

# Lecture 16: Probabilistic CFG Parsing

Intro to NLP, CS585, Fall 2014  
<http://people.cs.umass.edu/~brenocon/inlp2014/>  
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Fill in the CYK dynamic programming table to parse the sentence below. In the bottom right corner, draw the two parse trees.

she eats fish with chopsticks

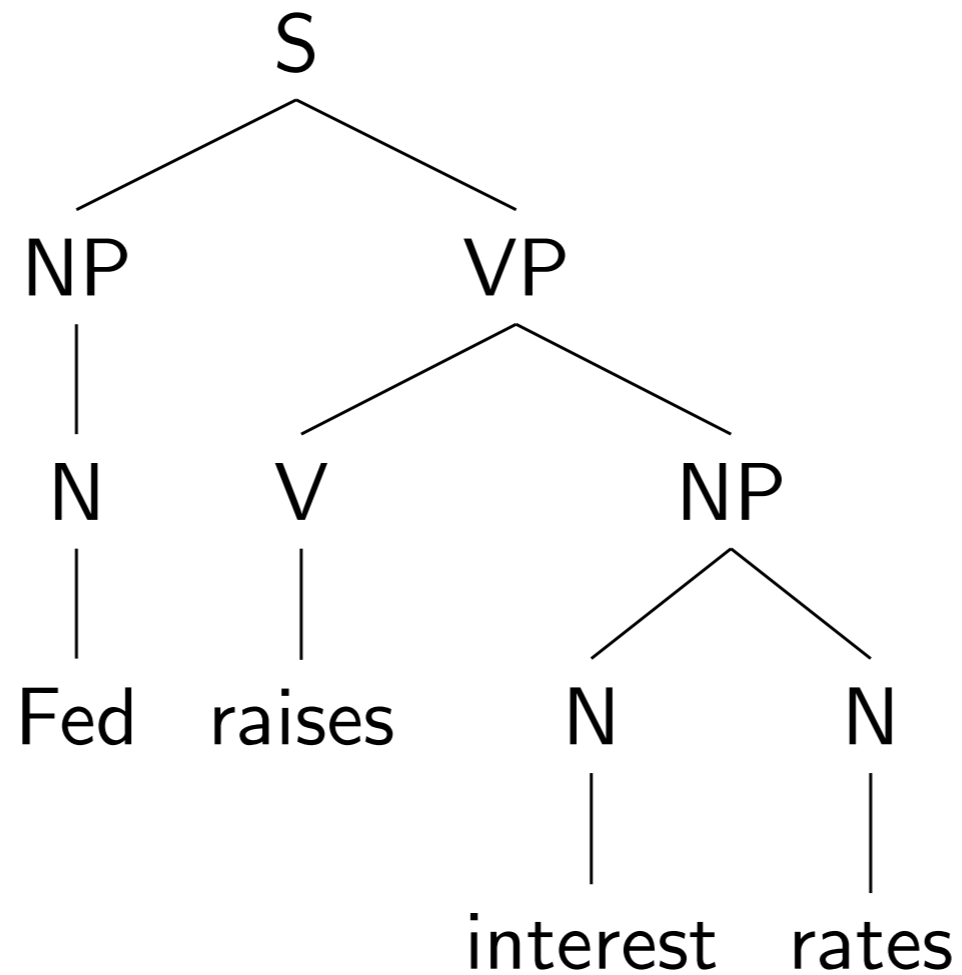
	1	2	3	4	5
0	NP				
1					
2					
3					
4					

- S → NP VP
- NP → NP PP
- VP → V NP
- VP → VP PP
- PP → P NP
- NP → she
- NP → fish
- NP → fork
- NP → chopsticks
- V → eats
- V → fish
- P → with

- (Solution slide removed for web; see the piazza resources page)

- OK, we can track ambiguities. But how to resolve them?
- Need to *prefer* certain trees/derivations to others.

# Another example



- ▶ A minimal grammar permits 36 parses!
- ▶ Broad-coverage grammars permit millions of parses of moderate-size sentences.

