According to our survey, 1988 sales of mineral water and soft drinks were much higher than in 1987, reflecting the growing popularity of these products. Cola drink manufacturers in particular achieved above average growth rates.

Quant aux eaux minerales et aux limonades, elles recontrent toujours plus d'adeptes. En effet notre sondage fait ressortir des ventes nettement superieures a celles de 1987, pour les boissons a base de cola notamment.

With regard to the mineral waters and the lemonades (soft drinks) they encounter still more users. Indeed our survey makes stand out the sales clearly superior to those in 1987 for cola-based drinks especially.

## Machine Translation: Example

## Atlanta, preso il killer del palazzo di Giustizia

ATLANTA - La grande paura che per 26 ore ha attanagliato Atlanta è finita: Brian Nichols, l'uomo che aveva ucciso tre persone a palazzo di Giustizia e che
ha poi ucciso un agente di dogana, s'è consegnato alla polizia, dopo avere cercato rifugio nell'alloggio di una donna in un complesso d'appartamenti alla periferia della città. Per tutto il giorno, il centro della città, sede della Coca Cola e dei Giochi 1996, cuore di una popolosa area metropolitana, era rimasto paralizzato.

## Atlanta, taken the killer of the palace of Justice

ATLANTA - The great fear that for 26 hours has gripped Atlanta is ended: Brian Nichols, the man who had killed three persons to palace of Justice and that
a customs agent has then killed, $s^{\prime}$ is delivered to the police, after to have tried shelter in the lodging of one woman in a complex of apartments to the periphery of the city. For all the day, the center of the city, center of the Coke Strains and of Giochi 1996, heart of one popolosa metropolitan area, was remained paralyzed.

## History

- 1950's: Intensive research activity in MT
- 1960's: Direct word-for-word replacement
- 1966 (ALPAC): NRC Report on MT
- Conclusion: MT no longer worthy of serious scientific investigation.
- 1966-1975: `Recovery period’
- 1975-1985: Resurgence (Europe, Japan)
- 1985-present: Gradual Resurgence (US)
http://ourworld.compuserve.com/homepages/WJHutchins/MTS-93.htm


## Levels of Transfer



## General Approaches

- Rule-based approaches
- Expert system-like rewrite systems
- Interlingua methods (analyze and generate)
- Lexicons come from humans
- Can be very fast, and can accumulate a lot of knowledge over time (e.g. Systran)
- Statistical approaches
- Word-to-word translation
- Phrase-based translation
- Syntax-based translation (tree-to-tree, tree-to-string)
- Trained on parallel corpora
- Usually noisy-channel (at least in spirit)


## The Coding View

- "One naturally wonders if the problem of translation could conceivably be treated as a problem in cryptography. When I look at an article in Russian, I say: 'This is really written in English, but it has been coded in some strange symbols. I will now proceed to decode.' "
- Warren Weaver (1955:18, quoting a letter he wrote in 1947)


## MT System Components



## A Brief Introduction to Statistical MT

- Parallel corpora are available in several language pairs
- Basic idea: use a parallel corpus as a training set of translation examples
- Classic example: IBM work on French-English translation, using the Canadian Hansards. ( 1.7 million sentences of 30 words or less in length).


## Example from Koehn and Knight tutorial

Translation from Spanish to English, candidate translations based on $P($ Spanish $\mid$ English $)$ alone:

Que hambre tengo yo
$\rightarrow$
What hunger have $\quad P(S \mid E)=0.000014$
Hungry I am so $\quad P(S \mid E)=0.000001$
I am so hungry $\quad P(S \mid E)=0.0000015$
Have i that hunger $P(S \mid E)=0.000020$

With $P($ Spanish $\mid$ English $) \times P($ English $)$ :
Que hambre tengo yo
$\rightarrow$
What hunger have $\quad P(S \mid E) P(E)=0.000014 \times 0.000001$
Hungry I am so $\quad P(S \mid E) P(E)=0.000001 \times 0.0000014$
I am so hungry $\quad P(S \mid E) P(E)=0.0000015 \times 0.0001$
Have i that hunger $\quad P(S \mid E) P(E)=0.000020 \times 0.00000098$

## The Sentence Alignment Problem

- Might have 1003 sentences (in sequence) of English, 987 sentences (in sequence) of French: but which English sentence(s) corresponds to which French sentence(s)?

- Might have 1-1 alignments, 1-2, 2-1, 2-2 etc.


## The Sentence Alignment Problem

- Clearly needed before we can train a translation model
- Also useful for other multi-lingual problems
- Two broad classes of methods we'll cover:
- Methods based on sentence lengths alone.
- Methods based on lexical matches, or "cognates".


## Sentence Length Methods

(Gale and Church, 1993):

- Method assumes paragraph alignment is known, sentence alignment is not known.
- Define:
- $l_{e}=$ length of English sentence, in characters
- $l_{f}=$ length of French sentence, in characters
- Assumption: given length $l_{e}$, length $l_{f}$ has a gaussian/normal distribution with mean $c \times l_{e}$, and variance $s^{2} \times l_{e}$ for some constants $c$ and $s$.
- Result: we have a cost

$$
\operatorname{Cost}\left(l_{e}, l_{f}\right)
$$

for any pairs of lengths $l_{e}$ and $l_{f}$.

