

## Coming up

- Final projects:
  - User report are graded
  - All 1.0 presentations will be held on
  - **Thursday, December 8**, in class
  - 1.0 Release due date extended:  
**Friday, December 9**, 11:59PM
- Final exam:
  - **Wednesday, December 14**, 10:30 AM, in this room

1

## Today's plan

- Exam review
- Evaluations
- Reasoning about programs

2

## What'll be on the exam? (12/14, 10:30AM, here)

- Regular questions:
  - testing
  - debugging
  - working in groups
  - reasoning about programs
- high-level questions only:
  - software bias and formal verification of software
  - guest lectures on safety in software design

You may bring a single sheet (double side, 8.5" by 11" paper) of notes of your choosing.

3

## Exam: What kind of questions?

- True/False
- Multiple Choice  
(most are "choose all that apply")
- That's it. No other types of questions.

4

## Testing

- Know about different kinds of tests
  - unit, integration, regression, etc.
- Know about different kinds of coverage
  - statement, path, etc.
- Know what's hard about testing
  - GUI, usability, covering all behavior, etc.

5

## Debugging

- Know four kinds of defense against bugs
  - make impossible
  - don't introduce
  - make errors visible
  - last resort: debugging
- Representation (rep) invariants
- Assertions

6

## Working in groups

- What's hard?
  - corner cases
  - complete specification covers **A LOT** of behavior
  - unless a spec is concise, it's hard to understand
  - precision is hard: language is ambiguous
  - communication is important

7

## Reasoning about programs

- Ways to verify your code
  - testing, reasoning, proving
- Forward reasoning
- Backward reasoning
- Loop invariants
- Induction
- Practice some examples!

8

## Loop example

Find the weakest precondition

```
for (int x = 1; x <> y;) {
    if (y > x) {
        y = y / 2;
        x = 2 * x;
    }
}
// postcondition: x=8, y=8, and x and y are ints
```

you can also find the loop invariant and decrement function

9

## Evaluations

- We'll take 15 minutes to do evaluations
- They are **anonymous** and I don't see them until (long) after the grades are posted
- I actually use them to **improve my teaching**
- UMass uses them to decide if I am a **good teacher** and whether to let me keep teaching

10

## Bias in evaluations

- Ample scientific evidence that there are biases in evaluations.
- Women and minority faculty get statistically lower scores even when the teaching style is controlled to be exactly the same.
- Being aware is one of the best ways to combat the problem.

• MacNell et al. What's in a Name: Exposing Gender Bias in Student Ratings of Teaching. Innovative Higher Education. 2014. <http://dx.doi.org/10.1007/s10755-014-9313-4>

• Russ et al. Coming Out in the Classroom ... An Occupational Hazard?: The Influence of Sexual Orientation on Teacher Credibility and Perceived Student Learning. Communication Education 51(3), 2002, 311–324. <http://dx.doi.org/10.1080/03634520216516>

11

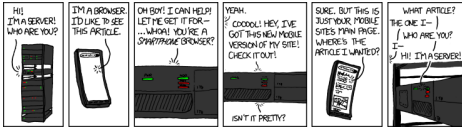
## Evaluations

<http://owl.umass.edu/partners/courseEvalSurvey/uma/>

- If we get 80% participation by tomorrow:
  - Everyone gets 0.5 points of extra credit.

12

## Reasoning about programs



13

## Ways to verify your code

- The hard way:
  - Make up some inputs
  - If it doesn't crash, ship it
  - When it fails in the field, attempt to debug
- The easier way:
  - Reason about possible behavior and desired outcomes
  - Construct simple tests that exercise that behavior
- Another way that can be easy
  - Prove that the system does what you want
    - Rep invariants are preserved
    - Implementation satisfies specification
  - Proof can be formal or informal (we will be informal)
  - Complementary to testing

14

## Reasoning about code

- Determine what facts are true during execution
  - $x > 0$
  - for all nodes  $n$ :  $n.next.previous == n$
  - array  $a$  is sorted
  - $x + y == z$
  - if  $x != null$ , then  $x.a > x.b$
- Applications:
  - Ensure code is correct (via reasoning or testing)
  - Understand why code is incorrect