# PCFGs

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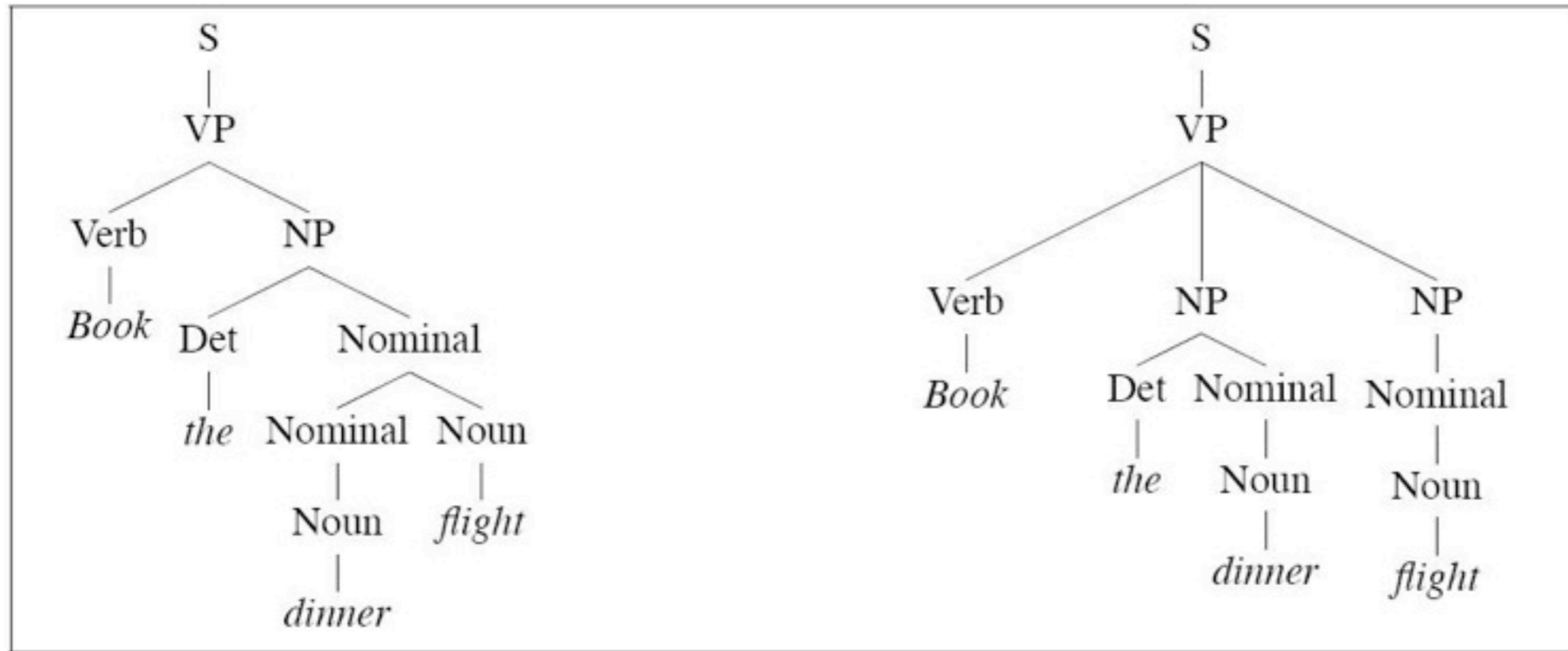
S	→ NP VP	0.9
S	→ S CC S	0.1
NP	→ N	0.2
NP	→ DT N	0.3
NP	→ N NP	0.2
NP	→ JJ NP	0.2
NP	→ NP PP	0.1
VP	→ V	0.4
VP	→ V NP	0.3
VP	→ V NP NP	0.1
VP	→ VP PP	0.2
PP	→ P NP	1.0