## Each Possible Alignment Has a Cost

$$
\begin{aligned}
& e_{1} \quad f_{1} \\
& e_{2} \\
& e_{3} \quad f_{2} \\
& \text { total cost is } \\
& \begin{array}{ll}
e_{4} & f_{3} \\
-------- \\
e_{5} & f_{4}
\end{array} \\
& f_{5} \\
& \text { In this case, if length of } e_{i} \text { is } l_{i} \text {, and length of } f_{i} \text { is } m_{i} \text {, } \\
& \text { Cost }=\operatorname{Cost}\left(l_{1}+l_{2}, m_{1}\right)+\operatorname{Cost}_{21}+ \\
& \operatorname{Cost}\left(l_{3}, m_{2}\right)+\operatorname{Cost}_{11}+ \\
& \operatorname{Cost}\left(l_{4}, m_{3}\right)+\operatorname{Cost}_{11}+ \\
& \operatorname{Cost}\left(l_{4}, m_{4}+m_{5}\right)+\operatorname{Cost}_{12}+ \\
& \operatorname{Cost}\left(l_{6}+l_{7}, m_{6}+m_{7}\right)+\operatorname{Cost}_{22} \\
& \text { where } \text { Cost }_{i j} \text { terms correspond to costs for 1-1, 1-2, } \\
& \text { 2-1 and 2-2 alignments. }
\end{aligned}
$$

- Dynamic programming can be used to search for the lowest cost alignment


## Methods Based on Cognates

- Intuition: related words in different languages often have similar spellings e.g., government and gouvernement
- Cognate matches can "anchor" sentence-sentence correspondences
- A method from (Church 1993): track all 4-grams of characters which are identical in the two texts.
- A method from (Melamed 1993), measures similarity of words $A$ and $B$ :

$$
\operatorname{LCSR}(A, B)=\frac{\operatorname{length}(L C S(A, B))}{\max (\operatorname{length}(A), \operatorname{length}(B))}
$$

where $L C S$ is the longest common subsequence (not necessarily contiguous) in $A$ and $B$. e.g.,

$$
L C S R(\text { government,gouvernement })=\frac{10}{13}
$$

## Today

- The components of a simple MT system
- You already know about the LM
- Word-alignment based TMs
- IBM models 1 and 2, HMM model
- A simple decoder
- Not today
- More complex word-level and phrase-level TMs
- Tree-to-tree and tree-to-string TMs
- More sophisticated decoders


## A Word-Level TM?

## - What might a model of $P(f \mid e)$ look like?

| $e=e_{1} \ldots e_{I}$ | And $_{1}$ | the ${ }_{2}$ | program $_{3}$ |  | has $_{4}$ |  | been $_{5}$ | implemented $_{6}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $f=f_{1} \ldots f_{J}$ | $\mathrm{Le}_{1}$ | programme ${ }_{2}$ | $\mathrm{a}_{3}$ | étéc ${ }_{4}$ |  | $\mathrm{mis}_{5}$ | $\mathrm{en}_{6}$ | application $_{7}$ |

$$
P(f \mid e)=\prod_{j} P \underbrace{P\left(f_{j} \mid e_{1} \ldots e_{I}\right)}
$$

How to estimate this?
What can go wrong here?

## IBM Model 1 (Brown 93)

- Alignments: a hidden vector called an alignment specifies which English source is responsible for each French target word.

$$
\begin{aligned}
a=a_{1} \ldots a_{J}
\end{aligned}
$$

## 1-to-Many Alignments



## Many-to-1 Alignments

(hese

## Many-to-Many Alignments



## Monotonic Translation

Japan shaken by two new quakes


Le Japon secoué par deux nouveaux séismes

## Local Order Change

Japan is at the junction of four tectonic plates


Le Japon est au confluent de quatre plaques tectoniques

## IBM Model 2

- Alignments tend to the diagonal (broadly at least)

$$
\begin{gathered}
P(f, a \mid e)=\prod_{j} P\left(a_{j}=i \mid j, I, J\right) P\left(f_{j} \mid e_{i}\right) \\
P\left(i-j \frac{I}{J}\right) \\
\frac{1}{Z} e^{-\alpha\left(i-j \frac{I}{J}\right)}
\end{gathered}
$$

- Other schemes for biasing alignments towards the diagonal:
- Relative alignment
- Asymmetric distances
- Learning a multinomial over distances


## IBM Model 2 - Alternative

- Model $P\left(a_{j}=i \mid j, I, J\right)$ as a simple dense table.

$$
P(f, a \mid e)=\prod_{j} P\left(a_{j}=i \mid j, I, J\right) P\left(f_{j} \mid e_{i}\right)
$$

- In other words, a simple multinomial over $i$ for each $j, I, J$
- e.g. $D(i=2 \mid j=1, l=6, J=7)$


## How to learn these parameters from pairs of sentences?

## EM for Models $1 / 2$

- Model 1 Parameters:

Translation probabilities (word pairs) $P\left(f_{j} \mid e_{i}\right)$
Distortion parameters (1 only) $\quad P\left(a_{j}=i \mid j, I, J\right)$

- Start with $P\left(f_{j} \mid e_{i}\right)$ uniform, including $P\left(f_{j} \mid\right.$ null $)$
- For each sentence:
- For each French position j
- Calculate posterior over English positions

$$
P\left(a_{j}=i \mid f, e\right)=\frac{P\left(a_{j}=i \mid j, I, J\right) P\left(f_{j} \mid e_{i}\right)}{\sum_{i^{\prime}} P\left(a_{j}=i^{\prime} \mid j, I, J\right) P\left(f_{j} \mid e_{i}^{\prime}\right)}
$$

- (or just use best single alignment)
- Increment count of word $f_{j}$ with word $e_{i}$ by these amounts
- Also re-estimate distortion probabilities for model 2
- Iterate until convergence