15

## Forward reasoning

- You know what is true before running the code  
**What is true after running the code?**
- Given a precondition, what is the postcondition?
- Applications:
  - Representation invariant holds before running code
  - Does it still hold after running code?
- Example:
 

```
// precondition: x is even
x = x + 3;
y = 2x;
x = 5;
// postcondition: ??
```

16

## Backward reasoning

- You know what you want to be true after running the code  
**What must be true beforehand in order to ensure that?**
- Given a postcondition, what is the corresponding precondition?
- Applications:
  - (Re-)establish rep invariant at method exit: what's required?
  - Reproduce a bug: what must the input have been?
- Example:
 

```
// precondition: ??
x = x + 3;
y = 2x;
x = 5;
// postcondition: y > x
```
- How did you (informally) compute this?

17

## Forward vs. backward reasoning

- Forward reasoning is more intuitive for most people
  - Helps understand what will happen (simulates the code)
  - Introduces facts that may be irrelevant to goal
    - Set of current facts may get large
  - Takes longer to realize that the task is hopeless
- Backward reasoning is usually more helpful
  - Helps you understand what should happen
  - Given a specific goal, indicates how to achieve it
  - Given an error, gives a test case that exposes it

18

## Forward reasoning example

```

assert x >= 0;
i = x;
  // x ≥ 0 & i = x
z = 0;
  // x ≥ 0 & i = x & z = 0
while (i != 0) {
  z = z + 1;
  i = i - 1;
}
  // x ≥ 0 & i = 0 & z = x
assert x == z;

```

← What property holds here?

← What property holds here?

19

## Backward reasoning

Technique for backward reasoning:

- Compute the weakest precondition (wp)
- There is a wp rule for each statement in the programming language
- Weakest precondition yields strongest specification for the computation (analogous to function specifications)

20

## Assignment

```

// precondition: ??
x = e;
// postcondition: Q
Precondition: Q with all (free) occurrences of x
replaced by e
• Example:
  // assert: ??
  x = x + 1;
  // assert x > 0

Precondition = (x+1) > 0

```

21

## Method calls

```

// precondition: ??
x = foo();
// postcondition: Q
• If the method has no side effects: just like
ordinary assignment
• If it has side effects: an assignment to every
variable it modifies

```

Use the method specification to  
determine the new value

22

## If statements

```

// precondition: ??
if (b) S1 else S2
// postcondition: Q
Essentially case analysis:
wp("if (b) S1 else S2", Q) =
( b ⇒ wp("S1", Q)
  ∧ ¬ b ⇒ wp("S2", Q) )

```

23

## If: an example

```

// precondition: ??
if (x == 0) {
  x = x + 1;
} else {
  x = (x/x);
}
// postcondition: x ≥ 0
Precondition:
wp("if (x==0) {x = x+1} else {x = x/x}, x ≥ 0) =
= ( x = 0 ⇒ wp("x = x+1", x ≥ 0)
  & x ≠ 0 ⇒ wp("x = x/x", x ≥ 0) )
= (x = 0 ⇒ x + 1 ≥ 0) & (x ≠ 0 ⇒ x/x ≥ 0)
= 1 ≥ 0 & 1 ≥ 0
= true

```

24

## Reasoning About Loops

- A loop represents an unknown number of paths
  - Case analysis is problematic
  - Recursion presents the same issue
- Cannot enumerate all paths
  - That is what makes testing and reasoning hard

25

## Loops: values and termination

```
// assert x ≥ 0 & y = 0
while (x != y) {
  y = y + 1;
}
// assert x = y
```

- 1) Pre-assertion guarantees that  $x \geq y$
- 2) Every time through loop  $x \geq y$  holds and, if body is entered,  $x > y$  is incremented by 1,  $x$  is unchanged. Therefore,  $y$  is closer to  $x$  (but  $x \geq y$  still holds)
- 3) Since there are only a finite number of integers between  $x$  and  $y$ ,  $y$  will eventually equal  $x$
- 4) Execution exits the loop as soon as  $x = y$