---

- $P(\text{words, tree}) =$   
product of all  
expansion probs
- For each  
nonterminal, possible  
expansions sum to 1

$$P(\text{tree} \mid \text{words}) = \frac{1}{Z} P(\text{tree}, \text{words})$$

$P(\text{tree}, \text{words}) =$  product of all expansion probs



Rules	P	Rules	P
S → VP	.05	S → VP	.05
VP → Verb NP	.20	VP → Verb NP NP	.10
NP → Det Nominal	.20	NP → Det Nominal	.20
Nominal → Nominal Noun	.20	NP → Nominal	.15
Nominal → Noun	.75	Nominal → Noun	.75
		Nominal → Noun	.75
Verb → book	.30	Verb → book	.30
Det → the	.60	Det → the	.60
Noun → dinner	.10	Noun → dinner	.10
Noun → flights	.40	Noun → flights	.40

# Major Research Questions

- ✓ What's the right **representation**?
- ✓ What's the right **model**?

(We've talked about one representation  
and one model.)

- How to learn to parse **empirically**?
- How to make parsers **fast**?
- How to incorporate structure **downstream**?

*[Slides: [Noah Smith](#)]*



# Decoding Algorithms

- Suppose I have a PCFG and a sentence.
- What might I want to do?
  - Find the most likely tree (if it exists).
  - Find the  $k$  most likely trees.
  - Gather statistics on the **distribution** over trees.
- Should remind you of FS models!

# Probabilistic CKY

Input: PCFG  $G = (\Sigma, \mathbf{N}, S, \mathbf{R})$  in CNF and sequence  $\mathbf{w} \in \Sigma^*$

Output: most likely tree for  $\mathbf{w}$ , if it exists, and its probability.

$$C(X, i, i) = \langle p(X \rightarrow w_i), \text{null} \rangle$$

$$C(X, i, j) = \left\langle \begin{array}{l} \max_{Y, Z \in \mathbf{N}, k \in [i+1, j-2]} C(Y, i, k) \cdot C(Z, k+1, j) \cdot p(X \rightarrow Y, Z), \\ \& \arg \max_{Y, Z \in \mathbf{N}, k \in [i+1, j-2]} C(Y, i, k) \cdot C(Z, k+1, j) \cdot p(X \rightarrow Y, Z) \end{array} \right\rangle$$

$$\text{goal} = C(S, 1, |\mathbf{w}|)$$

[Slides: [Noah Smith](#)]

# Resist This Temptation!

- CKY is not “building a tree” bottom-up.
- It is scoring partial hypotheses bottom-up.
- You can assume nothing about the tree until you get to the end!

*[Slides: [Noah Smith](#)]*

# HMM and PCFGs

- PCFGs are a generalization of HMMs

	Sequence	Tree
Decoding	Viterbi	CKY
Decoding Complexity	linear in sent. length	cubic in sent. length

# Learning from Data

1. Where do the **rules** come from?
2. Where do the rule **probabilities** come from?

First answer: Look at a huge collection of trees (a treebank).

$X \rightarrow \alpha$  is in the grammar iff it's in the treebank.

$p(\alpha | X)$  is proportional to the count of  $X \rightarrow \alpha$ .

[Slides: [Noah Smith](#)]

# Penn Treebank (Marcus et al. 1993)

- A million tokens of parsed sentences from the Wall Street Journal
  - There's also parses of the Brown corpus -- fiction, essays, etc. -- but researchers usually ignore it
- Parsed by experts (trained annotators), with consensus process for disagreement
- The structure looks like what you'd expect from a PCFG.
  - Traces ... usually ignored by most parsers
  - Tends to be “flat” where there's controversy

# Example Tree

```
( (S
  (NP-SBJ
    (NP (NNP Pierre) (NNP Vincken) )
    ( , , )
    (ADJP
      (NP (CD 61) (NNS years) )
      (JJ old) )
    ( , , ) )
  (VP (MD will)
    (VP (VB join)
      (NP (DT the) (NN board) )
      (PP-CLR (IN as)
        (NP (DT a) (JJ nonexecutive) (NN director) ))
      (NP-TMP (NNP Nov.) (CD 29) )))
  ( . . ) ))
```