## Notation switch:

## $1=\mathrm{I} \quad$ length of English document $\mathrm{m}=\mathrm{J}$ length of French document

## IBM Model 2

- Only difference: we now introduce alignment or distortion parameters

$$
\begin{aligned}
\mathbf{D}(i \mid j, l, m)= & \text { Probability that } j ’ \text { th French word is connected } \\
& \text { to } i{ }^{\prime} \text { th English word, given sentence lengths of } \\
& \mathbf{e} \text { and } \mathbf{f} \text { are } l \text { and } m \text { respectively }
\end{aligned}
$$

- Define

$$
P\left(\mathbf{a}=\left\{a_{1}, \ldots a_{m}\right\} \mid \mathbf{e}, l, m\right)=\prod_{j=1}^{m} \mathbf{D}\left(a_{j} \mid j, l, m\right)
$$

- Gives

$$
P(\mathbf{f}, \mathbf{a} \mid \mathbf{e}, l, m)=\prod_{j=1}^{m} \mathbf{D}\left(a_{j} \mid j, l, m\right) \mathbf{T}\left(f_{j} \mid e_{a_{j}}\right)
$$

- Note: Model 1 is a special case of Model 2, where $\mathbf{D}(i \mid j, l, m)=\frac{1}{l+1}$ for all $i, j$.


## An Example

$$
\begin{aligned}
l & =6 \\
m & =7 \\
\mathbf{e} & =\text { And the program has been implemented } \\
\mathbf{f} & =\text { Le programme a ete mis en application } \\
\mathbf{a} & =\{2,3,4,5,6,6,6\} \\
P(\mathbf{a} \mid \mathbf{e}, l=6, m=7)= & \begin{array}{l}
\mathrm{D}(i=2 \mid j=1, l=6, m=7) \times \\
\\
\\
\\
\\
\\
\\
\\
\\
\\
\mathbf{D}(i=3|j=4| j=3, l=6, m=7) \times \\
\\
\mathbf{D}(i=6 \mid j=5, l=6, m=7) \times \\
\\
\mathbf{D}(i=6 \mid j=6, l=6, m=7) \times \\
\\
\\
\mathrm{D}(i=6 \mid j=7, l=6, m=7)
\end{array}
\end{aligned}
$$

$$
\begin{aligned}
P(\mathbf{f} \mid \mathbf{a}, \mathbf{e})= & \mathrm{T}(\text { Le } \mid \text { the }) \times \\
& \mathrm{T}(\text { programme } \mid \text { program }) \times \\
& \mathrm{T}(a \mid \text { has }) \times \\
& \mathrm{T}(\text { ete } \mid \text { been }) \times \\
& \mathrm{T}(\text { mis } \mid \text { implemented }) \times \\
& \mathrm{T}(\text { en } \mid \text { implemented }) \times \\
& \mathrm{T}(\text { application } \mid \text { implemented })
\end{aligned}
$$

## IBM Model 2: The Generative Process

To generate a French string f from an English string e:

- Step 1: Pick the length of $\mathbf{f}$ (all lengths equally probable, probability $C$ )
- Step 2: Pick an alignment $\mathbf{a}=\left\{a_{1}, a_{2} \ldots a_{m}\right\}$ with probability

$$
\prod_{j=1}^{m} \mathrm{D}\left(a_{j} \mid j, l, m\right)
$$

- Step 3: Pick the French words with probability

$$
P(\mathbf{f} \mid \mathbf{a}, \mathbf{e})=\prod_{j=1}^{m} \mathbf{T}\left(f_{j} \mid e_{a_{j}}\right)
$$

The final result:

$$
P(\mathbf{f}, \mathbf{a} \mid \mathbf{e})=P(\mathbf{a} \mid \mathbf{e}) P(\mathbf{f} \mid \mathbf{a}, \mathbf{e})=C \prod_{j=1}^{m} \mathbf{D}\left(a_{j} \mid j, l, m\right) \mathbf{T}\left(f_{j} \mid e_{a_{j}}\right)
$$

EM Training of Alignment and Translation Parameters

## A Hidden Variable Problem

- We have:

$$
P(\mathbf{f}, \mathbf{a} \mid \mathbf{e})=C \prod_{j=1}^{m} \mathbf{D}\left(a_{j} \mid j, l, m\right) \mathbf{T}\left(f_{j} \mid e_{a_{j}}\right)
$$

- And:

$$
P(\mathbf{f} \mid \mathbf{e})=\sum_{\mathbf{a} \in \mathcal{A}} C \prod_{j=1}^{m} \mathbf{D}\left(a_{j} \mid j, l, m\right) \mathbf{T}\left(f_{j} \mid e_{a_{j}}\right)
$$

where $\mathcal{A}$ is the set of all possible alignments.

## A Hidden Variable Problem

- Training data is a set of $\left(\mathbf{f}_{k}, \mathbf{e}_{k}\right)$ pairs, likelihood is

$$
\sum_{k} \log P\left(\mathbf{f}_{k} \mid \mathbf{e}_{k}\right)=\sum_{k} \log \sum_{\mathbf{a} \in \mathcal{A}} P\left(\mathbf{a} \mid \mathbf{e}_{k}\right) P\left(\mathbf{f}_{k} \mid \mathbf{a}, \mathbf{e}_{k}\right)
$$

where $\mathcal{A}$ is the set of all possible alignments.