26

## Understanding loops by induction

- We just made an inductive argument
  - Inducting over the number of iterations
- Computation induction
  - Show that conjecture holds if zero iterations
  - Assume it holds after  $n$  iterations and show it holds after  $n+1$
- There are two things to prove:
  - Some property is preserved (known as “partial correctness”)
    - loop invariant is preserved by each iteration
  - The loop completes (known as “termination”)
    - The “decrementing function” is reduced by each iteration

27

## Loop invariant for the example

```
// assert x ≥ 0 & y = 0
while (x != y) {
  y = y + 1;
}
// assert x = y
```

- So, what is a suitable invariant?
  - What makes the loop work?
- LI =  $x \geq y$

- 1)  $x \geq 0 \ \& \ y = 0 \Rightarrow$  LI
- 2) LI &  $x \neq y \{y = y+1;\}$  LI
- 3) (LI &  $\neg(x \neq y)$ )  $\Rightarrow x = y$

28

## Is anything missing?

```
// assert x ≥ 0 & y = 0
while (x != y) {
  y = y + 1;
}
// assert x = y
```

Does the loop terminate?

29

## Decrementing Function

- Decrementing function  $D(X)$ 
  - Maps state (program variables) to some well-ordered set
  - This greatly simplifies reasoning about termination
- Consider: `while (b) S;`
- We seek  $D(X)$ , where  $X$  is the state, such that
  1. An execution of the loop reduces the function’s value:  $LI \ \& \ b \ \{S\} \ D(X_{post}) < D(X_{pre})$
  2. If the function’s value is minimal, the loop terminates:  $(LI \ \& \ D(X) = \text{minVal}) \Rightarrow \neg b$

31

## Proving Termination

```
// assert x ≥ 0 & y = 0
// Loop invariant: x ≥ y
// Loop decrements: (x-y)
while (x != y) {
  y = y + 1;
}
// assert x = y
```

- Is “x-y” a good decrementing function?
- Does the loop reduce the decrementing function’s value?
    - // assert (y ≥ x); let  $d_{pre} = (x - y)$
    - $y = y + 1;$
    - // assert  $(x_{post} - y_{post}) < d_{pre}$
  - If the function has minimum value, does the loop exit?
    - $(x \geq y \ \& \ x - y = 0) \iff (x = y)$

32

## Choosing Loop Invariant

- For straight-line code, the wp (weakest precondition) function gives us the appropriate property
- For loops, you have to **guess**:
  - The loop invariant
  - The decrementing function
- Then, use reasoning techniques to prove the goal property
- If the proof doesn't work:
  - Maybe you chose a bad invariant or decrementing function
    - Choose another and try again
  - Maybe the loop is incorrect
    - Fix the code
- Automatically choosing loop invariants is a research topic

33

## In practice

I don't routinely write loop invariants

I do write them when I am unsure about a loop and when I have evidence that a loop is not working

- Add invariant and decrementing function if missing
- Write code to check them
- Understand why the code doesn't work
- Reason to ensure that no similar bugs remain

34

## More on Induction

- Induction is a very powerful tool

$$2^n = 1 + \sum_{k=1}^n 2^{k-1}$$

Proof by induction: **Base Case**

$$\text{For } n=1, \quad 1 + \sum_{k=1}^1 2^{k-1} = 1 + 2^0 = 1 + 1 = 2 = 2^1$$

35

## Inductive Step

Assume  $2^m = 1 + \sum_{k=1}^m 2^{k-1}$  and show that  $2^{m+1} = 1 + \sum_{k=1}^{m+1} 2^{k-1}$

$$2^{m+1} = 1 + \sum_{k=1}^{m+1} 2^{k-1} = 1 + \sum_{k=1}^m 2^{k-1} + 2^m = 2^m + 2^m = 2 \times 2^m = 2^{m+1}$$

36

## Is Induction Too Powerful?



37