[Slides: Noah Smith]

```

( (S
  (NP-SBJ-1
    (NP (NNP Rudolph) (NNP Agnew) )
    ( , , )
    (UCP
      (ADJP
        (NP (CD 55) (NNS years) )
        (JJ old) )
      (CC and)
      (NP
        (NP (JJ former) (NN chairman) )
        (PP (IN of)
          (NP (NNP Consolidated) (NNP Gold) (NNP Fields) (NNP PLC) )))
      ( , , ) )
    (VP (VBD was)
      (VP (VBN named)
        (S
          (NP-SBJ (-NONE- *-1) )
          (NP-PRD
            (NP (DT a) (JJ nonexecutive) (NN director) )
            (PP (IN of)
              (NP (DT this) (JJ British) (JJ industrial) (NN conglomerate)
            )
          )
        )
      )
    )
  )
)
)
)
)
( . . ) )

```



# Evaluating Parsers

- Take a sentence from the test set.
- Use your parser to propose a **hypothesis** parse.
- Treebank gives you the **correct** parse.
- How to compare?
  - {unlabeled, labeled} × {precision, recall}
  - crossing brackets statistics
  - evalb (<http://nlp.cs.nyu.edu/evalb>)
- Significance testing ...

[Slides: Noah Smith]

# Issues

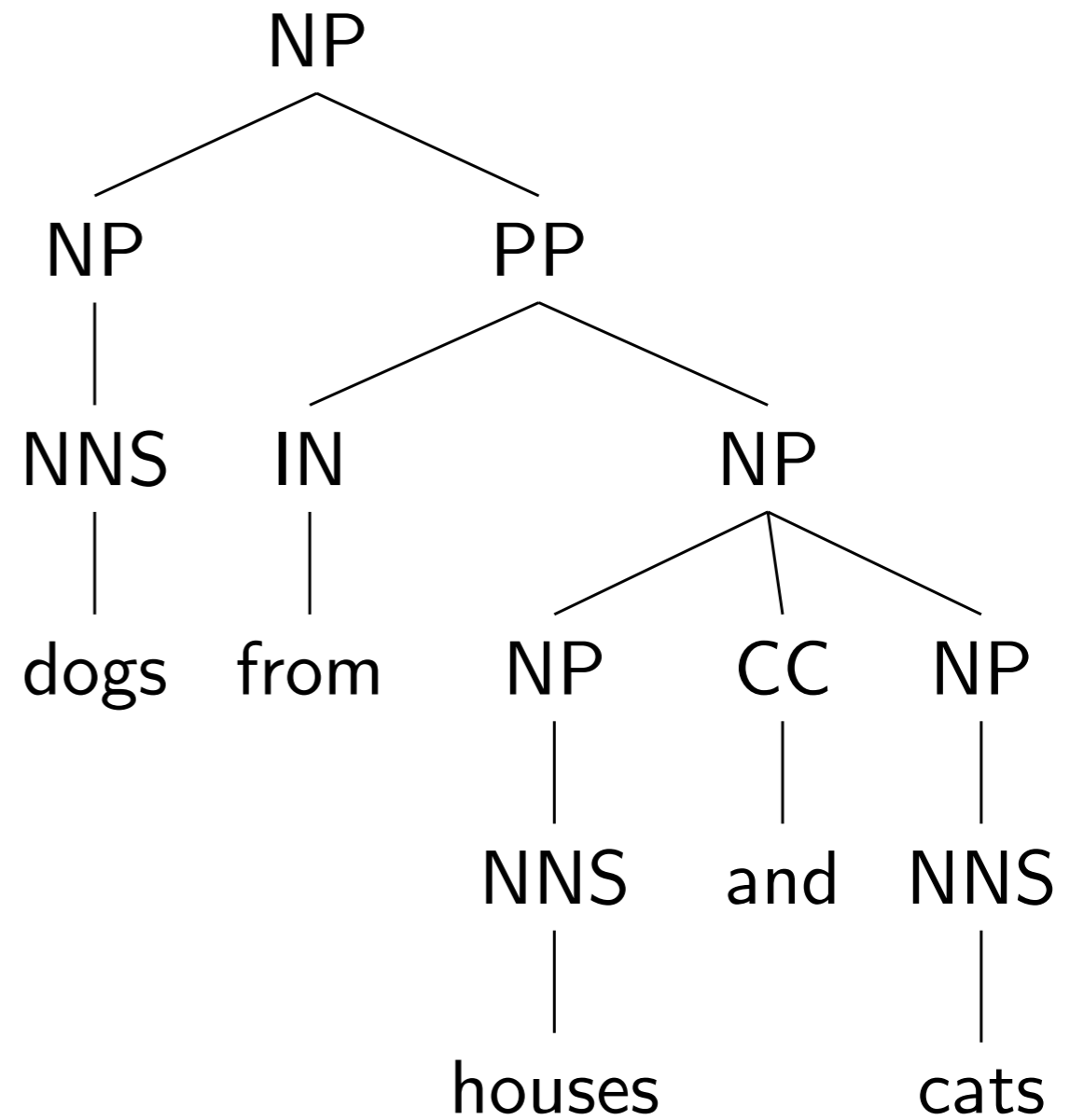
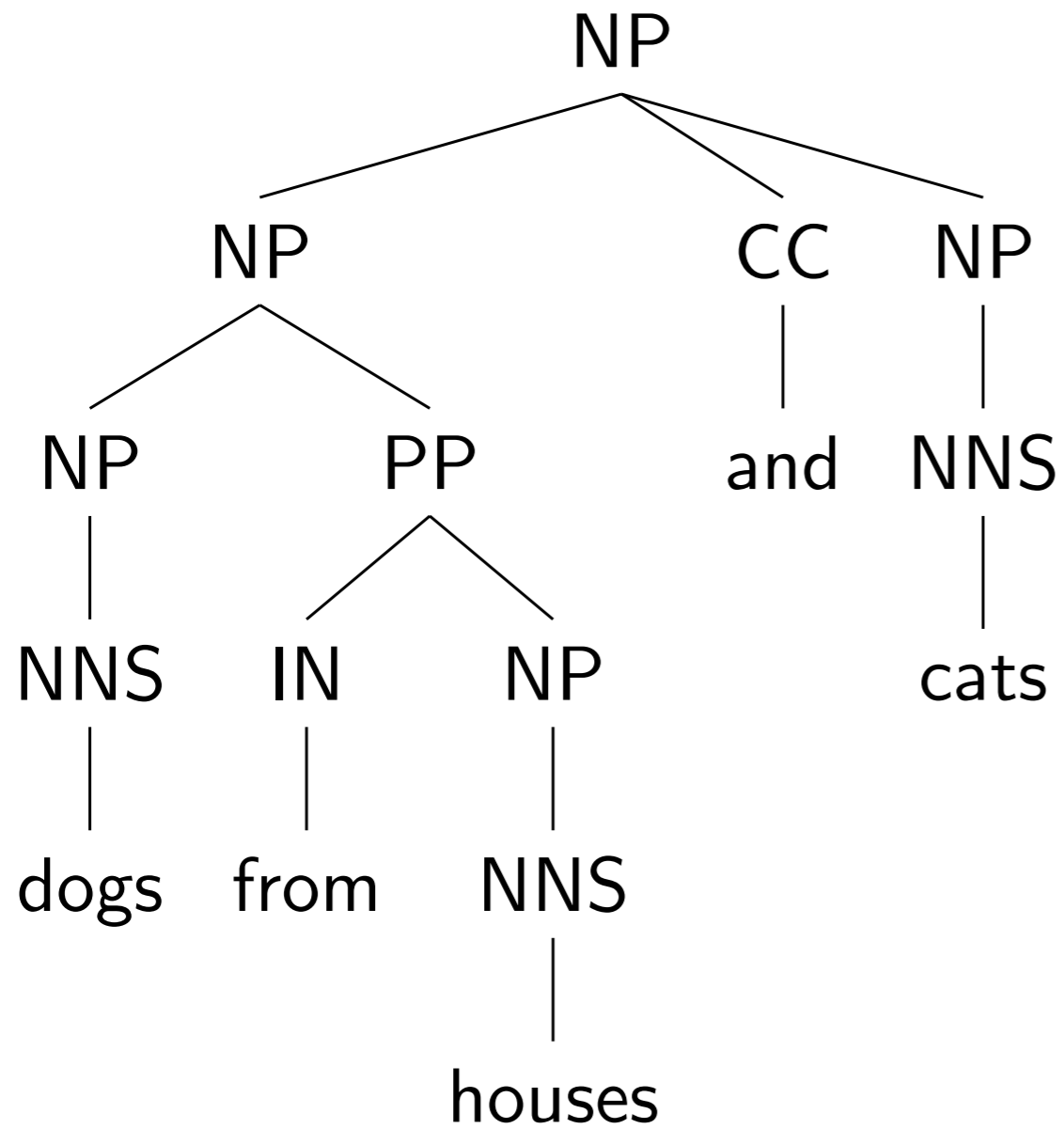
- This same dataset has been intensively used since 1993 for English parsing research
  - Why might this be an issue?
- Treebanks for other languages may require different grammatical conventions; quality varies
- It's pretty easy to find issues in English PTB, though quality seems reasonably high
- Issue: domain transfer