- We need to maximize this function w.r.t. the translation parameters, and the alignment probabilities
- EM can be used for this problem: initialize parameters randomly, and at each iteration choose

$$
\Theta_{t}=\operatorname{argmax}_{\Theta} \sum_{i} \sum_{\mathbf{a} \in \mathcal{A}} P\left(\mathbf{a} \mid \mathbf{e}_{k}, \mathbf{f}_{k}, \Theta^{t-1}\right) \log P\left(\mathbf{f}_{k}, \mathbf{a} \mid \mathbf{e}_{k}, \Theta\right)
$$

where $\Theta^{t}$ are the parameter values at the $t^{\prime}$ th iteration.

## Models 1 and 2 Have a Simple Structure

- We have $\mathbf{f}=\left\{f_{1} \ldots f_{m}\right\}, \mathbf{a}=\left\{a_{1} \ldots a_{m}\right\}$, and

$$
P(\mathbf{f}, \mathbf{a} \mid \mathbf{e}, l, m)=\prod_{j=1}^{m} P\left(a_{j}, f_{j} \mid \mathbf{e}, l, m\right)
$$

where

$$
P\left(a_{j}, f_{j} \mid \mathbf{e}, l, m\right)=\mathbf{D}\left(a_{j} \mid j, l, m\right) \mathrm{T}\left(f_{j} \mid e_{a_{j}}\right)
$$

- We can think of the $m\left(f_{j}, a_{j}\right)$ pairs as being generated independently


## A Crucial Step in the EM Algorithm

- Say we have the following $(\mathbf{e}, \mathbf{f})$ pair:

$$
\begin{aligned}
& \mathbf{e}=\text { And the program has been implemented } \\
& \mathbf{f}=\text { Le programme a ete mis en application }
\end{aligned}
$$

- Given that $\mathbf{f}$ was generated according to Model 2 , what is the probability that $a_{1}=2$ ? Formally:

$$
\operatorname{Prob}\left(a_{1}=2 \mid \mathbf{f}, \mathbf{e}\right)=\sum_{\mathbf{a}: a_{1}=2} P(\mathbf{a} \mid \mathbf{f}, \mathbf{e}, l, m)
$$

## The Answer

$$
\begin{aligned}
\operatorname{Prob}\left(a_{1}=2 \mid \mathbf{f}, \mathbf{e}\right) & =\sum_{\mathbf{a}: a_{1}=2} P(\mathbf{a} \mid \mathbf{f}, \mathbf{e}, l, m) \\
& =\frac{\mathbf{D}\left(a_{1}=2 \mid j=1, l=6, m=7\right) \mathbf{T}(l e \mid \text { the })}{\sum_{i=0}^{l} \mathbf{D}\left(a_{1}=i \mid j=1, l=6, m=7\right) \mathbf{T}\left(l e \mid e_{i}\right)}
\end{aligned}
$$

Follows directly because the $\left(a_{j}, f_{j}\right)$ pairs are independent:

$$
\begin{align*}
P\left(a_{1}=2 \mid \mathbf{f}, \mathbf{e}, l, m\right) & =\frac{P\left(a_{1}=2, f_{1}=L e \mid f_{2} \ldots f_{m}, \mathbf{e}, l, m\right)}{P\left(f_{1}=L e \mid f_{2} \ldots f_{m}, \mathbf{e}, l, m\right)}  \tag{1}\\
& =\frac{P\left(a_{1}=2, f_{1}=L e \mid \mathbf{e}, l, m\right)}{P\left(f_{1}=L e \mid \mathbf{e}, l, m\right)}  \tag{2}\\
& =\frac{P\left(a_{1}=2, f_{1}=L e \mid \mathbf{e}, l, m\right)}{\sum_{i} P\left(a_{1}=i, f_{1}=L e \mid \mathbf{e}, l, m\right)}
\end{align*}
$$

where (2) follows from (1) because $P(\mathbf{f}, \mathbf{a} \mid \mathbf{e}, l, m)=\prod_{j=1}^{m} P\left(a_{j}, f_{j} \mid \mathbf{e}, l, m\right)$

## A General Result

$$
\begin{aligned}
\operatorname{Prob}\left(a_{j}=i \mid \mathbf{f}, \mathbf{e}\right) & =\sum_{\mathbf{a}: a_{j}=i} P(\mathbf{a} \mid \mathbf{f}, \mathbf{e}, l, m) \\
& =\frac{\mathbf{D}\left(a_{j}=i \mid j, l, m\right) \mathbf{T}\left(f_{j} \mid e_{i}\right)}{\sum_{i^{\prime}=0}^{l} \mathbf{D}\left(a_{j}=i^{\prime} \mid j, l, m\right) \mathbf{T}\left(f_{j} \mid e_{i^{\prime}}\right)}
\end{aligned}
$$

## Alignment Probabilities have a Simple Solution!

- e.g., Say we have $l=6, m=7$,

$$
\begin{aligned}
& \mathbf{e}=\text { And the program has been implemented } \\
& \mathbf{f}=\text { Le programme a ete mis en application }
\end{aligned}
$$

- Probability of "mis" being connected to "the":

$$
P\left(a_{5}=2 \mid \mathbf{f}, \mathbf{e}\right)=\frac{\mathbf{D}\left(a_{5}=2 \mid j=5, l=6, m=7\right) \mathbf{T}(m i s \mid \text { the })}{Z}
$$

where

$$
\begin{aligned}
Z= & \quad \mathbf{D}\left(a_{5}=0 \mid j=5, l=6, m=7\right) \mathbf{T}(\text { mis } \mid N U L L) \\
& +\mathbf{D}\left(a_{5}=1 \mid j=5, l=6, m=7\right) \mathbf{T}(\text { mis } \mid \text { And }) \\
& +\mathbf{D}\left(a_{5}=2 \mid j=5, l=6, m=7\right) \mathbf{T}(\text { mis } \mid \text { the }) \\
& +\mathbf{D}\left(a_{5}=3 \mid j=5, l=6, m=7\right) \mathbf{T}(\text { mis } \mid \text { program }) \\
& +\quad \ldots
\end{aligned}
$$