# Training Parsers In Practice

- Transformations on trees
  - Some of these are generally taken to be crucial
  - Some are widely debated
  - Lately, people have started **learning** these transformations
- Smoothing (crucial)
- We will come back to this as we explore some current state-of-the art parsers.

*[Slides: Noah Smith]*

# Problems with PCFGs

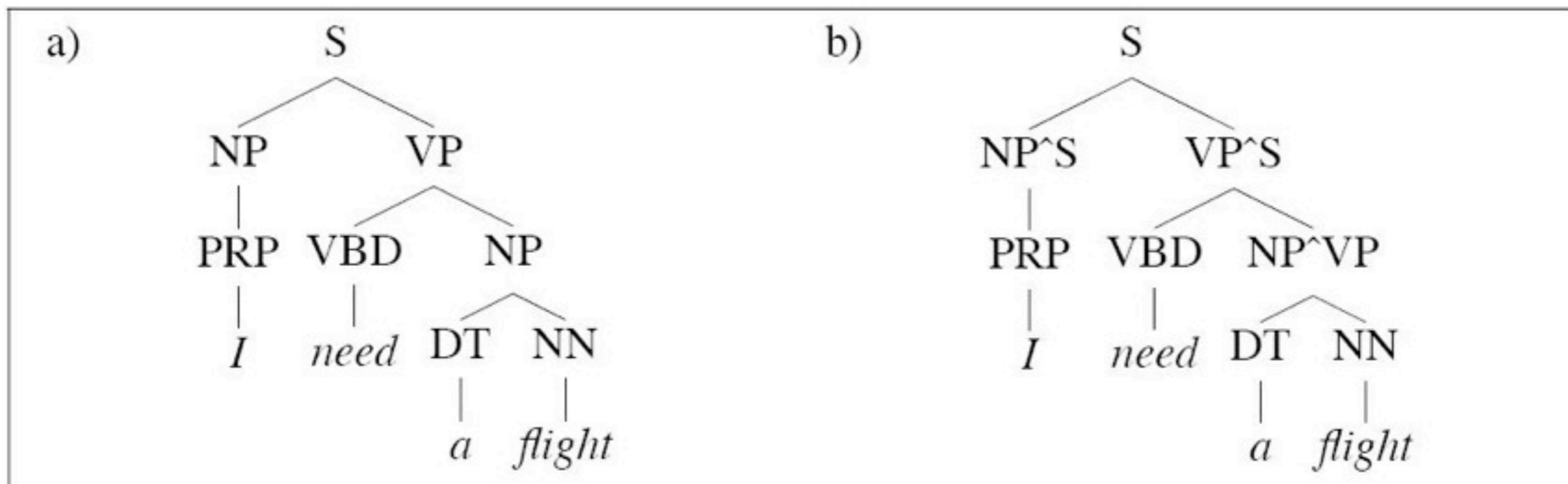


# Modern statistical parsers

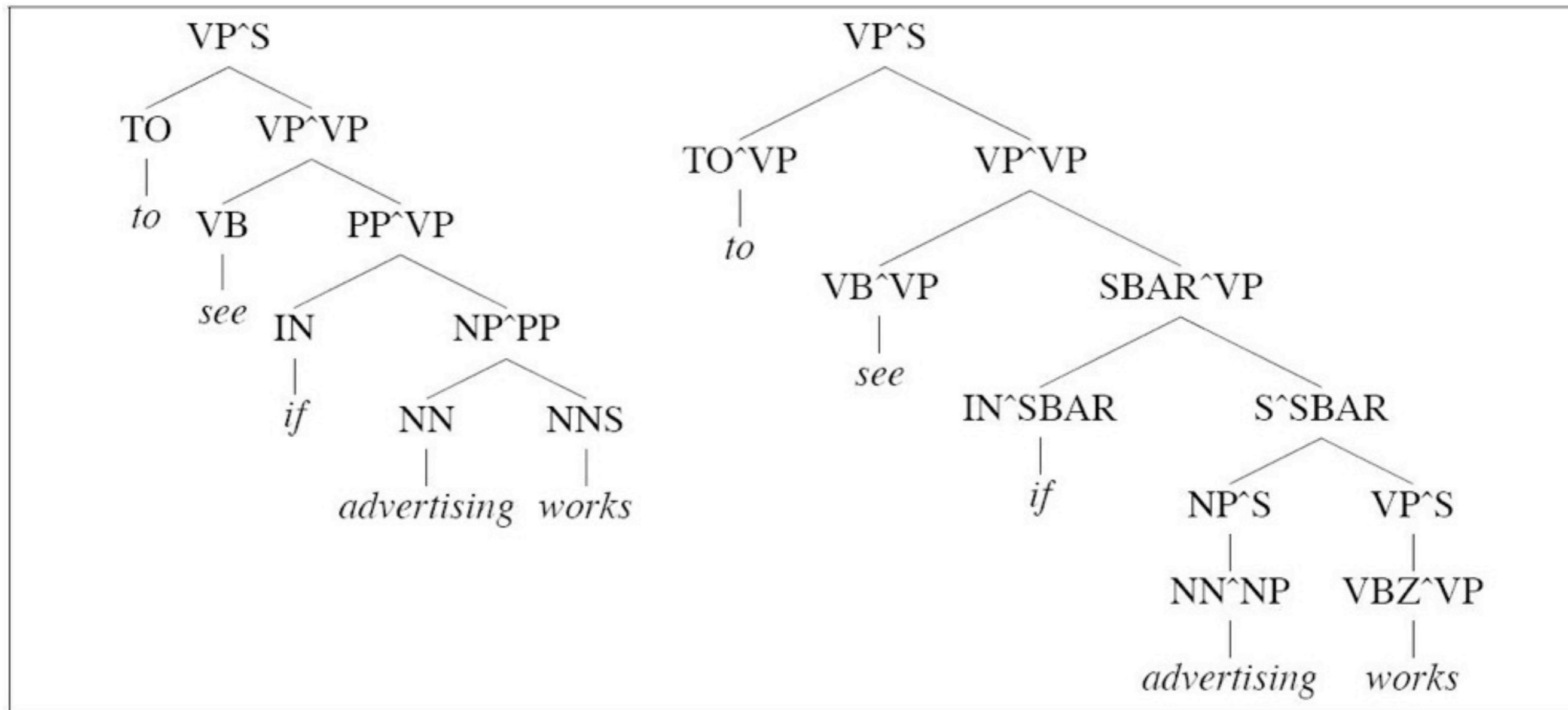
- PCFG assumptions are too strong.  
How to improve?
  - Transform the training data
    - splitting/“annotating” non-terminals
  - Automatically learn better splits with EM (“*Berkeley parser*”)
  - Discriminative whole-tree features -- typically have to use re-ranking
- Or, shift-reduce parsing: completely alternative approach to constituency parsing
  - Seems to be fastest with best accuracy, right now at least??
  - Zhang’s *zpar*, or a similar one within the Stanford parser software
- Next week: direct dependency parsing