## The EM Algorithm for Model 2

- Define
$\mathbf{e}[k]$ for $k=1 \ldots n$ is the $k$ 'th English sentence
$\mathbf{f}[k]$ for $k=1 \ldots n$ is the $k$ 'th French sentence
$l[k]$ is the length of $\mathbf{e}[k]$
$m[k] \quad$ is the length of $\mathbf{f}[k]$
$\mathbf{e}[k, i] \quad$ is the $i$ 'th word in $\mathbf{e}[k]$
$\mathbf{f}[k, j] \quad$ is the $j$ 'th word in $\mathbf{f}[k]$
- Current parameters $\Theta^{t-1}$ are

$$
\begin{aligned}
\mathrm{T}(f \mid e) \\
\mathbf{D}(i \mid j, l, m)
\end{aligned} \quad \text { for all } f \in \mathcal{F}, e \in \mathcal{E}
$$

- We'll see how the EM algorithm re-estimates the T and D parameters


## Step 1: Calculate the Alignment Probabilities

- Calculate an array of alignment probabilities (for $(k=1 \ldots n),(j=1 \ldots m[k]),(i=0 \ldots l[k]))$ :

$$
\begin{aligned}
a[i, j, k] & =P\left(a_{j}=i \mid \mathbf{e}[k], \mathbf{f}[k], \Theta^{t-1}\right) \\
& =\frac{\mathrm{D}\left(a_{j}=i \mid j, l, m\right) \mathrm{T}\left(f_{j} \mid e_{i}\right)}{\sum_{i^{\prime}=0}^{l} \mathrm{D}\left(a_{j}=i^{\prime} \mid j, l, m\right) \mathrm{T}\left(f_{j} \mid e_{i^{\prime}}\right)}
\end{aligned}
$$

where $e_{i}=\mathbf{e}[k, i], f_{j}=\mathbf{f}[k, j]$, and $l=l[k], m=m[k]$
i.e., the probability of $\mathbf{f}[k, j]$ being aligned to $\mathbf{e}[k, i]$.

## Step 2: Calculating the Expected Counts

- Calculate the translation counts

$$
\operatorname{tcount}(e, f)=\sum_{\substack{i, j, k: \\ \mathrm{e}[k, i]=e, \mathbf{f}[k, j]=f}} a[i, j, k]
$$

- tcount $(e, f)$ is expected number of times that $e$ is aligned with $f$ in the corpus


## Step 2: Calculating the Expected Counts

- Calculate the source counts

$$
\operatorname{scount}(e)=\sum_{\substack{i, k: \\ \mathbf{e}[k, i]=e}}^{\sum_{j=1}^{m[k]} a[i, j, k]}
$$

- $\operatorname{scount}(e)$ is expected number of times that $e$ is aligned with any French word in the corpus


## Step 2: Calculating the Expected Counts

- Calculate the alignment counts

$$
\begin{gathered}
\operatorname{acount}(i, j, l, m)=\sum_{\substack{k: \\
l[k]=l, m[k]=m}} a[i, j, k] \\
\operatorname{acount}(j, l, m)=|\{k: l[k]=l, m[k]=m\}|
\end{gathered}
$$

- Here, acount $(i, j, l, m)$ is expected number of times that $e_{i}$ is aligned to $f_{j}$ in English/French sentences of lengths $l$ and $m$ respectively
- acount $(j, l, m)$ is number of times that we have sentences $\mathbf{e}$ and $\mathbf{f}$ of lengths $l$ and $m$ respectively


## Step 3: Re-estimating the Parameters

- New translation probabilities are then defined as

$$
P(f \mid e)=\frac{\operatorname{tcount}(e, f)}{\operatorname{scount}(e)}
$$

- New alignment probabilities are defined as

$$
P\left(a_{j}=i \mid j, l, m\right)=\frac{\operatorname{acount}(i, j, l, m)}{\operatorname{acount}(j, l, m)}
$$

This defines the mapping from $\Theta^{t-1}$ to $\Theta^{t}$

## A Summary of the EM Procedure

- Start with parameters $\Theta^{t-1}$ as

$$
\begin{aligned}
& \mathbf{T}(f \mid e) \text { for all } f \in \mathcal{F}, e \in \mathcal{E} \\
& \mathrm{D}(i \mid j, l, m)
\end{aligned} \quad \text {. }
$$

- Calculate alignment probabilities under current parameters

$$
a[i, j, k]=\frac{\mathbf{D}\left(a_{j}=i \mid j, l, m\right) \mathbf{T}\left(f_{j} \mid e_{i}\right)}{\sum_{i^{\prime}=0}^{l} \mathbf{D}\left(a_{j}=i^{\prime} \mid j, l, m\right) \mathbf{T}\left(f_{j} \mid e_{i^{\prime}}\right)}
$$

- Calculate expected counts $\operatorname{tcount}(e, f), \operatorname{scount}(e), \operatorname{acount}(i, j, l, m)$, and $\operatorname{acount}(j, l, m)$ from the alignment probabilities
- Re-estimate $\mathbf{T}(f \mid e)$ and $\mathbf{D}(i \mid j, l, m)$ from the expected counts