# Non-terminal splits

- Annotate a nonterminal symbol its parent/ grandparent/sibling
  - Relaxes PCFG independence assumptions



# Non-terminal splits



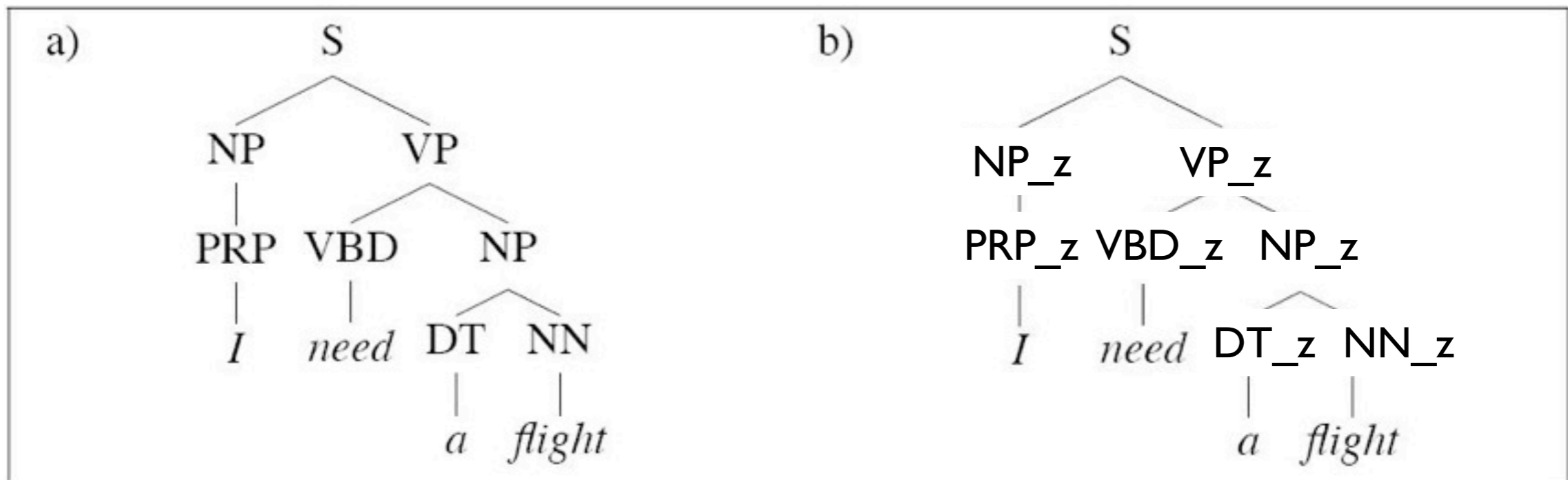
- Left: still incorrect  
Right: split preterminals. "if" prefers to be sentential complement.

- stopped here



# Latent-variable PCFG

- Want to automatically learn the splits!
- Latent-variable PCFG: augment training data with latent states. Learn with EM. Use “split-merge” training to vary number of latent states.
- NP\_1, NP\_2, NP\_3....
- [Petrov (2009), still used today in open-source Berkeley parser]



# Discriminative re-ranking

- Take top-K trees from a PCFG.
- Re-rank them with log-linear model that can use *whole-tree* features: e.g. “does this NP contain 15-20 words”?
  - This model is more powerful than a PCFG.
  - But by itself, inference is intractable.
- BLIPP parser [Charniak and Johnson 2005]: might still be the most accurate English parser
- Re-ranking is a very powerful general technique in NLP

# How good are parsers now?

- Labeled precision/recall: 90-93% F1 score
- Whole tree accuracy: much less!
- Which ambiguities or errors matter for what types of tasks?