Some examples of training

## An Example of Training Models 1 and 2

Example will use following translations:

$$
\mathbf{e}[1]=\text { the } \operatorname{dog}
$$

$\mathbf{f}[1]=$ le chien
$\mathbf{e}[2]=$ the cat
$\mathbf{f}[2]=$ le chat
$\mathbf{e}[3]=$ the bus
$\mathbf{f}[3]=1$ autobus

NB: I won't use a NULL word $e_{0}$

|  | $e$ | $f$ | $\mathrm{T}(f)$ | $e)$ |
| :---: | :---: | :---: | :---: | :---: |
|  | the | le | 0.23 |  |
|  | the | chien | 0.2 |  |
|  | the | chat | 0.11 |  |
|  | the | $1 '$ | 0.25 |  |
|  | the | autobus | 0.21 |  |
|  | dog | le | 0.2 |  |
|  | dog | chien | 0.16 |  |
|  | dog | chat | 0.33 |  |
|  | dog | $1 '$ | 0.12 |  |
| Initial (random) parameters: | dog | autobus | 0.18 |  |
|  | cat | le | 0.26 |  |
|  | cat | chien | 0.28 |  |
|  | cat | chat | 0.19 |  |
|  | cat | $1 '$ | 0.24 |  |
|  | cat | autobus | 0.03 |  |
|  | bus | le | 0.22 |  |
|  | bus | chien | 0.05 |  |
|  | bus | chat | 0.26 |  |
|  | bus | $1 '$ | 0.19 |  |
|  | bus | autobus | 0.27 |  |

## Alignment probabilities:

| i | j | k | $\mathrm{a}(\mathrm{i}, \mathrm{j}, \mathrm{k})$ |
| :--- | :--- | :--- | :--- |
| 1 | 1 | 0 | 0.526423237959726 |
| 2 | 1 | 0 | 0.473576762040274 |
| 1 | 2 | 0 | 0.552517995605817 |
| 2 | 2 | 0 | 0.447482004394183 |
| 1 | 1 | 1 | 0.466532602066533 |
| 2 | 1 | 1 | 0.533467397933467 |
| 1 | 2 | 1 | 0.356364544422507 |
| 2 | 2 | 1 | 0.643635455577493 |
| 1 | 1 | 2 | 0.571950438336247 |
| 2 | 1 | 2 | 0.428049561663753 |
| 1 | 2 | 2 | 0.439081311724508 |
| 2 | 2 | 2 | 0.560918688275492 |


| $e$ | $f$ | $\operatorname{tcount}(e, f)$ |
| :--- | :--- | :--- |
| the | le | 0.99295584002626 |
| the | chien | 0.552517995605817 |
| the | chat | 0.356364544422507 |
| the | $l^{\prime}$ | 0.571950438336247 |
| the | autobus | 0.439081311724508 |
| dog | le | 0.473576762040274 |
| dog | chien | 0.447482004394183 |
| dog | chat | 0 |
| dog | l' | 0 |
| dog | autobus | 0 |
| cat | le | 0.533467397933467 |
| cat | chien | 0 |
| cat | chat | 0.643635455577493 |
| cat | l' | 0 |
| cat | autobus | 0 |
| bus | le | 0 |
| bus | chien | 0 |
| bus | chat | 0 |
| bus | $l^{\prime}$ | 0.428049561663753 |
| bus | autobus | 0.560918688275492 |


|  | $e$ | $f$ | old | new |
| :--- | :--- | :--- | :--- | :--- |
|  | the | le | 0.23 | 0.34 |
|  | the | chien | 0.2 | 0.19 |
|  | the | chat | 0.11 | 0.12 |
|  | the | $l$ | 0.25 | 0.2 |
|  | the | autobus | 0.21 | 0.15 |
| Old and new parameters: | dog | le | 0.2 | 0.51 |
|  | dog | autobus | 0.18 | 0 |
|  | cat | le | 0.26 | 0.45 |
|  | dog | chat | 0.16 | 0.49 |
|  | cat | chien | 0.28 | 0 |
|  | cat | chat | 0.19 | 0.55 |
|  | cat | $l$ | 0.33 | 0 |
|  | cat | autobus | 0.03 | 0 |
|  | bus | le | 0.22 | 0 |
|  | bus | chien | 0.05 | 0 |
|  | bus | chat | 0.26 | 0 |
|  | bus | l' | 0.19 | 0.43 |
|  | bus | autobus | 0.27 | 0.57 |


| $e$ | $f$ |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| the | le | 0.23 | 0.34 | 0.46 | 0.56 | 0.64 | 0.71 |
| the | chien | 0.2 | 0.19 | 0.15 | 0.12 | 0.09 | 0.06 |
| the | chat | 0.11 | 0.12 | 0.1 | 0.08 | 0.06 | 0.04 |
| the | l' | 0.25 | 0.2 | 0.17 | 0.15 | 0.13 | 0.11 |
| the | autobus | 0.21 | 0.15 | 0.12 | 0.1 | 0.08 | 0.07 |
| dog | le | 0.2 | 0.51 | 0.46 | 0.39 | 0.33 | 0.28 |
| dog | chien | 0.16 | 0.49 | 0.54 | 0.61 | 0.67 | 0.72 |
| dog | chat | 0.33 | 0 | 0 | 0 | 0 | 0 |
| dog | l' | 0.12 | 0 | 0 | 0 | 0 | 0 |
| dog | autobus | 0.18 | 0 | 0 | 0 | 0 | 0 |
| cat | le | 0.26 | 0.45 | 0.41 | 0.36 | 0.3 | 0.26 |
| cat | chien | 0.28 | 0 | 0 | 0 | 0 | 0 |
| cat | chat | 0.19 | 0.55 | 0.59 | 0.64 | 0.7 | 0.74 |
| cat | l | 0.24 | 0 | 0 | 0 | 0 | 0 |
| cat | autobus | 0.03 | 0 | 0 | 0 | 0 | 0 |
| bus | le | 0.22 | 0 | 0 | 0 | 0 | 0 |
| bus | chien | 0.05 | 0 | 0 | 0 | 0 | 0 |
| bus | chat | 0.26 | 0 | 0 | 0 | 0 | 0 |
| bus | l | 0.19 | 0.43 | 0.47 | 0.47 | 0.47 | 0.48 |
| bus | autobus | 0.27 | 0.57 | 0.53 | 0.53 | 0.53 | 0.52 |


|  | $e$ | $f$ |  |
| :---: | :---: | :---: | :---: |
|  | the | le | 0.94 |
|  | the | chien | 0 |
|  | the | chat | 0 |
|  | the | 1 ' | 0.03 |
|  | the | autobus | 0.02 |
|  | dog | le | 0.06 |
|  | dog | chien | 0.94 |
|  | dog | chat | 0 |
|  | dog | $1 '$ | 0 |
| After 20 iterations: | dog | autobus | 0 |
|  | cat | le | 0.06 |
|  | cat | chien | 0 |
|  | cat | chat | 0.94 |
|  | cat | $1 '$ | 0 |
|  | cat | autobus | 0 |
|  | bus | le | 0 |
|  | bus | chien | 0 |
|  | bus | chat | 0 |
|  | bus | 1 ' | 0.49 |
|  | bus | autobus | 0.51 |


| Model 2 has several local maxima - good one: | $e$ | $f$ | T $(f)$ | $e)$ |
| :---: | :---: | :---: | :---: | :---: |
|  | the | le | 0.67 |  |
|  | the | chien | 0 |  |
|  | the | chat | 0 |  |
|  | the | 1 ' | 0.33 |  |
|  | the | autobus | 0 |  |
|  | dog | le | 0 |  |
|  | dog | chien | 1 |  |
|  | dog | chat | 0 |  |
|  | dog | 1 ' | 0 |  |
|  | dog | autobus | 0 |  |
|  | cat | le | 0 |  |
|  | cat | chien | 0 |  |
|  | cat | chat | 1 |  |
|  | cat | $1 '$ | 0 |  |
|  | cat | autobus | 0 |  |
|  | bus | le | 0 |  |
|  | bus | chien | 0 |  |
|  | bus | chat | 0 |  |
|  | bus | 1 ' | 0 |  |
|  | bus | autobus | 1 |  |


| $e$ | $f$ | $\mathbf{T}(f \mid e)$ |
| :--- | :--- | :--- |
| the | le | 0 |
| the | chien | 0.4 |
| the | chat | 0.3 |
| the | l' | 0 |
| the | autobus | 0.3 |
| dog | le | 0.5 |
| dog | chien | 0.5 |
| dog | chat | 0 |
| dog | 1 | 0 |
| dog | autobus | 0 |
| cat | le | 0.5 |
| cat | chien | 0 |
| cat | chat | 0.5 |
| cat | 1 | 0 |
| cat | autobus | 0 |
| bus | le | 0 |
| bus | chien | 0 |
| bus | chat | 0 |
| bus | 1 l | 0.5 |
| bus | autobus | 0.5 |



- Alignment parameters for good solution:

$$
\begin{aligned}
\mathbf{T}(i=1 \mid j=1, l=2, m=2) & =1 \\
\mathbf{T}(i=2 \mid j=1, l=2, m=2) & =0 \\
\mathbf{T}(i=1 \mid j=2, l=2, m=2) & =0 \\
\mathbf{T}(i=2 \mid j=2, l=2, m=2) & =1
\end{aligned}
$$

$\log$ probability $=-1.91$

- Alignment parameters for first bad solution:

$$
\begin{aligned}
\mathbf{T}(i=1 \mid j=1, l=2, m=2) & =0 \\
\mathbf{T}(i=2 \mid j=1, l=2, m=2) & =1 \\
\mathbf{T}(i=1 \mid j=2, l=2, m=2) & =0 \\
\mathbf{T}(i=2 \mid j=2, l=2, m=2) & =1
\end{aligned}
$$

$\log$ probability $=-4.16$

- Alignment parameters for second bad solution:

$$
\begin{array}{r}
\mathrm{T}(i=1 \mid j=1, l=2, m=2)=0 \\
\mathrm{~T}(i=2 \mid j=1, l=2, m=2)=1 \\
\mathrm{~T}(i=1 \mid j=2, l=2, m=2)=1 \\
\mathrm{~T}(i=2 \mid j=2, l=2, m=2)=0
\end{array}
$$

$\log$ probability $=-3.30$

## Improving the Convergence Properties of Model 2

- Out of 100 random starts, only 60 converged to the best local maxima
- Model 1 converges to the same, global maximum every time (see the Brown et. al paper)
- Method in IBM paper: run Model 1 to estimate T parameters, then use these as the initial parameters for Model 2
- In 100 tests using this method, Model 2 converged to the correct point every time.


## Evaluation of Machine Translation

## $\underline{\text { Evaluation of Machine Translation Systems }}$

- Method 1: human evaluations accurate, but expensive, slow
- "Cheap" and fast evaluation is essential
- We'll discuss one prominent method: Bleu (Papineni, Roukos, Ward and Zhu, 2002)


## Evaluation of Machine Translation Systems

## Bleu (Papineni, Roukos, Ward and Zhu, 2002):

Candidate 1: It is a guide to action which ensures that the military always obeys the commands of the party.

Candidate 2: It is to insure the troops forever hearing the activity guidebook that party direct.

Reference 1: It is a guide to action that ensures that the military will forever heed Party commands.

Reference 2: It is the guiding principle which guarantees the military forces always being under the command of the Party.

Reference 3: It is the practical guide for the army always to heed the directions of the party.

## Unigram Precision

- Unigram Precision of a candidate translation:

$$
\frac{C}{N}
$$

where $N$ is number of words in the candidate, $C$ is the number of words in the candidate which are in at least one reference translation.
e.g.,

Candidate 1: It is a guide to action which ensures that the military always obeys the commands of the party.

$$
\text { Precision }=\frac{17}{18}
$$

(only obeys is missing from all reference translations)

## Modified Unigram Precision

- Problem with unigram precision:

Candidate: the the the the the the the
Reference 1: the cat sat on the mat
Reference 2: there is a cat on the mat
precision $=7 / 7=1$ ???

- Modified unigram precision: "Clipping"
- Each word has a "cap". e.g., cap(the) $=2$
- A candidate word $w$ can only be correct a maximum of $\operatorname{cap}(w)$ times. e.g., in candidate above, $\operatorname{cap}(t h e)=2$, and the is correct twice in the candidate $\Rightarrow$

$$
\text { Precision }=\frac{2}{7}
$$

## Modified N-gram Precision

- Can generalize modified unigram precision to other n-grams.
- For example, for candidates 1 and 2 above:

$$
\begin{aligned}
& \text { Precision }_{1}(\text { bigram })=\frac{10}{17} \\
& \text { Precision }_{2}(\text { bigram })=\frac{1}{13}
\end{aligned}
$$

## Precision Alone Isn't Enough

## Candidate 1: of the

Reference 1: It is a guide to action that ensures that the military will forever heed Party commands.

Reference 2: It is the guiding principle which guarantees the military forces always being under the command of the Party.

Reference 3: It is the practical guide for the army always to heed the directions of the party.

$$
\begin{gathered}
\operatorname{Precision}(\text { unigram })=1 \\
\operatorname{Precision}(\text { bigram })=1
\end{gathered}
$$

## But Recall isn't Useful in this Case

- Standard measure used in addition to precision is recall:

$$
\text { Recall }=\frac{C}{N}
$$

where $C$ is number of n -grams in candidate that are correct, $N$ is number of words in the references.

Candidate 1: I always invariably perpetually do.
Candidate 2: I always do
Reference 1: I always do
Reference 1 : I invariably do
Reference 1: I perpetually do

## Sentence Brevity Penalty

- Step 1: for each candidate, compute closest matching reference (in terms of length)
e.g., our candidate is length 12 , references are length $12,15,17$. Best match is of length 12 .
- Step 2: Say $l_{i}$ is the length of the $i$ 'th candidate, $r_{i}$ is length of best match for the $i$ 'th candidate, then compute

$$
\text { brevity }=\frac{\sum_{i} r_{i}}{\sum_{i} l_{i}}
$$

(I think! from the Papineni paper, although brevity $=\frac{\sum_{i} r_{i}}{\sum_{i} \min \left(l_{i}, r_{i}\right)}$ might make more sense?)

- Step 3 : compute brevity penalty

$$
B P= \begin{cases}1 & \text { If brevity }<1 \\ e^{1-b r e v i t y} & \text { If brevity } \geq 1\end{cases}
$$

e.g., if $r_{i}=1.1 \times l_{i}$ for all $i$ (candidates are always $10 \%$ too short) then $B P=e^{-0.1}=0.905$

## The Final Score

- Corpus precision for any n-gram is
i.e. number of correct ngrams in the candidates (after "clipping") divided by total number of ngrams in the candidates
- Final score is then

$$
\text { Bleu }=B P \times\left(p_{1} p_{2} p_{3} p_{4}\right)^{1 / 4}
$$

i.e., $B P$ multiplied by the geometric mean of the unigram, bigram, trigram, and four-gram precisions

## Evaluating TMs

- How do we measure TM quality?
- Method 1: use in an end-to-end translation system
- Hard to measure translation quality
- Option: human judges
- Option: reference translations (NIST, BLEU scores)
- Method 2: measure quality of the alignments produced
- Easy to measure
- Hard to know what the gold alignments should be
- May not correlate with translation quality (like perplexity in LMs)


## Decoding

- In these word-to-word models
- Finding best alignments is easy
- Finding translations is hard (why?)



## Bag "Generation" (Decoding)

## Exact reconstruction

Please give me your response as soon as possible. $\Rightarrow \quad$ Please give me your response as soon as possible.

Reconstruction preserving meaning
Now let me mention some of the disadvantages.
$\Rightarrow \quad$ Let me mention some of the disadvantages now.

Garbage reconstruction
In our organization research has two missions.
$\Rightarrow$ In our missions research organization has two.

## Bag Generation is a TSP

- Imagine bag generation with a bigram LM
- Words are nodes
- Edge weights are $\mathrm{P}(\mathrm{w} \mid$ $w^{\prime}$ )
- Valid sentences are Hamiltonian paths
- Not the best news for word-based MT!



## Decoding, Anyway

- Simplest possible decoder:
- Enumerate sentences, score each with TM and LM
- Greedy decoding:
- Assign each French word it's most likely English translation
- Operators:
- Change a translation
- Insert a word into the English (zero-fertile French)
- Remove a word from the English (null-generated French)
- Swap two adjacent English words
- Do hill-climbing (or annealing)
- You should be able to build a model 1/2 translator now
- More on word alignment, decoding next class


## Greedy Decoding



